

Citation	Rule Summary	Type of Violation	First Offense	Second Offense	Third Offense	Fourth and Each Subsequent Offense
N.J.A.C. 7:27-27.4(b)	Conduct Stack Emission Testing to Measure Mercury	NM	\$3,000	\$6,000	\$15,000	\$45,000
N.J.A.C. 7:27-27.4(d)1	Average Mercury Emissions					
	1. Less than 25 percent over the allowable standard	NM	\$8,000 ³	\$16,000 ³	\$40,000 ³	\$50,000 ³
	2. From 25 through 50 percent over the allowable standard	NM	\$10,000 ³	\$20,000 ³	\$50,000 ³	\$50,000 ³
	3. Greater than 50 percent over the allowable standard	NM	\$10,000 ³	\$20,000 ³	\$50,000 ³	\$50,000 ³
N.J.A.C. 7:27-27.4(d)2	Conduct Stack Emission Test Hospital/Medical/Infectious Waste Incinerators	NM	\$3,000	\$6,000	\$15,000	\$45,000
N.J.A.C. 7:27-27.5(b)	Mercury Emissions Detected by Compliance Testing from Source Operation					
	1. Less than 25 percent over the allowable standard	NM	\$8,000 ³	\$16,000 ³	\$40,000 ³	\$50,000 ³
	2. From 25 through 50 percent over the allowable standard	NM	\$10,000 ³	\$20,000 ³	\$50,000 ³	\$50,000 ³
	3. Greater than 50 percent over the allowable standard	NM	\$10,000 ³	\$20,000 ³	\$50,000 ³	\$50,000 ³
N.J.A.C. 7:27-27.5(c) or 27.5(d)	Conduct Compliance Testing to Measure Mercury	NM	\$3,000	\$6,000	\$15,000	\$45,000
N.J.A.C. 7:27-27.5(f)	Submit Plan	M	\$2,000	\$4,000	\$10,000	\$30,000
N.J.A.C. 7:27-27.5(g)	Dispose of Properly	M	\$500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-27.6(a)1 or 27.6(a)2	Iron or Steel Melters Mercury Emissions Detected by Compliance Testing from Source Operation					
	1. Less than 25 percent over the allowable standard	NM	\$8,000 ³	\$16,000 ³	\$40,000 ³	\$50,000 ³
	2. From 25 through 50 percent over the allowable standard	NM	\$10,000 ³	\$20,000 ³	\$50,000 ³	\$50,000 ³
	3. Greater than 50 percent over the allowable standard	NM	\$10,000 ³	\$20,000 ³	\$50,000 ³	\$50,000 ³
N.J.A.C. 7:27-27.6(b)	Conduct Compliance Testing to Measure Mercury	NM	\$3,000	\$6,000	\$15,000	\$45,000
N.J.A.C. 7:27-27.6(d)	Submit Plan	M	\$2,000	\$4,000	\$10,000	\$30,000
N.J.A.C. 7:27-27.6(f)	Operate According to Plan	NM	\$2,000	\$4,000	\$10,000	\$30,000
N.J.A.C. 7:27-27.6(h)	Maintain Plan Onsite	M	500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-27.7(a)1 or 27.7(a)2	Coal-fired Boilers					
	Mercury Emissions Detected by Compliance Testing from Source Operation					
	1. Less than 25 percent over the allowable standard	NM	\$8,000 ³	\$16,000 ³	\$40,000 ³	\$50,000 ³
	2. From 25 through 50 percent over the allowable standard	NM	\$10,000 ³	\$20,000 ³	\$50,000 ³	\$50,000 ³
	3. Greater than 50 percent over the allowable standard	NM	\$10,000 ³	\$20,000 ³	\$50,000 ³	\$50,000 ³
N.J.A.C. 7:27-27.7(b)	Conduct Compliance Testing to Measure Mercury	NM	\$3,000	\$6,000	\$15,000	\$45,000
	Comply with Approved Averaging Plan					
	1. Less than 25 percent over the allowable standard	NM	\$8,000 ³	\$16,000 ³	\$40,000 ³	\$50,000 ³
N.J.A.C. 7:27-27.7(f)	2. From 25 through 50 percent over the allowable standard	NM	\$10,000 ³	\$20,000 ³	\$50,000 ³	\$50,000 ³
	3. Greater than 50 percent over the allowable standard	NM	\$10,000 ³	\$20,000 ³	\$50,000 ³	\$50,000 ³
N.J.A.C. 7:27-27.7(i)	Maintain Records	M	\$500	\$1,000	\$2,500	\$7,500

