

CHAPTER 37I
FAMILY SUPPORT SERVICES

Authority

N.J.S.A. 30:4-177.43 et seq., specifically 30:4-177.52.

Source and Effective Date

R.2009 d.186, effective May 11, 2009.
See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

Chapter Expiration Date

In accordance with N.J.S.A. 52:14B-5.1.c(2), Chapter 37I, Family Support Services, expires on November 7, 2016. See: 48 N.J.R. 911(a).

Chapter Historical Note

Chapter 37I, Family Support Services, was adopted as R.1999 d.39, effective February 1, 1999. See: 30 N.J.R. 3891(b), 31 N.J.R. 434(a).

Chapter 37I, Family Support Services, was readopted as R.2003 d.475, effective November 12, 2003. See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

Chapter 37I, Family Support Services, was readopted as R.2009 d.186, effective May 11, 2009. See: Source and Effective Date. See, also, section annotations.

In accordance with N.J.S.A. 52:14B-5.1b, Chapter 37I, Family Support Services, was scheduled to expire on May 11, 2016. See: 43 N.J.R. 1203(a).

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SUBCHAPTER 1. GENERAL PROVISIONS

10:37I-1.1 Purpose; authority

(a) Pursuant to P.L. 1995, c.314, the Division of Mental Health Services of the Department of Human Services shall establish a program of family support services designed to strengthen and promote families who provide care in the community for a family member with a serious mental illness.

(b) A Statewide family support policy for persons with a serious mental illness shall acknowledge that families themselves are able to define their own needs and select their own services; these family supports shall be chosen by families, controlled by families and monitored by families.

(c) The system of family support shall vary in scope and intensity based upon the needs of a particular family unit and shall include, but not be limited to, the following:

1. Service coordination;
2. Estate and transition planning;
3. Housing assistance;
4. Homemaker assistance;
5. Accessing vocational and employment services;
6. After-school care;
7. Transportation;
8. Respite care;
9. Family education and training;
10. Medication education;
11. Self-advocacy training;
12. Entitlement training; and
13. Other services as identified by the family.

(d) Adults with a serious mental illness should be afforded the opportunity to make decisions and choices toward a more satisfying lifestyle and to live, work, learn and participate fully in their communities, and exercise their full rights as citizens. When families serve as the primary provider of care for a family member with a serious mental illness, the families should be provided with the supports they need to sustain that family member with dignity in a community setting, within available funding limits.

(e) No more than 10 percent of funds disbursed to a PA shall be allocated for administrative purposes.

(f) The Division and any agency funded by the Division to provide family support services shall assist families in obtaining all other sources of funding before using funds appro-

priated pursuant to and available for the purposes of P.L. 1995, c.314.

(g) The services provided pursuant to this act shall not supplant any existing rights, entitlements or services for which the family or family member with a serious mental illness may be eligible.

(h) Notwithstanding the provisions of any law to the contrary, the family support services provided pursuant to P.L. 1995, c.314 shall be considered a State benefit and shall not be counted as income for the purposes of State taxation or eligibility for other State benefits.

Amended by R.2009 d.186, effective June 15, 2009.

See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

In (d), deleted "for themselves, live in typical homes" following "decisions", and inserted "choices toward a more satisfying lifestyle and to live, work, learn and participate fully in their" preceding and a comma following "communities".

10:37I-1.2 Scope

The provisions of this chapter shall apply to all families who reside in the State of New Jersey and who are actively involved in caring for, or supporting, an adult family member with a serious mental illness.

Amended by R.2009 d.186, effective June 15, 2009.

See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

Substituted "an adult" for "a".

10:37I-1.3 Definitions

The following words and terms, when used in this chapter, shall have the following meanings, unless the context clearly indicates otherwise:

"Adult" means any individual 18 years of age or older and those who are transitioning into adult systems of care.

"Advocacy" means staff activities that, in cooperation with families, help the community and other professionals understand and respond to the needs of families with a mentally ill member (for example, technical assistance to other service providers or community organizations; supporting family members to participate and influence mental health policy formation, assertiveness training for family members on how to present themselves to professionals); or staff activities on behalf of a specific family (for example, meeting with employers to encourage flexible work schedules or emergency leaves).

"Commissioner" means the Commissioner of the Department of Human Services.

"Department" means the Department of Human Services.

"Division" means the Division of Mental Health Services in the Department of Human Services.

"Engagement" means the process of establishing a collaborative relationship with a family built on caring and trust

whereby the IFSS program establishes its value to the family by addressing its immediate needs, conveying a sense of hope and "being there" for the family in times of need.

"Family" means persons related to the family member with a serious mental illness by blood, marriage, adoption, guardianship, foster care or other significant care giving relationship.

"Family concern" means the objective and subjective experience of families coping with the acute and long-term responsibilities associated with community care and treatment. Family concerns may include disrupted family life, worry, stigma and sense of loss, as well as tangible costs in savings spent, time lost, and social isolation.

"Family concerns survey" means a valid and reliable instrument that measures objective and subjective pressures that a family experiences in caring for their member with a serious mental illness.

