

Integrity Monitor Report
Category 3

Integrity Monitor Firm Name: Vander Weele Group/Joseph A. DeLuca
Advisory & Consulting Services, LLC.

Quarter Ending: 03/31/2023

Expected Engagement End Date: 12/31/2026

A. General Info

1. Recovery Program Participant:

New Jersey Board of Public Utilities

2. Federal Funding Source (e.g. CARES, HUD, FEMA, ARPA):

American Rescue Plan Act (ARPA)

3. State Funding Source (if applicable):

N/A

4. Deadline for Use of State or Federal Funding by Recovery Program Participant:

December 31.2026

5. Accountability Officer:

Curtis Elvin

6. Program(s) under Review/Subject to Engagement:

- Small Business Ventilation and Energy Efficiency Verification and Repair ("SSB-VEEVR") Program and the School
- Small Business Noncompliant Plumbing Fixture and Appliance ("SSB-NPFA") Program

7. Brief Description, Purpose, and Rationale of Integrity Monitor Project/Program:

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The purpose is to review SSB-VEEVR and SSB-NPFA for compliance with P.L. 2021, c. 200 and the CSFRF Interim and Final Rule, as applicable.

8. Amount Allocated to Program(s) under Review:

Approximately \$180M has been earmarked for the two (2) programs and an additional \$4.5M for administrative expenses.

9. Amount Expended by Recovery Program Participant to Date on Program(s) under Review:

\$8,350,779.18

10. Amount Provided to Other State or Local Entities:

N/A

11. Completion Status of Program (e.g. planning phase, application review, post-payment):

Planning Phase

12. Completion Status of Integrity Monitor Engagement:

In process

B. Monitoring Activities

13. If FEMA funded, brief description of the status of the project worksheet and its support:

a) IM Response

N/A

b) Recovery Program Participant Comments

[N/A]

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14. Description of the services provided to the Recovery Program Participant during the quarter (i.e. activities conducted, such as meetings, document review, staff training, etc.):

a) IM Response

1. Kick-off meeting with BPU held on March 8, 2023.
2. Introductory meeting with TRC and BPU.
3. Requested documentation for review from the BPU and TRC.
4. Reviewed documentation received from BPU and TRC. Noted areas for further review.

b) Recovery Program Participant Comments

No Comment.

15. Description to confirm appropriate data/information has been provided by the Recovery Program Participant and description of activities taken to review the project/program:

a) IM Response

The initial documentation request from both the BPU and TRC was received.

b) Recovery Program Participant Comments

No comment.

16. Description of quarterly auditing activities conducted to ensure procurement compliance with terms and conditions of contracts and agreements:

a) IM Response

N/A

b) Recovery Program Participant Comments

N/A

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17. If payment documentation in connection with the contract/program has been reviewed, provide description.

a) IM Response

N/A

b) Recovery Program Participant Comments

N/A

18. Description of quarterly activity to prevent and detect waste, fraud, and/or abuse:

a) IM Response

N/A

b) Recovery Program Participant Comments

N/A

19. Details of any integrity issues/findings, including findings of waste, fraud, and/or abuse:

a) IM Response

While there are currently no integrity issues/findings, there have been areas noted below that need further inquiry.

b) Recovery Program Participant Comments

We are cooperating with the IM to provide any additional information that they require to perform their inquiry.

20. Details of any other items of note that have occurred in the past quarter:

a) IM Response

Based on our initial review of the NJ-BPU provided EO 166, the IM team has placed a priority on better understanding the deferred start of IM

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involvement, the pre-program risk analysis, and the policy adaptations in past quarters.

Based on our initial review of the NJ-BPU provided service agreement, Change Order 12 dated Oct 6, 2021, to base contract T3009 dated Dec 1, 2015, with TRC the IM team has placed a priority on better understanding the contractual details, and service-durations and decision-protocols involved.

Based on our initial review of the NJ-BPU provided 3-page Rider, to Change Order 12 dated Oct 6, 2021, with TRC the IM team has placed a priority on better understanding of the federal and state obligations that accrue to the state, are transferred by contract to the Administrator (TRC) and regulate the obligations of several hundred grant-level-recipients.

Based on the initial briefing of how the target allocation groups have shifted over the past several quarters, the IM team has placed a priority on better understanding the contractual governance details and policy-level decision-protocols involved.

Based on the initial briefing of how the target allocation groups have shifted over the past several quarters, the IM team has placed a priority on better understanding the contractual provision for Outreach played in enhancing the Applicant pool and increasing the percentage of completed Applications among the initially targeted segments.

Based on the initial review of the predominantly unit-price-based fee structure, the IM team has placed a priority on better understanding the contractual-governance of the State, of the Administrator's charges, invoicing, and work performed.

Based on the understanding that a several hundred Award recipients have received either advanced-payments, and progress-payments and will receive final payments on completion the scope of work, the IM team has placed a priority on better understanding the contractual-governance of the State, and by-contract to the Administrator to review, monitor and verify the accuracy and appropriateness of those disbursed funds (i.e., whether a Lien Waiver protocol has been established).

b) Recovery Program Participant Comments

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No comment.

21. Details of any actions taken to remediate waste, fraud, and/or abuse noted in past quarters:

a) IM Response

N/A

b) Recovery Program Participant Comments

N/A

C. Miscellaneous

22. List of hours (by employee) and expenses incurred to perform quarterly integrity monitoring review:

a) IM Response

Maribeth Vander Weele, 1.75

Kathleen Budrean, .55

Kristen Mokofisi, 17.2

Sophia Staveris, 13.2

Rick Duran, 35.05

Linda Ressler, 10.0

Cassy Good, 15.05

Kevin Mullins, 6.25

Salvatore Ubaldini, 16.25

b) Recovery Program Participant Comments

No comment.

23. Add any item, issue, or comment not covered in previous sections but deemed pertinent to monitoring program:

a) IM Response

N/A

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b) Recovery Program Participant Comments

N/A

Name of Integrity Monitor:	Vander Weele Group / Joseph A. DeLuca Advisory & Consulting Services, LLC
Name of Report Preparer:	Sophia Staveris
Signature:	<i>Sophia Staveris</i>
Date:	03/31/2023