

State of New Jersey
Department of the Treasury
Integrity Oversight Monitoring Report Model

Firm Name: Addx Corporation
Engagement: Integrity Oversight Monitor
For Quarter Ending: 12/31/2016

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by The Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	Bayshore Regional Sewage Authority (BRSA)	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Emergency Management Authority (FEMA)	
3.	State Funding (if applicable)	N/A	
4.	Award Type	Public Assistance - Category G	
5.	Award Amount	Stone Hill Contracting inc., Doylestown, PA	\$16,445,977
6.	Contract/Program Person/Title	Bayshore Regional Sewage Authority (BRSA), Union Beach, NJ	Robert Fischer, Executive Director; George Rolon, Project Manager, New Jersey Environmental Infrastructure Trust (NJEIT)
7.	Brief Description, Purpose and Rationale of Project/Program	Due to high winds, heavy rain, subsequent flooding and storm surge from SuperStorm Sandy, the BRSA sustained major damage to two incinerators; the Dorr Oliver as well as the Niro incinerator, in addition to its adjacent equipment. The Project Worksheet (PW) relates to the demolition and reconstruction of these two incinerators and adjacent equipment.	
8.	Contract/Program Location	BRSA, Union Beach, NJ	
9.	Amount Expended to Date	\$562,005 expended by Stone Hill Contracting	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	Project is approximately 3.4% complete.	
12.	Expected Contract End Date/Time Period	12/31/2015	
B. Monitoring Activities			

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13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Contract 74-S allowed for Substantial Completion to be achieved NLT March 21, 2016 with NJ DEP conducting NIRO incinerator emissions stack testing NLT than February 21, 2016. Schedule delayed 30 days. Installation of major procurement items will occur concurrently. The 45 day shut-down ending March 21, 2016 is reduced to (2) two-day shut downs; the 45 day shut down is being held in reserve to use on back end. These scheduling changes stem from a change order to the original contract to re-route and elevate the power feed to motor control centers (MCC) 4/6 & 9/10 on the NIRO building exterior. New delivery date for MCC 4/6& 9/10 is February 4, 2016. MBE, electrical subcontractor proposed detailed plans for (2) two-day Dorr Oliver shutdowns to disconnect existing and alternate feeds to start building out new conduit prior to equipment deliverables. The first shutdown will occur on January 13-14, 2016.	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	On 12/29/15, the Addx Monitor attended Schedule Update Meeting No. 5. The Addx Monitor attended weekly progress meetings with BRSA, SHC & Hazen on 11/24/2015, 11/17/2015, 11/10/2015, and 11/3/2015. Addx Monitor attended site visit with the crew to review flood barriers in Monmouth Beach.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	As previously noted, the NJEIT and BRSA have been very cooperative as part of this review. Addx compliance examiners have been able to review all project related documents to include contract, drawings, bonds, invoices and supporting documentation.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	In addition to continuing to analyze and review all invoices and materials as set forth in the project and review of certified payroll rates and hours compared with books and records, the Addx Monitor appears on site every single week to do monitoring, site inspections, and act as a general deterrent.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Yes, payment requisitions are reviewed. Data analytics are performed during the review to ensure all claims for payments are supported with adequate documentation.	

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No.	Recipient Data Elements	Response	Comments
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Appear on site every single week to do monitoring, site inspections, and act as a general deterrent.	
19.	Provide details of any integrity issues/findings	None to report	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	Compliance monitoring and surveillance have not identified any issues related to work quality or safety/historical preservation issues.	
21.	Provide details on any other items of note that have occurred in the past quarter	During November, 2015, SHC reported zero progress on its monthly SED report. When asked, SHC responded that there were no new equipment deliveries in November. In the last two progress meetings SCH reported that it is attempting to subcontract with two different SED certified contractors. SHC has assured BRSA that it will demonstrate future progress. Addx Monitor discovered that the list of eligible SED vendors provided by the Office of Equal Opportunity Assistance is outdated.	Both BRSA and Addx are closely monitoring SHC to determine progress. Addx has formally requested the Office of Equal Opportunity Assistance provide BRSA with an accurate and current list of SED vendors.
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Addx has been monitoring the procurement process, to include providing due diligence and a review of the solicitation documents. No irregularities were noted. Addx representatives continue to attend regularly scheduled weekly or bi-weekly meetings between BRSA and SHC representatives.	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Quarterly – 55.5 hours; \$6,284.63 labor and \$111.91 of the Other Director Costs (ODCs). Totalling \$6,396.54 for the quarter ending 12/31/15.	
24	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None	

Name of Integrity Monitor: Jennifer Sirois

Name of Report Preparer: Allison Kennett

Signature: 

Date: March 31, 2015 - updated 4/13/2016