"Family satisfaction with services" means a measurement of a family's experience with a particular PA as to the quality, impact, accessibility and relevance of IFSS.

"Family support group" means a time-limited or ongoing group in which families meet together to provide mutual support, information and opportunity to interact with other families having similar concerns and to help ease the isolation and stigmatization that many families feel.

"Family support services" means a coordinated system of on-going public and private support services which are designed to maintain and enhance the quality of life of a family.

"Family unit" means the family member with a serious mental illness and his or her family.

"Intensive family support services (IFSS)" means a range of family-driven supportive activities designed to improve the overall functioning and quality of life of families with a relative with a serious mental illness.

"Level of family concern" means the results achieved through administration of the Family Concerns Survey.

"NAMI New Jersey" is the name of a New Jersey State-wide self-help and advocacy organization dedicated to improving the lives of people with serious mental illnesses and their families.

"NAMI New Jersey Family-to-Family Education Program" means an education program given by families of people with serious mental illness for families of people with serious mental illness.

"Program" means the program of family support services established pursuant to this chapter.

“Provider agency (PA)” means a public or private organization which has a contract with the Division to provide intensive family support services, and which meets the requirements contained in N.J.A.C. 10:37.

“Psychoeducation group” means a multi-family group, which meets the standard delineated at N.J.A.C. 10:37I-5.6(b), and which meets on a regularly scheduled, time-limited basis for the purpose of providing families with a greater knowledge of mental illness, treatment options and skills useful in managing the illness within the family and supporting the recovery process. The psychoeducation group may include the family member with a serious mental illness.

“Referral/service linkage” means staff activities which provide individualized help or guidance to families in procuring needed mental health and non-mental health services or assistance (for example, applications for entitlements, referral to counseling, linkage with home health aide or visiting nurse associations).

“Respite” means non-emergency services designed to allow an individual family planned time away from their member with a serious mental illness.

“Serious mental illness” means a diagnosable mental disorder which is sufficiently severe and enduring to cause periodic or lasting functional impairment in one or more life areas, often recurrent contact with the mental health system, or a significant risk of hospitalization in a State, county or private psychiatric institution.

“Single family consultation” means staff activities related to providing information to and consultation with an individual family on an “as needed” basis in order to enhance the overall functioning of the family with a member who has a serious mental illness.

“Statewide family advocacy organization” means an organization consisting primarily of family members of people with a serious mental illness that is dedicated to improving the lives of persons with serious mental illness and their families through mutual support, education and advocacy.

Amended by R.2003 d.475, effective December 15, 2003.
See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

Rewrote the section.

Amended by R.2009 d.186, effective June 15, 2009.
See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

In definitions “Family concerns survey”, “Intensive family support services (IFSS)”, and “Respite”, inserted “with a serious mental illness”; in definition “Family concerns survey”, deleted “mentally ill” preceding “member”; in definition “Intensive family support services (IFSS)”, substituted “family-driven” for “family driven” and deleted “mentally ill” preceding “relative”; in definition “NAMI New Jersey”, inserted “serious”; substituted definition “NAMI New Jersey Family-to-Family Education Program” for “NAMI New Jersey Family to Family Education Program”; in definition “NAMI New Jersey Family-to-Family Education Program”, substituted “an” for “a family to family”, and inserted “serious” twice; in definition “Psychoeducation group”, inserted a comma following “group”, “meets the standard delineated at N.J.A.C. 10:37I-5.6(b), and which” and the last sentence; in definition “Respite”, deleted “ill” preceding and “living at home” following “member”; and in

definition “Single family consultation”, deleted “mentally ill” preceding “member” and inserted “who has a serious mental illness”.

SUBCHAPTER 2. ELIGIBILITY CRITERIA

10:37I-2.1 General eligibility

(a) A family is eligible to participate in the program if the family resides in the State and is actively involved in caring for, or supporting, a family member with a serious mental illness.

(b) The services provided pursuant to this chapter shall not supplant any existing rights, entitlements or services for which the family or family member with a serious mental illness may be eligible.

SUBCHAPTER 3. FAMILY SUPPORT COORDINATOR

10:37I-3.1 Role of the coordinator

(a) The family support program shall be monitored by the Division and administered by a Family Support Coordinator working under the direction of a Statewide family advocacy organization designated by the Division to administer the program. The Statewide family advocacy organization shall not be under contract with the Division to provide Intensive Family Support Services.

(b) The Family Support Coordinator shall be qualified by training and experience to perform the duties of this position, pursuant to N.J.S.A. 30:4-177.47, as demonstrated by the following:

1. A master’s level degree in social work, psychology, public health or other similar advanced degrees.
2. Five years experience in the planning, coordination and implementation of services for people with serious mental illness and their families.
3. Experience in the development of needs assessments.
4. Experience in the development of family support services.
5. Ability to facilitate workgroups consisting of family members.

(c) The Family Support Coordinator, in conjunction with the Statewide family advocacy organization and local family advocacy groups, shall work to expand and establish family support services throughout the State, in accordance with 1 through 3 below.