Citation	Rule Summary	Type of Violation	First Offense	Second Offense	Third Offense	Fourth and Each Subsequent Offense
N.J.A.C. 7:27-27.7(j)	Submit Reports	M	\$500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-27.8(a)	Submit Stack Emission Test Protocol	M	\$1,000	\$2,000	\$5,000	\$15,000
N.J.A.C. 7:27-27.8(d)1	Conduct Optimization Tests	NM	\$1,000	\$2,000	\$5,000	\$15,000
N.J.A.C. 7:27-27.8(d)3	Submit Optimized Reagent Injection Rate	M	\$500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-27.8(d)4	Operate at Optimized Reagent Injection Rate	NM	\$2,000	\$4,000	\$10,000	\$30,000
N.J.A.C. 7:27-27.8(e)	Submit Application for Preconstruction Permit	M	\$2,000	\$4,000	\$10,000	\$30,000
N.J.A.C. 7:27-27.9(a)	Submit Compliance Testing Report	M	\$500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-27.9(b)	Submit Optimization Test Report	M	\$500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-27.9(c)	Submit Report	M	\$500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-27.9(d)	Certify Compliance Testing Report	M	\$300	\$600	\$1,500	\$4,500
N.J.A.C. 7:27-27.9(e)	Maintain Records	M	\$500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-27.9(f)	Certify Compliance Testing Report	M	\$300	\$600	\$1,500	\$4,500
N.J.A.C. 7:27-27.9(g)	Make Records Readily Available	M	\$500	\$1,000	\$2,500	\$7,500

³ Revoke Certificate to Operate Under N.J.A.C. 7:27-8 or Revoke Operating Permit Under N.J.A.C. 7:27-22 (if applicable).

28. (Reserved)

29. The violations of N.J.A.C. 7:27-29, Low Emission Vehicle Program, and the civil administrative penalty amounts for each violation, per vehicle, are as set forth in the following table:

Citation	Class	Type of Violation	First Offense	Second Offense	Third Offense	Fourth and Each Subsequent Offense
N.J.A.C. 7:27-29.3(a)	Delivery of Non-Certified Vehicle	NM	\$2,500	\$5,000	\$12,500	\$30,000
N.J.A.C. 7:27-29.5(a)	Failure to meet fleet-wide average	NM	\$5,000	\$10,000	\$25,000	\$50,000
N.J.A.C. 7:27-29.6(a)	Failure to meet ZEV sales requirement	NM	\$5,000	\$10,000	\$25,000	\$50,000
N.J.A.C. 7:27-15.7(b)	Open account in the ZEV credit bank	M	\$500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-15.7(m) and (n)	Report ZEV credits and transactions	M	\$500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-15.8(a)3	Report production numbers	M	\$500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-15.9(a), (b), (c), and (d)	Provide reports upon request	M	\$500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-15.10(b)	Provide reports upon request	M	\$500	\$1,000	\$2,500	\$7,500
N.J.A.C. 7:27-15.11(a) and (b)	Submit report	M	\$500	\$1,000	\$2,500	\$7,500

30. (Reserved)

31. The violations of N.J.A.C. 7:27-31, and the civil administrative penalty amounts for each violation, are as set forth as follows:

i. Violation of N.J.A.C. 7:27-31.3(i) shall be considered a non-minor violation, not subject to a grace period. The penalty amounts for violation of N.J.A.C. 7:27-31.3(i), which requires a minimum number of allowances to be held in a budget source's compliance account as of the allowance transfer deadline, are set forth in the following table, directly dependent on the number of tons of shortfall (each ton of excess emissions is a separate violation):

Amount of Shortfall (in tons)	Civil Administrative Penalty Amounts (per ton)	
	1-100	\$ 2,000

ii. The base penalty amount as calculated in (m)31i above shall be limited by the statutory maximum penalty calculated as follows:

(p) For any violation of N.J.A.C. 7:27-5.2 where the emission of air contaminants is in such quantities and duration as are, or tend to be, injurious to human health or welfare, animal or plant life or property, the Department may, in its discretion, assess the maximum civil administrative penalty set forth at N.J.S.A. 26:2C-19(b) for any release of air contaminants that causes persons exposed to the release to suffer acute health effects resulting in death or serious personal injury.

(q) Each violation identified in the penalty tables at (m) and (n) above by an "M" in the Type of Violation column, for which conditions at (s) below are satisfied, is a minor violation, and is subject to a 30-day grace period.

(r) Each violation identified in the penalty tables at (m) and (n) above by an "NM" in the Type of Violation column is a non-minor violation and will not be subject to a grace period.

