### **CHAPTER 43A**

# MANUAL OF STANDARDS FOR LICENSING OF AMBULATORY CARE FACILITIES

### Authority

N.J.S.A. 26:2H-1 et seq., specifically 26:2H-12.5; and Reorganization Plan No. 002-2004.

### Source and Effective Date

R.2008 d.24, effective December 21, 2007. See: 39 N.J.R. 2309(a), 40 N.J.R. 702(a).

### **Chapter Expiration Date**

In accordance with N.J.S.A. 52:14B-5.1b, Chapter 43A, Manual of Standards for Licensing of Ambulatory Care Facilities, expires on December 21, 2014. See: 43 N.J.R. 1203(a).

### **Chapter Historical Note**

Chapter 43A, Ambulatory Care Facilities, was adopted as R.1976 d.165, effective May 26, 1976. See: 8 N.J.R. 117(a), 8 N.J.R. 282(b).

The expiration date for Subchapter 14 was extended by R.1979 d.489, effective December 14, 1979. See: 11 N.J.R. 547(a), 12 N.J.R. 16(b).

The expiration date for Subchapter 14 was further extended by R.1980 d.273. See: 12 N.J.R. 407(c).

Pursuant to Executive Order 66(1978), Chapter 43A, Ambulatory Care Facilities, was readopted as R.1983 d.427, effective October 3, 1983. See: 15 N.J.R. 994(a), 15 N.J.R. 1662(a).

Pursuant to Executive Order No. 66(1978), Chapter 43A, Ambulatory Care Facilities, was readopted as R.1984 d.497, filed October 18, 1984. See: 16 N.J.R. 2208(a), 16 N.J.R. 3031(a).

Chapter 43A, Ambulatory Care Facilities, was repealed and Chapter 43A, Manual of Standards for Ambulatory Care Facilities, was adopted as new rules by R.1985 d.438, effective September 3, 1985. See: 16 N.J.R. 3254(a), 17 N.J.R. 2110(b).

Petition for Rulemaking. See: 19 N.J.R. 306(d), 19 N.J.R. 570(b).

Pursuant to Executive Order No. 66 (1978), Chapter 43A, Manual of Standards for Ambulatory Care Facilities, was readopted as R.1990 d.416, effective July 27, 1990. See: 22 N.J.R. 1496(a), 22 N.J.R. 2507(a).

Pursuant to Executive Order No. 66 (1978), Chapter 43A, Manual of Standards for Licensure of Ambulatory Care Facilities, was readopted as R.1993 d.443, effective August 16, 1993, and Subchapters 1 through 11 and 13 through 19 were repealed and new Subchapters 1 through 11 and 13 through 29 were adopted as R.1993 d.443, effective September 7, 1993. See: 25 N.J.R. 757(b), 25 N.J.R. 4140(a).

Pursuant to Executive Order No. 66(1978), Chapter 43A, Manual of Standards for Licensure of Ambulatory Care Facilities, expired on August 16, 1998.

Chapter 43A, Manual of Standards for Ambulatory Care Facilities, was adopted as new rules by R.1998 d.535, effective November 16, 1998. See: 30 N.J.R. 2558(a), 30 N.J.R. 4070(c).

Administrative correction. See: 31 N.J.R. 54(a).

Subchapter 30, Radiation Oncology, was adopted as new rules by R.2000 d.376, effective September 18, 2000. See. 31 N.J.R. 2729(a), 32 N.J.R. 3459(b).

Chapter 43A, Manual of Standards for Licensure of Ambulatory Care Facilities, expired on November 16, 2001.

Chapter 43A, Manual of Standards for Licensing of Ambulatory Care Facilities, was adopted as new rules by R.2002 d.142, effective May 20, 2002. See: 33 N.J.R. 2619(a), 34 N.J.R. 1831(b).

Administrative correction. See: 34 N.J.R. 3022(a).

Subchapter 24, Renal Dialysis, was repealed and adopted as new rules, and Subchapter 32, Other Services, was adopted as new rules by R.2005 d.278, effective September 6, 2005. See: 37 N.J.R. 699(a), 37 N.J.R. 3348(a).