1. In conjunction with the three regional family working groups and the Statewide family working group, estab-

lished pursuant to N.J.S.A. 30:4-177.48, the Family Support Coordinator shall adopt, review and revise as needed, but no less than annually, a State Family Support Services Plan for Families of Persons with a Serious Mental Illness. The Plan shall identify:

- i. The needs, goals and family priorities for the provision of family support services;
- ii. Strategies to provide outreach and coordinated delivery of support services; and
- iii. Identification of additional funding needs for the program to supplement funds appropriated pursuant to and available for the purposes of this chapter.

2. The Family Support Coordinator shall coordinate efforts by public and private agencies and local family advocacy groups. Coordination shall include, but not be limited to:

- i. The identification of services provided by different agencies to avoid duplication;
- ii. Planning with all agencies to ensure that gaps in services are filled; and
- iii. The coordination of activities for receiving and adopting input from local family advocacy groups.

3. At least annually, the Family Support Coordinator shall report in writing to the Statewide family advocacy organization and the Division on the efforts of the regional family working groups to effectuate the purposes of P.L. 1995, c.314 (N.J.S.A. 30:4-177.43 et seq.).

SUBCHAPTER 4. FAMILY SUPPORT WORKING GROUPS

10:371-4.1 Responsibilities of regional family support working groups

(a) There shall be three regional working groups, corresponding to regions established by the Division.

(b) The regional family working groups shall assess regional needs for family support services and make recommendations to the Statewide family working group.

10:371-4.2 Membership of regional family support working groups

(a) Members of the three regional working groups shall be designated by the Family Support Coordinator in conjunction with the Statewide family advocacy organization.

(b) Members shall serve without compensation and shall include a family member of a person with a serious mental illness, a person with a serious mental illness or other representative of a group interested in advocating for persons with serious mental illness and their families.

10:371-4.3 Responsibilities of Statewide family working group

The Statewide family working group shall monitor the support services from the three regions and provide recommendations to the coordinator regarding family support services.

10:371-4.4 Membership of the Statewide family working group

(a) Members of the Statewide family working groups shall consist of three members from each of the regional family working groups in the State.

(b) Members shall be designated by the respective regional family working groups and shall serve without compensation.

SUBCHAPTER 5. INTENSIVE FAMILY SUPPORT SERVICES STANDARDS

10:371-5.1 Scope and purpose

(a) The rules in this subchapter shall apply to all provider agencies (PAs) of intensive family support services funded by the Division.

(b) The purpose of intensive family support services is to improve the overall functioning and quality of life of families with a relative with a serious mental illness. Family members and professionals work collaboratively to provide each family with the knowledge, skills and supports, which they identify as useful to a family's overall functioning and sense of control. This purpose shall be achieved through reaching out to relatives and others closely involved in and concerned about their family member's daily functioning and offering them a choice of supportive activities, which are family-driven, accessible, and flexible in frequency, location and hours of delivery.

1. IFSS enhance family functioning by providing the family with a greater knowledge about mental illness, treatment options, the mental health system and skills useful in managing and reducing symptomatic behaviors of the family member with a serious mental illness. Families also learn patterns of communication and levels of environmental stimulation, which have been demonstrated to reduce the number of psychiatric crises and hospitalizations. Families are encouraged to attend to their own needs for time off and social activities and are provided the supports to make it possible. Education and support activities may include psychoeducation groups, single family consultations, respite, family support groups, systems advocacy, referral/service linkage, and medication education. Services shall be delivered in the family home, at the agency or at other sites in the community convenient to individual family members. Families choose from an array of services those that are most relevant to their circumstances, which may change over time.

(c) The rules in this subchapter provide a description of the clients for whom the services are targeted, services to be provided, the requirements and responsibilities of the PAs and their staff, and the procedures required to provide the service.

Amended by R.2003 d.475, effective December 15, 2003.
See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

In (b), substituted "accessible," for "assertive" in the last sentence of the introductory paragraph and rewrote the first sentence in 1.

Amended by R.2009 d.186, effective June 15, 2009.

See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

In the introductory paragraph of (b), deleted "(IFSS)" following "services", "mentally ill" preceding "relative" and "ill" preceding "family member's", inserted "with a serious mental illness" and a comma following "supports" and following "activities", and substituted "family-driven" for "family driven"; and in (b)1, deleted "ill" preceding "family member", substituted "with a serious mental" for "due to the" and "referral/service" for "referral/ service", and inserted a comma following "stimulation" and following "circumstances".