(s) The Department shall provide a grace period of 30 days for any violation identified as minor under this section, provided the following conditions are met:

1. The violation is not the result of the purposeful, knowing, reckless or criminally negligent conduct of the person responsible for the violation;
2. The activity or condition constituting the violation has existed for less than 12 months prior to the date of discovery by the Department or local government agency;
3. In the case of a violation that involves a permit, the person responsible for the violation has not been identified in a previous enforcement action by the Department or a local government agency as responsible for a violation of the same requirement of the same permit within the preceding 12-month period;
4. In the case of a violation that does not involve a permit, the person responsible for the violation has not been identified in a previous enforcement action by the Department or a local government agency as responsible for the same or a substantially similar violation at the same facility within the preceding 12-month period; and
5. In the case of any violation, the person responsible for the violation has not been identified by the Department or a local government agency as responsible for the same or substantially similar violations at any time that reasonably indicate a pattern of illegal conduct and not isolated incidents on the part of the person responsible.

(t) For a violation determined to be minor under (s) above, the following provisions apply:

1. The Department or local government agency shall issue a notice of violation to the person responsible for the minor violation that:
 - i. Identifies the condition or activity that constitutes the violation and the specific statutory provision or other requirement violated; and
 - ii. Specifies that a penalty may be imposed unless the minor violation is corrected and compliance is achieved within the specified grace period.
2. If the person responsible for the minor violation corrects that violation and demonstrates, in accordance with (t)3 below, that compliance has been achieved within the specified grace period, the Department or local government agency shall not impose a penalty for the violation and, in addition, shall not consider the minor violation an offense as defined in this chapter.
3. The person responsible for the minor violation shall submit to the Department or a local government agency, before the end of the specified grace period, written information, certified in accordance with N.J.A.C. 7:27-1.39, and signed by the responsible official, as defined at N.J.A.C. 7:27-1.4, detailing the corrective action taken or compliance achieved.
4. If the person responsible for the minor violation seeks additional time beyond the specified grace period to achieve compliance, the person shall request an extension of the specified grace period. The request shall be made in writing no later than one week before the expiration of the specified grace period and include the anticipated time needed to achieve compliance, the specific cause or causes of the delay, and any measures taken or to be taken to minimize the time needed to achieve compliance, and shall be certified in accordance with N.J.A.C. 7:27-1.39. The Department or local government agency may, at its discretion, approve in writing an extension which shall not exceed 90 days, to accommodate the anticipated delay in achieving compliance. In exercising its discretion to approve a request for an extension, the Department or local government agency may consider the following:
 - i. Whether the violator has taken reasonable measures to achieve compliance in a timely manner;
 - ii. Whether the delay has been caused by circumstances beyond the control of the violator;

- iii. Whether the delay will pose a risk to the public health, safety and natural resources; and
- iv. Whether the delay will materially or substantially undermine or impair the goals of the regulatory program.

5. If the person responsible for the minor violation fails to demonstrate to the Department or local government agency that the violation has been corrected and compliance achieved within the specified grace period, or within the approved extension, if any, the Department or local government agency may, in accordance with the provisions of this chapter, impose a penalty that is retroactive to the date on which the notice of violation under (t)1 above was issued.

6. The person responsible for a minor violation shall not request more than one extension of a grace period specified in a notice of violation.

Amended by R.1992 d.102, effective March 2, 1992 (operative March 28, 1992).

See: 24 N.J.R. 1858(b), 24 N.J.R. 792(a).

Penalties for violation of recordkeeping requirements added.

Amended by R.1992 d.382, effective October 5, 1992.

See: 24 N.J.R. 2386(a), 24 N.J.R. 3539(a).

Penalties added at (e)25.

Administrative correction to (e)25.

See: 24 N.J.R. 4524(b).

Amended by R.1993 d.128, effective March 15, 1993 (operative April 20, 1993).

See: 24 N.J.R. 2979(a), 25 N.J.R. 1254(a).

Added new (e)21 regarding Emission Statements.

Amended by R.1993 d.666, effective December 20, 1993 (operative July 26, 1994).

See: 25 N.J.R. 3339(a), 25 N.J.R. 4551(a), 25 N.J.R. 6002(a).

New Rule, R.1993 d.682, effective December 20, 1993 (operative January 23, 1994).

See: 25 N.J.R. 631(a), 25 N.J.R. 5957(a).

Amended by R.1994 d.85, effective February 22, 1994 (operative March 14, 1994).

See: 25 N.J.R. 4039(a), 26 N.J.R. 1148(a).

Amended by R.1994 d.313, effective June 20, 1994 (operative July 26, 1994).

See: 25 N.J.R. 3339(a), 26 N.J.R. 2600(a).

Amended by R.1994 d.500, effective October 3, 1994 (operative October 31, 1994).

See: 25 N.J.R. 4033(a), 26 N.J.R. 4026(a).

Amended by R.1994 d.501, effective October 3, 1994 (operative October 31, 1994).