Subchapter 33, Programs of All-Inclusive Care for the Elderly (PACE) Organizations, was adopted as new rules by R.2007 d.106, effective April 16, 2007. See: 38 N.J.R. 4154(a), 39 N.J.R. 1480(a).

In accordance with N.J.S.A. 52:14B-5.1c, Chapter 43A, Manual of Standards for Licensing of Ambulatory Care Facilities, expires on November 16, 2007. See: 39 N.J.R. 2309(a).

In accordance with N.J.S.A. 52:14B-5.1d, the expiration date of Chapter 43A, Manual of Standards for Licensing of Ambulatory Care Facilities, was extended by gubernatorial directive from November 16, 2007 to January 31, 2008. See: 39 N.J.R. 5340(a).

Chapter 43A, Manual of Standards for Licensing of Ambulatory Care Facilities, was readopted as R.2008 d.24, effective December 21, 2007. See: Source and Effective Date. See, also, section annotations.

Petition for Rulemaking. See: 42 N.J.R. 529(a).

### CHAPTER TABLE OF CONTENTS

### SUBCHAPTER 1. DEFINITIONS AND QUALIFICATIONS

3:43A-1.1	Scope

8:43A-1.2 Purpose

8:43A-1.3 Definitions

8:43A-1.4 Qualifications of the administrator of the ambulatory care facility

Qualifications of anesthesiologists 8:43A-1.5

8:43A-1.6 Qualifications of certified nurse midwife

8:43A-1.7 Qualifications of certified registered nurse anesthetists (CRNA)

Qualifications of dentists 8:43A-1.8

Qualifications of dietitians 8:43A-1.9

8:43A-1.10 Qualifications of the director of nursing services

Qualifications of drug counselors 8:43A-1.11

Qualifications of family practice physicians 8:43A-1.12

8:43A-1.13 Qualifications of licensed practical nurses

8:43A-1.14 Qualifications of the medical director

8:43A-1.15 Qualifications of nephrologists

8:43A-1.16 Qualifications of nurse practitioners

8:43A-1.17 Qualifications of obstetrician-gynecologists

Qualifications of pediatricians 8:43A-1.18 Qualifications of pharmacists

8:43A-1.19 Qualifications of physician assistants Qualifications of physicians 8:43A-1.20

8:43A-1.21

8:43A-1.22 Qualifications of podiatrists

8:43A-1.23 Qualifications of radiation physicists/health physicists

8:43A-1.24 Qualifications of radiologic technologists

Qualifications of radiologists 8:43A-1.25

8:43A-1.26 Qualifications of registered professional nurses

8:43A-1.27 Qualifications of social workers

8:43A-1.28 Qualifications of urologists

## SUBCHAPTER 2. LICENSURE PROCEDURES

8:43A-2.1 (Reserved)