10:37I-5.2 Written policies and procedures

(a) The PA shall develop and implement written policies and procedures to ensure that the services provided comply with the rules in this chapter. The PA shall have written and implemented policies and procedures, which:

1. Support the concept and delivery of flexible and individualized educational and supportive activities designed to improve the overall functioning and quality of life of families with a mentally ill relative;

2. Define and prioritize the target population for IFSS and the PA's methods to identify potential families and offer them services;

3. Require individual family assessment and service needs. Assessments shall include, but not be limited to, the administration of a family concerns survey approved by the Division, after submission and review of scientific testing documentation. Such assessments shall continue throughout the entire length of stay and shall be used to plan IFSS with family members;

4. Describe how IFSS are monitored and how these monitoring activities are integrated with an overall quality assurance plan. Policies and procedures shall incorporate regular family feedback as to the quality, impact, accessibility and relevance of IFSS. Policies and procedures also shall include how the administration of Division approved instruments to measure family satisfaction with services and level of family concern shall occur, who shall administer them, and how findings will be incorporated into their overall quality assurance plan;

5. Assure that there is family input into all aspects of the program;

6. Assure that all families shall have access to the full range of IFSS regardless of ability to pay and that any fees imposed by the PA will not serve as a barrier to engaging families into services;

7. Assure that respite workers are oriented to individual families and their family member with a serious mental illness. Orientation shall include specific information about the family and a meeting with the family member with a serious mental illness prior to respite activities;

8. Assure that services are culturally and linguistically accessible to all eligible families;

9. Monitor each family's wait for service and the prioritization of families for service; and

10. Relate to IFSS access to and use of family assistance funds.

Recodified from N.J.A.C. 10:37I-5.3 and amended by R.2003 d.475, effective December 15, 2003.

See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

Rewrote the section. Former N.J.A.C. 10:37I-5.2, Definitions, repealed.

Amended by R.2009 d.186, effective June 15, 2009.

See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

In the introductory paragraph of (a), inserted a comma following the second occurrence of "procedures"; in (a)3, substituted "service needs" for "goal setting", and the final occurrence of "assessments" for "assessment", and inserted "continue throughout the entire length of stay and shall"; and in (a)7, deleted "ill" preceding both occurrences of "family member", and inserted "with a serious mental illness" twice.

10:37I-5.3 Population priorities

(a) Services shall be offered to parents, spouses, siblings and children of adults with a serious mental illness. Others who may use such services include relatives who are closely involved in and concerned about the daily functioning of the family member with a serious mental illness, or significant others and non-relatives who are the primary caregivers for the family member with a serious mental illness.

1. For the purposes of the IFSS program priorities, serious mental illness shall be defined, using the Diagnostic and Statistical Manual of Mental Disorders (IV) of the American Psychiatric Association, as amended and supplemented, incorporated herein by reference. Serious mental illness is a primary Axis I psychiatric diagnosis or exhibiting symptoms of:

- i. Schizophrenia or other psychotic disorders;
- ii. Major depressive disorders;
- iii. Bipolar disorders;
- iv. Delusional disorders;
- v. Schizoaffective disorder; or
- vi. Obsessive-compulsive disorder in the severe range.

2. Family members of close relatives with personality disorders in the severe range may qualify if their ill family member has a history of or is at risk of hospitalization as a result of the personality disorder.

(b) Services shall be made available to family members living in the geographic area served by the PA regardless of

the family's ability to pay for services or whether or not their ill family member is enrolled in agency services or resides in the PA's geographic area. Family members may receive services from a PA located outside the geographic area in which they are living if that PA agrees. However, PAs shall give priority to family members residing in their geographic area.

(c) Efforts shall be made to offer services to families in crisis. Referral protocols shall be developed with psychiatric inpatient and screening center programs which recognize the need of families at such times for support.

Recodified from N.J.A.C. 10:371-5.4 and amended by R.2003 d.475, effective December 15, 2003.

See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

In (a), substituted "adults" for "people" following "children of". Former N.J.A.C. 10:371-5.3, Written policies and procedures, recodified to N.J.A.C. 10:371-5.2.

Amended by R.2009 d.186, effective June 15, 2009.

See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

In the introductory paragraph of (a), deleted "ill family member's" preceding "daily" and "ill member's" preceding "primary", and inserted "of the family member with a serious mental illness", "significant others and", and "for the family member with a serious mental illness"; in the introductory paragraph of (a)1, substituted "Diagnostic and Statistical Manual of Mental Disorders (IV)" for "*Diagnostic and Statistical Manual of Mental Disorders (IV)*", inserted "Axis I", and deleted "on Axis I" following "diagnosis"; and in (b), inserted "or resides in the PA's geographic area" and the last two sentences.

10:371-5.4 Admission criteria

(a) A family shall be considered a participant with an open IFSS case file once an initial intake, assessment of the family's needs and description of services has occurred and the family agrees to further service.

1. IFSS staff shall perform the assessment in a face-to-face setting with the family, unless the family chooses otherwise. In the event that a family chooses not to meet for a face-to-face assessment but wishes to receive services, this fact shall be documented in the record and the case shall be opened.

(b) A family shall remain active as long as it requests the assistance of IFSS.

(c) Criteria shall be developed to establish immediacy of need and priority for service.

(d) If a family does not use IFSS for a period of six months, the PA shall contact the family to assess the need for continued services.

1. If no services are needed at that time, the family's case file shall be closed and the family shall be encouraged to contact IFSS at any time if they want to again use any of the program's services.

2. At the PA's discretion, families with closed IFSS files will remain on the program's contact list and continue to receive announcements unless they indicate otherwise.

