

**Integrity Monitor Report  
Category 3**

Integrity Monitor Firm Name: Rumph & Associates, P.C.  
Quarter Ending: 03/31/2023  
Expected Engagement End Date: 07/30/2030

**A. General Info**

1. Recovery Program Participant:

[New Jersey Department of Transportation]

2. Federal Funding Source (e.g. CARES, HUD, FEMA, ARPA):

[CRRSAA, COVID-19 Recovery Funds]

3. State Funding Source (if applicable):

Not Applicable

4. Deadline for Use of State or Federal Funding by Recovery Program Participant:

NJDOT plans to obligate all CRRSAA funding in Federal FY 2023 by September 30, 2023. The deadline for obligation is September 30, 2029.

5. Accountability Officer:

Jonathan Tauger, Internal Audit Manager

6. Program(s) under Review/Subject to Engagement:

[Route 130, Bridge over Big Timber Creek, Bridge Replacement]

[Route 47, Bridge over Big Timber Creek]

[Route 76/676 Bridges and Pavement, Contract 3]

7. Brief Description, Purpose, and Rationale of Integrity Monitor Project/Program:

A Category 3 Integrity Monitor's primary roles are to monitor for fraud or misuse of funding and ensure that Recovery Program Participants are performing according to the sub-award agreement and applicable Federal and State regulations and guidelines.

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8. Amount Allocated to Program(s) under Review:

Route 130, Bridge over Big Timber - \$80,000,000  
Route 47, Bridge over Big Timber Creek - \$31,000,000  
Route 76/676 Bridges and Pavement - \$76,000,000.

9. Amount Expended by Recovery Program Participant to Date on Program(s) under Review:

[As of March 2023, a vendor has been selected by one of the three projects, Route 130, however no funds have been expended as of the date of this report.

10. Amount Provided to Other State or Local Entities:

Not applicable

11. Completion Status of Program (e.g. planning phase, application review, post-payment):

Route 130, Bridge over Big Timber – Planning Phase  
Route 47, Bridge over Big Timber Creek – Planning Phase  
Route 76/676 Bridges and Pavement – Planning Phase

12. Completion Status of Integrity Monitor Engagement:

[In Progress]

**B. Monitoring Activities**

13. If FEMA funded, brief description of the status of the project worksheet and its support:

a) IM Response

Not Applicable

b) Recovery Program Participant Comments

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[None]

14. Description of the services provided to the Recovery Program Participant during the quarter (i.e. activities conducted, such as meetings, document review, staff training, etc.):

a) IM Response

Rumph & Associates, P.C is in the process of reviewing the following policies and procedures: (1) Construction Procedures Handbook, (2) Change Control Board Procedures, and (3) Disadvantaged and Small Business Programs Unit, Civil Rights/Affirmative Action, Doing Business for potential fraud, corruption and cost abuse issues by contractors directly related to the policies. We are also reviewing the Tabulation of Bids report for the Route 130 project, and have held two meetings with the NJDOT Internal Audit Manager, including the Kick-off Meeting on 3/7/23 and a follow-up meeting on 3/30/23.

b) Recovery Program Participant Comments

[None]

15. Description to confirm appropriate data/information has been provided by the Recovery Program Participant and description of activities taken to review the project/program:

a) IM Response

Rumph & Associates, P.C is in the process of reviewing the following policies and procedures: (1) Construction Procedures Handbook, (2) Change Control Board Procedures, and (3) Disadvantaged and Small Business Programs Unit, Civil Rights/Affirmative Action, Doing Business for potential fraud, corruption and cost abuse issues by contractors directly related to the policies. We are also reviewing the Tabulation of Bids report for the Route 130 project, and have held two meetings with the NJDOT Internal Audit Manager, including the Kick-off Meeting on 3/7/23 and a follow-up meeting on 3/30/23

b) Recovery Program Participant Comments

[None]

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16. Description of quarterly auditing activities conducted to ensure procurement compliance with terms and conditions of contracts and agreements:

a) IM Response

Rumph & Associates, P.C is in the process of reviewing the following policies and procedures: (1) Construction Procedures Handbook, (2) Change Control Board Procedures, and (3) Disadvantaged and Small Business Programs Unit, Civil Rights/Affirmative Action, Doing Business for potential fraud, corruption and cost abuse issues by contractors directly related to the policies. We are also reviewing the Tabulation of Bids report for the Route 130 project, and have held two meetings with the NJDOT Internal Audit Manager, including the Kick-off Meeting on 3/7/23 and a follow-up meeting on 3/30/23

b) Recovery Program Participant Comments

[None]

17. If payment documentation in connection with the contract/program has been reviewed, provide description.

a) IM Response

Not applicable as no payments on the projects under review have been made as of the date of this report.

b) Recovery Program Participant Comments

[None]

18. Description of quarterly activity to prevent and detect waste, fraud, and/or abuse:

a) IM Response

Our meetings with key stakeholders, including the Internal Audit Manager and the Internal Audit Team, reviewing policies and procedures, the Estimate Certificate Reports, and the database of

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vendor pricing and engineer estimates all contribute to the prevention and detection of waste, fraud and abuse.

b) Recovery Program Participant Comments

[None]

19. Details of any integrity issues/findings, including findings of waste, fraud, and/or abuse:

a) IM Response

None

b) Recovery Program Participant Comments

[None]

20. Details of any other items of note that have occurred in the past quarter:

a) IM Response

None

b) Recovery Program Participant Comments

[None]

21. Details of any actions taken to remediate waste, fraud, and/or abuse noted in past quarters:

a) IM Response

Not applicable

b) Recovery Program Participant Comments

[None]

**C. Miscellaneous**

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22. List of hours (by employee) and expenses incurred to perform quarterly integrity monitoring review:

a) IM Response

For the period ending March 31st, the following individuals have expended a total of 76 hours:

Marisa Doras – 60 hours

Matthew Blondell – 10 hours

Hein Mass – 4 hours

Thomas Rumph – 2 hours

b) Recovery Program Participant Comments

[None]

23. Add any item, issue, or comment not covered in previous sections but deemed pertinent to monitoring program:

a) IM Response

[None]

b) Recovery Program Participant Comments

[None]

Name of Integrity Monitor:	[Rumph & Associates, P.C.]
Name of Report Preparer:	[Marisa Doras]
Signature:	<i>MDoras</i>
Date:	04/04/2023