8:43A-2.2 Application for licensure

8:43A-2.3 Types of services requiring a license

8:43A-2.4 Newly constructed or expanded facilities

8:43A-2.5 Surveys and full or temporary license

8:43A-2.6 Full license

8:43A-2.7 Conditional license

8:43A-2.8 8:43A-2.9	Surrender of license Waiver	SUBCHAPT: SERV	ER 11. LABORATORY AND RADIOLOGICAL
	Action against a license Hearings	8:43A-11.1	Provision of laboratory and radiological services
	TER 3. GENERAL REQUIREMENTS	SUBCHAPT SERV	ER 12. SURGICAL AND ANESTHESIA ICES
8:43A-3.1	Provision of services	8:43A-12.1	Services
8:43A-3.2 8:43A-3.3	Compliance with laws and rules Ownership	8:43A-12.2	Definitions
8:43A-3.4	Submission of documents and data	8:43A-12.3	Surgical staff; qualifications
8:43A-3.5	Personnel	8:43A-12.4	Anesthesia staff; qualifications
8:43A-3.6	Policy and procedure manual	8:43A-12.5	Anesthesia staff; qualifications for supervising, ad-
8:43A-3.7	Employee health		ministering, and monitoring anesthesia
8:43A-3.8	Reportable events	8:43A-12.6	Surgical policies and procedures
8:43A-3.9	Notices	8:43A-12.7	Anesthesia continuous quality improvement
8:43A-3.10	Information reportable to State Board of Medical Ex-	8:43A-12.8	Records
	aminers	8:43A-12.9	Surgical service emergency equipment
8:43A-3.11	Reporting to professional licensing boards	8:43A-12.10	Anesthesia supplies and equipment; safety systems
8:43A-3.12	Reporting requirements for ambulatory surgery facil-	8:43A-12.11	Anesthesia supplies and equipment; maintenance and inspections
	ities	8·43 A-12 12	Anesthesia supplies and equipment; patient monitor-
		0.43A-12.12	ing
SUBCHAPT	ER 4. GOVERNING AUTHORITY	8:43A-12.13	Anesthesia staff education and training
8:43A-4.1	Responsibility of the governing authority	8:43A-12.14	Postanesthesia care policies and procedures
	S S S S S S S S S S S S S S S S S S S	8:43A-12.15	Postanesthesia care staff qualifications
<b>SUBCHAPT</b>	ER 5. ADMINISTRATION	8:43A-12.16	Postanesthesia care staff time and availability
		8:43A-12.17	Postanesthesia care patient services
8:43A-5.1 8:43A-5.2	Appointment of administrator Administrator's responsibilities	8:43A-12.18	Postanesthesia care units and equipment
0.43A-3.2	Administrator s responsionnes		Designation of consultant pharmacist
SURCHART	ER 6. PATIENT CARE POLICIES AND		Physical plant
SERV	VICES		Exceptions for local anesthesia
8:43A-6.1	Establishment and implementation of policies and pro-	SUBCHAPT	ER 13. MEDICAL RECORDS
0.42 4 6 2	cedures	8:43A-13.1	Maintenance of medical records
8:43A-6.2 8:43A-6.3	Patient care policy committee Policies and procedures	8:43A-13.2	Assignment of responsibility