3. A family's case file may be reopened at any time in the future, so long as the family continues to qualify for service.

Recodified from N.J.A.C. 10:371-5.5 and amended by R.2003 d.475, effective December 15, 2003.

See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

Rewrote (a). Former N.J.A.C. 10:371-5.4, Population priorities, recodified to N.J.A.C. 10:371-5.3.

Amended by R.2009 d.186, effective June 15, 2009.

See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

Rewrote the introductory paragraph of (a); deleted former (a)1 and recodified (a)1i as (a)1; in (a)1, inserted the first sentence; deleted former (a)2 and (a)3; and added (d).

10:371-5.5 Criteria for termination of services

(a) Termination from IFSS shall take place when one of the following occurs:

1. The family indicates that they no longer want services; or

2. The family moves out of the geographic area served by the PA and the PA's services are no longer accessible to the family. If the family moves to another county with an IFSS program, the PA shall inform the family and provide a contact name and telephone number.

Recodified from N.J.A.C. 10:371-5.6 by R.2003 d.475, effective December 15, 2003.

See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

Former N.J.A.C. 10:371-5.5, Admission criteria, recodified to N.J.A.C. 10:371-5.4.

Amended by R.2009 d.186, effective June 15, 2009.

See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

In (a)1, substituted "indicates that" for "states".

10:371-5.6 Service planning and services to be provided

(a) IFSS PAs shall include single family consultations in the service package they offer to families.

1. Single family consultations shall be scheduled in the family home or other sites and at times convenient to the family.

2. Single family consultations shall include, but not be limited to, individualized strategies for coping, problem solving, support, education, direct assistance, referral and advocacy.

3. IFSS staff shall provide the type of information related to the goals of the IFSS program, as delineated in N.J.A.C. 10:371-5.1(b) to family members according to their individual needs.

4. IFSS PAs shall have mechanisms in place to respond to a family in crisis during off-hours including evenings and weekends.

(b) IFSS PAs shall include psychoeducational groups in the service package they offer to families.

1. Psychoeducation groups shall be conducted according to the principles of evidence-based, best and/or

promising practices and shall at a minimum include the following:

- i. Support for the family including exploration of feelings and attitudes about the illness and its impact on the loved one;
- ii. Clear presentations about the biological causes of specific mental disorders, as well as facts about how the illness is likely to affect the ill family member's thinking, feelings and behaviors;
- iii. Review of positive and negative symptoms of mental illness, along with discussions of methods of symptom management;
- iv. Explanations of the role of medication in the management of mental illness, including discussions of the major drug classes and their therapeutic and side effects;
- v. Reduction of relapse and rehospitalization by enlisting families in early identification of prodromal symptoms;
- vi. Training in coping and stress reduction strategies, minimally including limit setting, problem solving and communication techniques;
- vii. Adjustment of family expectations concerning the social functioning of the family member with a serious mental illness and introduction of the idea of incremental progress and recovery, rather than cure;
- viii. Education about the role of the mental health system and strategies to promote continuity of care;
- ix. Attempts to increase family social support networks in order to reduce isolation;
- x. Information about other available resources, such as residential and substance abuse programs and self-help groups; and
- xi. Strategies for future planning, such as estate management and guardianship, and vocational options.

2. Groups shall be geographically, linguistically and culturally accessible to eligible families.

3. Groups shall be scheduled at times convenient for family participation.

4. The NAMI-NJ Family to Family Education Program shall be made an option for families receiving IFSS. The schedule of IFSS psychoeducation series and NAMI New Jersey's Family to Family Education program shall be coordinated, where both services are available.

(c) Additional services shall be offered to families based on local and individual family needs as determined by families in consultation with the PA. Consultation with local family groups on the menu of services offered shall occur annually.

1. The PA shall identify existing resources or develop new ones in response to family requests for assistance which may change over time.

2. If needed, additional services may include, but not be limited to, the following:

- i. Respite services which may be provided in the home, out of the home and as a day, evening or overnight service;
- ii. Family support groups including specialized groups targeted to parents, children, spouses;
- iii. Advocacy; and
- iv. Referral/linkage.

Recodified from N.J.A.C. 10:371-5.7 and amended by R.2003 d.475, effective December 15, 2003.

See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

In (c)2, substituted "If needed, additional" for "Additional" preceding "services". Former N.J.A.C. 10:371-5.6, Criteria for termination of services, recodified to N.J.A.C. 10:371-5.5.

Amended by R.2009 d.186, effective June 15, 2009.

See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

In (a)2, deleted "linkage and" preceding "advocacy"; in (a)3, inserted "the type of" and "related to the goals of the IFSS program, as delineated in N.J.A.C. 10:371-5.1(b)", and deleted the last two sentences; in the introductory paragraph of (b)1, inserted "be conducted according to the principles of evidence-based, best and/or promising practices and shall"; in (b)1iii, inserted "positive and negative", and deleted "including positive and negative ones," preceding "along"; and in (b)1vii, deleted "patient's" preceding "social", and inserted "of the family member with a serious mental illness".

