

**Integrity Monitor Report
Categories 1 and 2**

Integrity Monitor Firm Name: Vander Weele Group/Joseph A. DeLuca
Advisory & Consulting Services, LLC.
Integrity Monitor Category (1 or 2): 1 and 2
Quarter Ending: 09/30/2022
Expected Engagement End Date: 02/07/2023

A. General Information

1. Recovery Program Participant:

NJ Department of Community Affairs, Division of Disaster Recovery and Mitigation

2. Federal Funding Source (e.g. CARES, HUD, FEMA, ARPA):

American Rescue Plan Act

3. State Funding Source (if applicable):

N/A

4. Deadline for Use of State or Federal Funding by Recovery Program Participant:

December 31, 2026

5. Accountability Officer:

Elizabeth Mackay

6. Program(s) under Review/Subject to Engagement:

Development of Subrecipient Monitoring Plan

7. Brief Description, Purpose, and Rationale of Integrity Monitor Project/Program:

Purpose is to create a Subrecipient Monitoring Plan that DRM can use to ensure subrecipients comply with the ARPA and implementing regulations and provide assistance to subrecipients in identifying metrics for success. Accompanied by training.

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8. Amount Allocated to Program(s) under Review/Subject to Engagement:

Subrecipient Monitoring Plan covers \$6.4 billion in State Fiscal Recovery Funds appropriated to the State of New Jersey.

9. Amount Expended by Recovery Program Participant to Date on Program(s) under Review/Subject to Engagement:

As of date of engagement, approximately half of the \$6.4 billion had been allocated.

10. Amount Provided to Other State or Local Entities:

N/A

11. Completion Status of Program (e.g. planning phase, application review, post-payment):

Development Phase

12. Completion Status of Integrity Monitor Engagement:

In process

B. Monitoring Activities

13. Description of the services provided to the Recovery Program Participant during the quarter (i.e. activities conducted, such as meetings, document review, staff training, etc.):

a) IM Response

- 1.) Conducted bi-weekly meetings with DCA
- 2.) Conducted Waste, Fraud and Abuse Training via Teams to DCA.
- 3.) Provided DCA with the PowerPoint copies of four presentations.
- 4.) Finalized the Risk Assessment Tool for state agency subrecipients.
- 5.) Finalized the fiscal monitoring compliance matrix.
- 6.) Draft of Programmatic Methodology for Subrecipients with Construction Projects submitted.
- 7.) Conducted discussion of programmatic monitoring questions.
- 8.) Developed a Resource Allocation Tool.

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- 9.) Received updates on self-assessment tool response for non-state agency subrecipients.
- 10.) Drafted questions sent for Programmatic Methodology for Non-Construction Subrecipients.
- 11.) Met with Comptroller's office regarding status of deliverables.

b) Recovery Program Participant Comments

These tasks all satisfied the Scope of Work for this engagement.

14. Description of activities to prevent, detect, and remediate waste, fraud, and/or abuse during the quarter:

a) IM Response

- 1.) Conducted Waste, Fraud and Abuse Training via Teams to DCA.
- 2.) Developed Risk Assessment self-assessment tool for direct subrecipients.
- 3.) Finalized the fiscal monitoring compliance matrix.
- 4.) Draft submitted of construction monitoring tool (DLA).
- 5.) Developed discussion of programmatic monitoring questions.
- 6.) Developed a Resource Allocation Tool.

b) Recovery Program Participant Comments

DCA finds these exercises and deliverables as to fraud detection to be very helpful as DCA establishes the monitoring protocols for capital projects especially.

15. General description of any findings of waste, fraud, or abuse:

a) IM Response

None

b) Recovery Program Participant Comments

None

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16. Miscellaneous

17. List of hours (by employee) and expenses incurred to perform quarterly integrity monitoring review:

a) IM Response

Maribeth Vander Weele – 81.65

Kathleen Budrean – 1.2

Kristen Mokofisi – 35.0

Linda Ressler – 19.6

Sophia Staveris – 107.45

Joseph DeLuca – 9.0

Steven Pasichow – 86.50

Kevin Mullins – 43.75.

b) Recovery Program Participant Comments

DCA concurs with these charges.

Name of Integrity Monitor: Vander Weele Group / Joseph A. DeLuca Advisory & Consulting Services, LLC

Name of Report Preparer: Dr. Kristen A. Mokofisi

Signature:



Date:

9/30/2022

Elizabeth Mackey, DCA
10/4/2022