8:43A-6.4	Medical history and physical examination	8:43A-13.3	Contents of medical records
8:43A-6.5	Instructions and information for patients	8:43A-13.4 8:43A-13.5	Requirements for entries Medical records policies and procedures
8:43A-6.6	Communication assistance	8:43A-13.6	Preservation, storage, and retrieval of medical records
8:43A-6.7	Suitability of equipment and supplies	0.4571-15.0	reservation, storage, and retrieval of medical records
8:43A-6.8	Financial arrangements	SUBCHAPT	ER 14. INFECTION PREVENTION AND
8:43A-6.9	(Reserved)		TROL SERVICES
	Calibration of instruments Acupuncture services	8:43A-14.1	Administrator's responsibilities
6.45/A-0.11	Acupuncture services	8:43A-14.2	Infection control policies and procedures
SUBCHAPT	TER 7. MEDICAL SERVICES	8:43A-14.3	Infection prevention measures
		8:43A-14.4	Sterilization of patient care items
8:43A-7.1 8:43A-7.2	Provision of medical services Designation of medical director	8:43A-14.5	Care and use of sterilizers, ethylene oxide, peracetic
8:43A-7.3	Medical director's responsibilities		acid, low temperature gas, plasma, and steam
8:43A-7.4	Medical policies and medical staff bylaws	8:43A-14.6	Maintenance of sterile processing environment
		8:43A-14.7	Infection control quality improvement methods
SUBCHAPT 8:43A-8.1	ER 8. NURSING SERVICES		ER 15. EMERGENCY SERVICES AND STER PLANS
8:43A-8.2	Provision of nursing services Designation of director of nursing services		
8:43A-8.3	Responsibilities of director of nursing services	8:43A-15.1	Disaster planning
8:43A-8.4	Responsibilities of licensed nursing personnel	8:43A-15.2	Drills, tests, and inspections
8:43A-8.5	Nursing portion of the medical record	8:43A-15.3	Emergency medical services
		SUBCHAPT	ER 16. PATIENT RIGHTS
SUBCHAPT	ER 9. PHARMACEUTICAL SERVICES		
8:43A-9.1	Provision of pharmaceutical services through an insti- tutional pharmacy	8:43A-16.1 8:43A-16.2	Policies and procedures Rights of each patient
8:43A-9.2	Scope	8:43A-16.3	Notice
	Policies and procedures	SHECHART	ED 17 HOUSEKEEDING SANITATION AND
8:43A-9.4	Administration of medications	SAFE'	ER 17. HOUSEKEEPING, SANITATION AND
8:43A-9.5	Storage of drugs		
CLIDCLLADT	PED 10 COUNCELING SERVICES	8:43A-17.1	Housekeeping policies and procedures
	ER 10. COUNSELING SERVICES	8:43A-17.2 8:43A-17.3	Housekeeping staff Housekeeping patient services
8:43A-10.1	Provision of counseling services	8:43A-17.4	Environmental patient care services
8:43A-10.2	Provision of social work services	8:43A-17.5	Regulated medical waste and solid waste manage-
0:43A-10.3	Provision of dietary counseling		mant