10:371-5.7 Service coordination

(a) The PA shall coordinate services with other mental health family support organizations and programs. Evidence of service coordination shall be reflected in the clinical record.

(b) In order to identify the target population, IFSS staff shall develop collaborative relationships with the social work staff at State and county psychiatric hospitals, screening services, Acute Care Family Support programs, designated short-term care facilities, and local inpatient units and jails in order to reach out to families from their service area who are experiencing a crisis with their family member who has a serious mental illness.

1. The PA shall develop an IFSS brochure that shall be circulated widely in the community (for example, in visiting rooms/bulletin boards of local hospitals; offices of private practitioners and clergy; community bulletin boards in local libraries, supermarkets, and newspapers).

2. If an Acute Care Family Support program does not exist in the county, IFSS staff shall educate psychiatric inpatient and screening services staff on the needs of families in crisis and establish referral protocols.

Recodified from N.J.A.C. 10:371-5.8 by R.2003 d.475, effective December 15, 2003.

See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

Former N.J.A.C. 10:371-5.7, Service planning and services to be provided, recodified to N.J.A.C. 10:371-5.6.
Amended by R.2009 d.186, effective June 15, 2009.
See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

In the introductory paragraph of (b), substituted "services, Acute Care Family Support programs" for "centers" and "short-term" for "short term", deleted "ill" preceding "family member", and inserted "who has a serious mental illness"; and in (b)2, inserted "If an Acute Care Family Support program does not exist in the county," and substituted "services" for "center".

10:371-5.8 Assessment, service preferences and record documentation

(a) The PA shall maintain individualized and complete records for all families for whom an assessment is completed and service preferences are documented and cosigned by the family.

(b) The assessment process shall continue throughout the entire length of service and the documents related to the assessment process shall be revised whenever there is a significant change.

(c) The records shall be maintained in an up-to-date, organized manner in a secure location at the PA.

(d) The records shall contain all relevant information and shall be maintained to preserve confidentiality. At a minimum, the records shall contain the following:

1. The original IFSS family intake information, which identifies, at a minimum:

- i. The names of involved family members;
- ii. Referral date and source;
- iii. Presenting needs; and
- iv. Whether or not the family is dealing with an emergent crisis.

2. FSS assessments, which shall document in the record the following information as it occurs:

- i. A family concerns survey approved by the Division, after submission of documentation. The records shall include all family concern surveys administered by IFSS staff at admission into the program at six months, one year from the six-month date and annually thereafter. Level of concern information shall also be collected regarding families with closed case files. If the level of concern survey is not completed despite reasonable and diligent staff attempts to do so, the record shall note these attempts;
- ii. Family strengths and vulnerabilities: for example, their understanding of their loved one's illness and treatment options, aging and health issues, social support network;
- iii. Expressed needs;

iv. Functioning, diagnosis and medications of family members with a serious mental illness, if known; and

v. Service preferences, including location of services;

3. IFSS service preferences, as follows:

i. The record shall contain documentation of a family's service preferences which shall be cosigned by the family support specialist and the family members participating in the Intensive Family Support Program;

ii. The record shall contain documentation that the range of family support services available in the county has been explained to the family;

iii. The PA shall request family members to indicate in writing on the service preference form that they have received information regarding self-help and other support resources in the community.

iv. Documentation of service preferences shall be completed as part of the assessment process;

v. Service preferences shall be related to documented family need and request for services; and

vi. Service preferences shall be reviewed with the family and revised whenever there is a significant change in the family's situation but minimally in conjunction with the administration of the family concerns survey, as delineated herein at (c)2i above;

4. Progress notes, as follows:

i. A summary of services shall be documented for each face-to-face contact;

ii. The initial face-to-face contact with the family shall be documented specifying the outcome of the contact and other significant information available at the time;

iii. Progress notes shall make reference to the family's preferences and reflect the family's status, interventions provided, family response to interventions and change in service provision;

iv. Progress notes shall reflect collateral contacts and communication with persons other than the family on behalf of the family which impact on the family's status or service provision;

v. Progress notes shall reflect attempts to complete the family level of concern survey and the family preference form in compliance with the timeframes specified in (c)2i above if the documents referenced in this section are not completed, despite reasonable and diligent staff attempts;

vi. Progress notes shall reflect status of the family's natural support system;

vii. Progress notes shall specify location of face-to-face contact; and

viii. Notes shall be properly authenticated with a signature, date and title for each entry;

5. Activity logs which identify the type, date and frequency of all support services a family is receiving including those provided by IFSS PAs and other agencies, and self-help organizations, when known;

6. Documentation which summarizes the family's well-being when placed on inactive status and at the six-month follow-up contact required by N.J.A.C. 10:37I-5.4(a)2ii;

7. Relevant release of information forms;

8. Documentation that the family has received a referral to their local NAMI-NJ affiliate and other self-help organizations; and

9. PA's summary report regarding family's termination from IFSS program.

i. The summary shall include:

(1) The reasons for termination;

(2) Family's status at termination in relation to most recent family concerns survey;

(3) Family response to services including, when possible, a family's self-assessment of progress and further needs, and a final family concerns survey; and

(4) Recommendations, plans or linkages for further service, if needed.