See: 25 N.J.R. 4045(a), 25 N.J.R. 4836(a), 26 N.J.R. 4030(a).

New Rule, R.1994 d.537, effective November 7, 1994 (operative November 27, 1994).

See: 26 N.J.R. 1050(a), 26 N.J.R. 4355(a).

Amended by R.1995 d.5, effective January 3, 1995 (operative January 27, 1995).

See: 26 N.J.R. 3566(a), 27 N.J.R. 93(a).

Amended by R.1995 d.214, effective April 17, 1995 (operative May 23, 1995).

See: 26 N.J.R. 3298(a), 27 N.J.R. 1581(a).

Administrative correction.

See: 27 N.J.R. 2212(b).

Emergency amendment R.1995 d.409, effective June 29, 1995 (expires August 28, 1995).

See: 27 N.J.R. 2752(a).

Adopted concurrent proposal R.1995 d.527, effective August 28, 1995 (operative October 27, 1995).

See: 27 N.J.R. 2752(a), 27 N.J.R. 3806(a).

Amended by R.1996 d.303, effective July 1, 1996 (operative August 2, 1996).

See: 28 N.J.R. 1147(b), 28 N.J.R. 3414(a).

Amended by R.1998 d.379, effective July 20, 1998 (operative August 16, 1998).

See: 29 N.J.R. 3924(b), 30 N.J.R. 2660(a).

In (m), added a new 31.

Amended by R.1998 d.419, effective August 17, 1998 (operative September 9, 1999).

See: 29 N.J.R. 3222(a), 30 N.J.R. 3025(b), 31 N.J.R. 3087(b).

In (m)25, rewrote the table.

Amended by R.1999 d.423, effective December 6, 1999 (operative January 7, 2000).

See: 31 N.J.R. 2582(a), 31 N.J.R. 4040(a).

Made internal reference changes throughout.

Amended by R.2000 d.204, effective May 15, 2000 (operative June 6, 2000).

See: 31 N.J.R. 1671(a), 32 N.J.R. 1808(a).

Rewrote (i); and in (m), changed table references throughout, substituted a reference to N.J.A.C. 7:27A-3.10(n) for a reference to N.J.A.C. 7:27A-3.10(m), and inserted a reference to N.J.A.C. 7:27-8.3(l) in 8, inserted a reference to N.J.A.C. 7:27-22.3(uu) and substituted a reference to \$12,000 for a reference to \$12,500 in 22, and rewrote 30.

Amended by R.2000 d.351, effective August 21, 2000 (operative September 29, 2000).

See: 31 N.J.R. 2100(a), 32 N.J.R. 3119(a).

Rewrote (m)31.

Amended by R.2003 d.86, effective February 18, 2003 (operative March 24, 2003).

See: 34 N.J.R. 695(a), 35 N.J.R. 1059(a).

Amended the tables in (m) and (n).

Amended by R.2002 d.224, effective June 2, 2003 (operative June 29, 2003).

See: 34 N.J.R. 2489(a), 35 N.J.R. 2509(a).

In (m)16, amended the tables.

Administrative correction.

See: 35 N.J.R. 3840(a).

Amended by R.2004 d.129, effective April 5, 2004 (operative April 25, 2004).

See: 35 N.J.R. 3486(a), 36 N.J.R. 1791(a).

Reserved (i); rewrote (m).

Amended by R.2004 d.182, effective May 3, 2004 (operative June 6, 2004).

See: 35 N.J.R. 4241(b), 36 N.J.R. 2218(a).

Added (m)24.

Amended by R.2004 d.236, effective June 21, 2004 (operative July 20, 2004).

See: 35 N.J.R. 2983(a), 35 N.J.R. 4241(a), 36 N.J.R. 3078(a).

Rewrote (m)23.

Administrative correction.

See: 36 N.J.R. 5442(a).

Amended by R.2004 d.443, effective December 6, 2004 (operative January 3, 2005).

See: 36 N.J.R. 123(a), 36 N.J.R. 5406(a).

In (m), rewrote 27.

Amended by R.2005 d.155, effective May 16, 2005 (operative June 17, 2005).

See: 36 N.J.R. 5293(a), 37 N.J.R. 1789(a).

Rewrote (m) and (n); added (q) through (t).

Amended by R.2005 d.343, effective October 17, 2005 (operative date of November 7, 2005).

See: 36 N.J.R. 4228(a), 37 N.J.R. 3976(a).

Rewrote tables in (m)16 and 19.

Amended by R.2006 d.34, effective January 17, 2006 (operative January 27, 2006).

See: 37 N.J.R. 2762(a), 38 N.J.R. 497(b).

Added (m)29.