8:43A-17.6	(Reserved)		ER 25. COMPUTERIZED TOMOGRAPHY (CT),
SUBCHAPT	ER 18. QUALITY ASSURANCE PROGRAM		NETIC RESONANCE IMAGING (MRI), AND OLOGICAL SERVICES
8:43A-18.1 8:43A-18.2	Quality assurance plan Quality assurance activities	8:43A-25.1 8:43A-25.2	Additional requirements and exceptions Additional staffing
	ER 19. PHYSICAL PLANT AND FUNCTIONAL IREMENTS	8:43A-25.3 8:43A-25.4	Safety Physical plant; computerized tomography and magnetic resonance imaging services
8:43A-19.1	Physical plant general compliance for new construc-	8:43A-25.5	Physical plant; radiological services
8:43A-19.2	tion or alteration  Physical plant general compliance for construction or alteration completed prior to the effective date of	SERV	
8:43A-19.3	this chapter Plan submission; payment of review fees	8:43A-26.1 8:43A-26.2	Additional requirements and exceptions Smoking in facility
8:43A-19.4	Alterations and repairs	8:43A-26.3	Additional services
8:43A-19.5	Provision for the handicapped	8:43A-26.4	Nurse staffing
8:43A-19.6	Common elements for ambulatory health care facil- ities	8:43A-26.5 8:43A-26.6	Drug abuse counseling services Designation of consultant pharmacist
8:43A-19.7	Small ambulatory care facilities	8:43A-26.7	Medical records
8:43A-19.8	Construction and renovation	8:43A-26.8	Notices
		8:43A-26.9	Employee health
SUBCHAPT	ER 20. FAMILY PRACTICE SERVICES	SUBCHAPT	ER 27. SATELLITES OF LICENSED
8:43A-20.1 8:43A-20.2	Additional requirements Medical staff to be provided		ULATORY CARE FACILITIES
0.43A-20.2	Wedlear starr to be provided	8:43A-27.1	Additional requirements and exceptions
SUBCHAPT	ER 21. FAMILY PLANNING, PRENATAL,	8:43A-27.2	On-site inspection
	PARTUM, AND GYNECOLOGICAL SERVICES	8:43A-27.3	Appointment of administrator
8:43A-21.1	Additional requirements and exceptions	8:43A-27.4	Patient care policies
8:43A-21.2	Medical staff to be provided	8:43A-27.5	Medical records
8:43A-21.3	Medical history	SUBCHAPT	ER 28. BIRTH CENTERS
8:43A-21.4	Medical records		
8:43A-21.5	(Reserved)	8:43A-28.1 8:43A-28.2	Additional requirements Service restrictions
ar in arr i no	ED AA DEDI EDIG GEDI HOEG	8:43A-28.3	Structural organization
SUBCHAPT	ER 22. PEDIATRIC SERVICES	8:43A-28.4	Designation of the clinical director
8:43A-22.1	Additional requirements	8:43A-28.5	Clinical director's responsibilities
8:43A-22.2	Medical staff to be provided	8:43A-28.6	Physician consultation
8:43A-22.3	Medical records	8:43A-28.7	Additional policies and procedures
SUBCHAPT	ER 23. PRIMARY CARE	8:43A-28.8 8:43A-28.9	Additional patient care services  Labor and delivery patient services
		8:43A-28.10	Newborn medical records
8:43A-23.1 8:43A-23.2	Additional requirements Infection prevention and control	8:43A-28.11	Maternal-fetal transport and neonatal transport
8:43A-23.3	Mobile vans	8:43A-28.12	
8:43A-23.4	Primary care outpatient facilities	8:43A-28.13	Additional quality assurance
8:43A-23.5	Small primary care outpatient facilities	SUBCHAPT	ER 29. EXTRACORPOREAL SHOCK WAVE
SUBCHAPT	ER 24. RENAL DIALYSIS	LITHO	OTRIPSY SERVICES
8:43A-24.1	Scope of renal dialysis standards	8:43A-29.1	Scope
8:43A-24.2	Definitions	8:43A-29.2	Purpose Definitions
8:43A-24.3	Minimum and maximum program size and transfer	8:43A-29.3 8:43A-29.4	Qualifications of the director of nursing services
	agreements	8:43A-29.5	Qualifications of radiologic technologists
8:43A-24.4	Renal dialysis policies and procedures	8:43A-29.6	Qualifications of urologists
8:43A-24.5 8:43A-24.6	Qualification of the medical director Renal dialysis staff qualifications and policies and	8:43A-29.7	Qualifications of anesthesiologists
6.43A-24.0	procedures	8:43A-29.8	Provision of anesthesia services
8:43A-24.7	Dialysis staffing	8:43A-29.9	Policies and procedures
8:43A-24.8	Infection prevention and control	8:43A-29.10 8:43A-29.11	Education of patients and family Equipment and supplies
8:43A-24.9	Reuse of dialyzers		Financial arrangements
8:43A-24.10	Water treatment and dialysate	8:43A-29.13	Data collection and reporting for performance im-
8:43A-24.11	Supplies and equipment Renal dialysis staff education and training		provement
8:43A-24.12 8:43A-24.13	Patient care plan	8:43A <b>-</b> 29.14	Provision of mobile or transportable services by li-
8:43A-24.14	Medical records	0.42 4 20 15	censed facility
8:43A-24.15	Physical plant requirements for all ambulatory dial- ysis facilities		Physical plant; lithotripsy services
8:43A-24.16	Emergency generator and water supply		ER 30. RADIATION ONCOLOGY
8:43A-24.17	Requirements for pediatric dialysis services	8:43A-30.1	Radiation oncology policies and procedures
8:43A-24.18	Renal dialysis quality assurance plan	8:43A-30.2	Radiology oncology continuous quality improvement
8:43A-24.19 8:43A-24.20	Consultant pharmacist Home care dialysis services	8:43A-30.3	methods Radiation therapy oncology services staff qualifica-
8:43A-24.21		3	tions