Recodified from N.J.A.C. 10:37I-5.9 and amended by R.2003 d.475, effective December 15, 2003.

See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

Rewrote (c). Former N.J.A.C. 10:37I-5.8, Service coordination, recodified to N.J.A.C. 10:37I-5.7.

Amended by R.2009 d.186, effective June 15, 2009.

See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

Added new (b) and recodified former (b) and (c) as (c) and (d); in the introductory paragraph of (d)1, inserted a comma following "information" and following "identifies"; in (d)1ii, inserted "date and"; rewrote the introductory paragraph of (d)2; in (d)2i, substituted "one year from the six-month date" for "12 months", "regarding" for "when", and "with closed case files" for "are placed on inactive status;"; and inserted the last sentence; rewrote (d)2iv; in (d)2v, inserted a comma following "preferences" and deleted "and" following the semicolon; deleted (d)2vi; added new (d)4v and recodified former (d)4v through (d)4vii as (d)4vi through (d)4viii; in the introductory paragraph of (d)9, substituted "PA's summary report regarding family's" for "PA", and deleted "summary" following "program"; in (d)9i(2), inserted "in relation to most recent family concerns survey"; and in (d)9i(3), substituted "when" for "where".

10:37I-5.9 Staffing requirements

(a) The PA shall employ staff with demonstrated attitudinal qualities, skills and competencies in knowledge areas, such as, but not limited to:

1. Ability to provide up-to-date information about bioneurological aspects of major mental illnesses and the medications used to treat these illnesses;

2. Ability to provide information on the impact of substance use and abuse on the course of mental illnesses; risks associated with mixing various psychotropic medications with other mood altering substances and knowledge of Al-Anon resources geographically accessible to the family when needed;

3. Knowledge of diathesis-stress research on communication patterns and environmental stimulation as it relates to relapse rates and symptomology;

4. Knowledge of mental health and social resources in the county and the ability to draw upon local expertise as needed;

5. Knowledge of principles of adult education and group process;

6. A genuine regard for families of persons with mental illnesses, who have not caused their relative's illness, who have valuable insights and who can have a strong positive influence on their ill member's recovery;

7. Able to design and deliver workshops and presentations;

8. Responsive to the feelings and concerns of family members;

9. Ability to reach out to minority families and serve them effectively;

10. Ability to teach families new skills and coach them in using their skills in multiple environments as circumstances require;

11. Ability to provide assertiveness training to family members on how to present themselves to professionals in the mental health system;

12. Experienced and comfortable with providing services in the family home and other off-site service provision;

13. Flexible with regard to time and place in order to accommodate the individual needs and preferences of family members;

14. Ability to establish a referral network and to provide technical assistance to professionals within the mental health system and other community groups regarding the value of seeing the person with a serious mental illness as part of a family unit deserving attention and support (for example, religious groups; health care providers); and

15. An understanding of how to provide respite in a family home and to train respite workers as necessary;

(b) Each PA shall employ qualified staff sufficient in number to serve the needs of its geographic region. Staff shall have skills, which will enable them to educate families and collaborate with them in the rehabilitation process, support them in coping with their relative's illness and enhance their effectiveness as caregivers. IFSS staff shall help families maintain an environment that is conducive to the recovery process and to enjoy a better quality of life during the course of the illness.

1. The PA shall hire at least one full time employee who shall function as a Family Support Specialist and not be shared with other PA program elements.

2. Each Family Support Specialist shall have an earned Master's degree in a mental health clinical discipline and possess a minimum of three years experience providing mental health services to people with severe and persistent mental illness and their families.

i. If the PA employs additional voluntary or paid family support staff as part of its IFSS program, then the Family Support Specialist shall have a supervisory role over this additional staff.

3. Additional family support staff and consultants employed by the PA in its IFSS Program shall, at a minimum, have a Bachelor's level degree in the behavioral health sciences and two years experience working in the mental health field or a registered nursing degree/diploma and two years experience working in the mental health field.

i. Bachelor's degree level staff shall be supervised by the Master's degree level Family Support Specialist.

4. IFSS PAs shall consider employing knowledgeable people with first hand experience in living with a loved one with severe mental illness on a paid or volunteer basis. A Bachelor's level degree may be waived for knowledgeable and experienced family members.

5. IFSS PAs shall also consider employing people with knowledge and experience regarding minority or underserved families in the geographic area.

6. IFSS PAs shall involve families in the staff selection process.

(c) Respite workers employed by the PA must at a minimum have a high school diploma and be specifically trained to provide respite services.

Recodified from N.J.A.C. 10:371-5.10 and amended by R.2003 d.475, effective December 15, 2003.

See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

In (b), added a new 5 and recodified former 5 as 6. Former N.J.A.C. 10:371-5.9, Assessment, service preferences and record documentation, recodified to N.J.A.C. 10:371-5.8.

Amended by R.2009 d.186, effective June 15, 2009.

