

**Integrity Monitor Report
Category 3**

Integrity Monitor Firm Name: Rumph & Associates, P.C.
Quarter Ending: 3/31/2024
Expected Engagement End Date: 07/30/2030

A. General Info

1. Recovery Program Participant:

[New Jersey Department of Transportation]

2. Federal Funding Source (e.g. CARES, HUD, FEMA, ARPA):

[CRRSAA, COVID-19 Recovery Funds]

3. State Funding Source (if applicable):

Not Applicable

4. Deadline for Use of State or Federal Funding by Recovery Program Participant:

NJDOT has obligated all CRRSAA funding applicable to the project by September 30, 2023.

5. Accountability Officer:

Chuck Maciejuenes, CFO

6. Program(s) under Review/Subject to Engagement:

[Route 130, Bridge over Big Timber Creek, Bridge Replacement]

7. Brief Description, Purpose, and Rationale of Integrity Monitor Project/Program:

A Category 3 Integrity Monitor's primary roles are to monitor for fraud or misuse of funding and ensure that Recovery Program Participants are performing according to the sub-award agreement and applicable Federal and State regulations and guidelines.

8. Amount Allocated to Program(s) under Review:

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Route 130, Bridge over Big Timber - \$80,000,000

9. Amount Expended by Recovery Program Participant to Date on Program(s) under Review:

[As of March 2024, \$12,990,785.80 has been expended.]

10. Amount Provided to Other State or Local Entities:

Not applicable

11. Completion Status of Program (e.g. planning phase, application review, post-payment):

Route 130, Bridge over Big Timber – Planning Phase

12. Completion Status of Integrity Monitor Engagement:

[In Progress]

B. Monitoring Activities

13. If FEMA funded, brief description of the status of the project worksheet and its support:

- a) IM Response

Not Applicable

- b) Recovery Program Participant Comments

[None]

14. Description of the services provided to the Recovery Program Participant during the quarter (i.e. activities conducted, such as meetings, document review, staff training, etc.):

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a) IM Response

Rumph & Associates, P.C has begun the ongoing monitoring phase of the engagement for the Route 130 Project. This phase involves the detailed review of NJDOT documents to identify risk areas and potential fraud activities applicable to the project. In completing specific monitoring activities using the fraud risk assessment and workplan to meet these objectives. For the quarter ending March 31st, 2024 we have completed the following activities:

- Continued monitoring activities
- Worked with NJDOT Project Management Team to obtain requested project documents including Estimate Certificate Reports, and other standard periodic forms.
- Reconciled documents received in current quarter to requests and documents received in previous quarter
- Update FRA Workplan with results of procedures completed
- Updated Document Request Log
- Attended via Teams biweekly project status meetings with onsite project team 2/8/2024, 2/22/2024, 3/7/2024, 3/21/2024
- Held NJDOT Status Meeting 2/14/2024, 3/7/2024
- Completed quality control review of procedures

b) Recovery Program Participant Comments

[None]

15. Description to confirm appropriate data/information has been provided by the Recovery Program Participant and description of activities taken to review the project/program:

a) IM Response

Rumph & Associates, P.C is continuing to complete the procedures noted in the FRA Workplan. All other tasks completed and meetings held are noted in response to #14.

b) Recovery Program Participant Comments

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[None]

16. Description of quarterly auditing activities conducted to ensure procurement compliance with terms and conditions of contracts and agreements:

a) IM Response

Rumph & Associates, P.C is in the process of ongoing monitoring activities related to the Route 130 Project. We are working through this phase using the FRA Workplan submitted to NJDOT's Internal Audit Manager and POC, Jon Trauger in September 2023.

b) Recovery Program Participant Comments

[None]

17. If payment documentation in connection with the contract/program has been reviewed, provide description.

a) IM Response

The latest payment documentation reviewed was Estimate Certificate Report #8 for the Rt. 130 Project. The report had an estimate end date of 3/10/2024 and shows previous amounts paid of \$12,990,785.80 and an amount due of \$969,797.59.

b) Recovery Program Participant Comments

[None]

18. Description of quarterly activity to prevent and detect waste, fraud, and/or abuse:

a) IM Response

Our meetings with key stakeholders, including the Internal Audit Manager and the Internal Audit Team, the Procurement Team, reviewing various NJDOT documents used in procurement and fund authorization, developing risk factors, monitoring objectives and specific review procedures to verify the existence of fraud estimates

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all contribute to the prevention and detection of waste, fraud and abuse.

b) Recovery Program Participant Comments

[None]

19. Details of any integrity issues/findings, including findings of waste, fraud, and/or abuse:

a) IM Response

None

b) Recovery Program Participant Comments

[None]

20. Details of any other items of note that have occurred in the past quarter:

a) IM Response

None

b) Recovery Program Participant Comments

[None]

21. Details of any actions taken to remediate waste, fraud, and/or abuse noted in past quarters:

a) IM Response

Not applicable

b) Recovery Program Participant Comments

[None]

C. Miscellaneous

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22. List of hours (by employee) and expenses incurred to perform quarterly integrity monitoring review:

a) IM Response

For the quarter ending March 31st, the following individuals have expended a total of 525 hours:

Marisa Doras – 106 hours
Matthew Blondell – 8 hours
Stephanie Tantillo – 8 hours
Devann Marchand – 8 hours
Tom Rumph – 120 hours
Hein Mass – 12 hours
Gabrielle Rumph – 50 hours
Ken Edwards – 120 hours

b) Recovery Program Participant Comments

[None]

23. Add any item, issue, or comment not covered in previous sections but deemed pertinent to monitoring program:

a) IM Response

[None]

b) Recovery Program Participant Comments

[None]

Name of Integrity Monitor:	[Rumph & Associates, P.C.]
Name of Report Preparer:	Thomas Rumph Jr
Signature:	<i>Thomas Rumph Jr</i>
Date:	4/2/2024