**43A-3** Supp. 2-4-08

8:43A-30.4 Radiation oncology services staff time and availabile	<b>I-</b>
ity	
8:43A-30.5 Radiation oncology patient services	
8:43A-30.6 Radiation oncology services supplies and equipment	
8:43A-30.7 Radiation oncology services quality improvemen methods	nt
8:43A-30.8 Megavoltage radiation oncology program utilization	
8:43A-30.9 Independent verification of radiation oncology equipment calibration	)-
8:43A-30.10 Data to be maintained and reported	

### SUBCHAPTER 31. WATER SUPPLY AND LAUNDRY 8:43A-31.1 Water supply Laundry policies and procedures 8:43A-31.2 8:43A-31.3 Laundry patient services 8:43A-31.4 Laundry space and environment Laundry supplies and equipment 8:43A-31.5 8:43A-31.6 Laundry staff education and training 8:43A-31.7 Laundry quality improvement methods

### SUBCHAPTER 32. OTHER SERVICES

8:43A-32.1	General provisions
8:43A-32.2	Services not described in this chapter
8:43A-32.3	Waiver requests

### SUBCHAPTER 33. PROGRAMS OF ALL-INCLUSIVE CARE FOR THE ELDERLY (PACE) **ORGANIZATIONS**

8:43A-33.1	Scope
8:43A-33.2	Purpose
8:43A-33.3	Compliance requirements
8:43A-33.4	Waiver requests

APPENDIX A. DRUG AND ALCOHOL ADMISSION RECORD; DISCHARGE RECORD

# SUBCHAPTER 1. DEFINITIONS AND QUALIFICATIONS

### 8:43A-1.1 Scope

- (a) This chapter applies to all health care facilities that provide ambulatory care services, including, but not limited to:
  - 1. Primary care, hospital outpatient, ambulatory surgery, family practice, family planning, outpatient drug abuse treatment, chronic dialysis, computerized tomography, magnetic resonance imaging, extracorporeal shock wave lithotripsy, and radiological services; and
  - 2. Abortion facilities, comprehensive outpatient rehabilitation facilities, and birth centers.
- (b) Ambulatory care facilities provide preventive, diagnostic, and treatment services to persons who come to the facility to receive services and depart from the facility on the same day.
- (c) The rules in this chapter constitute the basis for the licensure of ambulatory care facilities by the Department of Health and Senior Services.

Amended by R.2008 d.24, effective January 22, 2008. See: 39 N.J.R. 2309(a), 40 N.J.R. 702(a).

Inserted all subsection designations; in the introductory paragraph of (a), substituted "This chapter applies" for "The rules in this chapter pertain", "that" for "which" and a colon for ", primary", and inserted a comma following "services"; in (a)1, inserted "Primary" and substituted "; and" for ". These rules also pertain to abortion"; in (a)2, inserted "Abortion"; and in (c), substituted "Department of Health and Senior Services" for "New Jersey State Department of Health".

### 8:43A-1.2 Purpose

The goal of this chapter is to protect the health and safety of patients who receive ambulatory care services by establishing minimum rules and standards of care with which an ambulatory care facility must comply in order to be licensed to operate in New Jersey.

### 8:43A-1.3 Definitions

The following words and terms, when used in this chapter, shall have the following meanings, unless the context clearly indicates otherwise:

"Abortion facility" means a facility which performs termination of pregnancy, in accordance with N.J.A.C. 13:35-4.2, as a single modality. Facilities which offer multiple or comprehensive surgical services, inclusive of termination of pregnancy, are designated as ambulatory surgery facilities. Whereas all of the rules at N.J.A.C. 8:43A-12 apply to ambulatory surgery facilities, only those rules at N.J.A.C. 8:43A-12 which are relevant to the levels of anesthesia used in a particular abortion facility shall apply to that facility.

"Addiction Professionals Certification Board of New Jersey, Inc." means the entity by that name for which the contact information is Addiction Professionals Certification Board of New Jersey, Inc., 1200 Tices Lane, Suite 104, East Brunswick, NJ 08816 (732) 249-1991, telefacsimile (732) 249-1559, www.certbd.com.

"Advance directive" means a written statement of the patient's instructions and directions for health care in the event of future decision making incapacity. An advance directive may include a proxy directive or an instruction directive, or both.

"Affiliated community perinatal center" means a licensed hospital designated within a maternal and child health service region with which the birth center has a formal agreement for transfer and back-up services. This hospital must be designated as either a community perinatal center—intermediate or intensive or a regional perinatal center, in accordance with N.J.A.C. 8:33C.

"Ambulatory care facility" means a health care facility or a distinct part of a health care facility in which preventive, diagnostic, and treatment services are provided to persons