See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

In the introductory paragraph of (a), inserted a comma following "areas", and inserted ", but not limited to"; in (a)14, substituted "person with a serious mental illness" for "ill family member"; in the

introductory paragraph of (b), deleted "sufficient numbers of" following "employ", and inserted "sufficient in number to serve the needs of its geographic region" and inserted a comma following "skills"; in (b)2i, inserted "a", and substituted "role over this additional staff" for "experience"; and in the introductory paragraph of (b)3, inserted a comma preceding and following "at a minimum", and inserted "/diploma".

10:371-5.10 Training

(a) The PA shall develop and implement an individualized training plan for each IFSS staff member, including the Family Support Specialist.

(b) Training plans and documentation of training received shall be made a part of the personnel file of each IFSS staff member.

(c) The PA shall provide IFSS staff the time and resources it needs to remain up-to-date with the latest published research on the etiology and treatment of brain disorders.

(d) The PA shall document specific training to respite workers. Training shall include, but not be limited to, the following topics:

1. Crisis/emergency response;
2. Medications and medication observation;
3. Overview of serious mental illness;
4. Mission of IFSS;
5. Basic etiquette for being in a family home;
6. Confidentiality requirements;
7. Orientation to local mental health services and community resources; and
8. Professional conduct.

(e) The PA shall provide staff the training resources it needs to provide education to families including, but not limited to, videotapes, workbooks and informational brochures.

1. The PA shall provide sufficient resources to assure that information developed for families is linguistically accessible, easily understood and culturally responsive.

Recodified from N.J.A.C. 10:371-5.11 by R.2003 d.475, effective December 15, 2003.

See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

Former N.J.A.C. 10:371-5.10, Staffing requirements, recodified to N.J.A.C. 10:371-5.9.

Amended by R.2009 d.186, effective June 15, 2009.

See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

In (a), inserted ", including the Family Support Specialist"; in the introductory paragraph of (e), deleted a comma following "workbooks"; and in (e)1, inserted ", easily understood".

10:371-5.11 Quality assurance

(a) The PA shall comply with the quality assurance and licensure requirements as promulgated in N.J.A.C. 10:37-9 and 10:190, respectively.

(b) The PA shall comply with the client complaint/agency ombuds procedure as promulgated in N.J.A.C. 10:37-4.6.

(c) The PA shall collect information on family satisfaction with services.

1. The PA shall attempt to collect information on satisfaction with services annually for all families who have received IFSS services in the past year, and at the closing of a family's case file. The PA shall document these attempts in the family record.

2. The PA shall use a Division approved instrument.

3. The PA shall assure that findings from satisfaction with services surveys remain confidential and separate from the family's treatment record.

(d) The PA shall collect information on each family's level of concern.

1. Level of concern information shall be obtained at intake, at six months, one year from that six-month date, and annually thereafter. Level of concern information shall also be collected when a family's case file is closed.

2. The PA shall use a Division approved instrument.

3. The PA shall review increases and decreases in family level of concern as part of its quality assurance process.

(e) The PA shall make aggregated reports on family satisfaction, level of concern and types of services provided available to the Division upon request but no more than quarterly.

(f) The PA shall annually review aggregated information on referral sources of family members to its IFSS program.

(g) The PA shall meet at least twice each year with an advisory group, consisting of members of local NAMI affiliates, families served by the program and other family support and self-help organizations, where available. The intent of these meetings shall be to dialogue and obtain feedback on how well activities of the PA are meeting the needs of families and how well the activities of the family support organizations are coordinated. Feedback shall be made a part of the quality assurance process.

1. There shall be documented evidence that the feedback has been incorporated into the Quality Assurance Plan.

(h) The PA shall also monitor and evaluate utilization of IFSS resources.

1. At a minimum, the following data shall be routinely collected and analyzed:

i. The number of people who attend individual psychoeducational sessions or educational workshops;

ii. The number of families who request and receive respite services and the amount of time spent providing respite services;

iii. Wait for service data, including:

(1) The length of time from referral to intake interview;

(2) The length of time from intake interview to initiation of services; and

(3) Monthly number of clients on the waiting list, if applicable;

iv. Caseload size, which is the number of families, per family support staff member; and

v. The total number of face-to-face visits including the number of off-site face-to-face visits and the number of agency based face-to-face visits.

Recodified from N.J.A.C. 10:37I-5.12 and amended by R.2003 d.475, effective December 15, 2003.

See: 35 N.J.R. 3011(a), 35 N.J.R. 5553(b).

Rewrote the section. Former N.J.A.C. 10:37I-5.11, Training, recodified to N.J.A.C. 10:37I-5.10.

Administrative change.

See: 39 N.J.R. 455(a).

Amended by R.2009 d.186, effective June 15, 2009.

See: 40 N.J.R. 6898(b), 41 N.J.R. 2476(a).

In (a), inserted ", respectively"; rewrote (c)1; in (d)1, substituted "one year from that six-month date," for "12 months" and "a family's case file is closed" for "families are placed on inactive status"; and in the introductory paragraph of (g), substituted "twice each year" for "bi-annually", and deleted a comma following "program".