CHAPTER 2

APPEALS, DISCIPLINE AND SEPARATIONS

Authority

N.J.S.A. 2C:51-2, 11A:1-2(e). 11A:2-6. 11A:2-11(h), 11A:2-13 et seq., 11A:4-15(c). 11A:7-1 et seq., 11A:8-4, 52:14B-10(c) and 49 C.F.R. Parts 382 et seq.

Source and Effective Date

R.2003 d.112. effective February 13, 2003. See: 34 N.J.R. 3570(a), 35 N.J.R. 1407(b).

Chapter Expiration Date

Chapter 2, Appeals, Discipline and Separations, expires on February 13, 2008.

Chapter Historical Note

Chapter 2. Appeals, Discipline and Separations, was adopted as R.1987 d.407. effective October 5, 1987. See: 19 N.J.R. 1013(a), 19 N.J.R. 1827(a). See, also, Title Historical Note prior to N.J.A.C. 4A:1.

Pursuant to Executive Order No. 66(1978). Chapter 2. Appeals. Discipline and Separations, was readopted as R.1992 d.414, effective September 22, 1992. See: 24 N.J.R. 2491(a), 24 N.J.R. 3716(a).

Pursuant to Executive Order No. 66(1978), Chapter 2, Appeals, Discipline and Separations, was readopted as R.1997 d.435, effective September 22, 1997. See: 29 N.J.R. 3102(a), 29 N.J.R. 4455(b).

Chapter 2. Appeals, Discipline and Separations, was readopted as R.2003 d.112, effective February 13, 2003. See: Source and Effective Date.

CHAPTER TABLE OF CONTENTS

SUBCHAPTER I. APPEALS

4A:2-1.1 Filing of appeals

4A:2-1.2 Stay and interim relief requests

4A:2-1.3 Adjournments

4A:2-1.4 Burden of proof

4A:2-1.5 Remedies

4A:2-1.6 Reconsideration of decisions

4A:2-1.7 Specific appeals

SUBCHAPTER 2. MAJOR DISCIPLINE

4A:2-2.1 Employees covered

4A:2-2.2 Types of discipline

4A:2-2.3 General causes

4A:2-2.4 Limitations on suspensions and fines

4A:2-2.5 Opportunity for hearing before the appointing authority

4A:2-2.6 Hearings before the appointing authority

4A:2-2.7 Actions involving criminal matters

4A:2-2.8 Appeals to Merit System Board

4A:2-2.9 Board hearings

4A:2-2.10 Back pay, benefits and seniority

4Λ:2-2.11 Interest

4A:2-2.12 Counsel fees

SUBCHAPTER 3. MINOR DISCIPLINE AND GRIEVANCES

4A:2-3.1 General provisions

4A:2-3.2 Minor discipline appeal to appointing authority: State service

4A:2-3.3 Grievance appeal to appointing authority: State service

4A:2-3.4 Grievance procedure: Step One: State service

4A:2-3.5 Grievance procedure: Step Two

4A:2-3.6 Conduct and scheduling of hearings and grievance meetings: State service

4A:2-3.7 Appeals from appointing authority decisions: State service

SUBCHAPTER 4. TERMINATION AT END OF WORKING TEST PERIOD

4A:2-4.1 Notice of termination

4A:2-4.2 Time for appeal

4A:2-4.3 Board hearing

SUBCHAPTER 5. EMPLOYEE PROTECTION AGAINST REPRISALS OR POLITICAL COERCION

4A:2-5.1 General provisions

4A:2-5.2 Appeals

SUBCHAPTER 6. RESIGNATIONS

4A:2-6.1 Resignation in good standing

4A:2-6.2 Resignation not in good standing

SUBCHAPTER 1. APPEALS

4A:2-1.1 Filing of appeals

- (a) All appeals to the Commissioner or Board shall be in writing, signed by the person appealing (appellant) or his or her representative and must include the reason for the appeal and the specific relief requested.
- (b) Unless a different time period is stated, an appeal must be filed within 20 days after either the appellant has notice or should reasonably have known of the decision, situation or action being appealed.
- (c) The appellant must provide any additional information that is requested, and failure to provide such information may result in dismissal of the appeal.
- (d) Except where a hearing is required by law or these rules, or where the Commissioner or Board finds that a material and controlling dispute of fact exists that can only be resolved by a hearing, an appeal will be reviewed on a written record. In written record appeals:
 - 1. Each party must serve copies of all materials submitted on all other parties; and
 - 2. A party may review the file at the Department of Personnel during business hours.
- (e) A party in an appeal may be represented by an attorney, authorized union representative or authorized appointing authority representative. See N.J.A.C. 1:1-5.4 for contested case representation at the Office of Administrative Law.

Amended by R.1992 d.414, effective October 19, 1992. See: 24 N.J.R. 2491(a), 24 N.J.R. 3716(a).

Added new (d)1.-2.

Law Review and Journal Commentaries

Civil Service—Disability Retirement—Police Seniority. Judith Nallin, 133 N.J.L.J. No. 13, 55 (1993).

Case Notes

Time in which fire fighter was required to appeal decision of township board of fire commissioners classifying fire fighters commenced when fire fighter learned of representations. Matter of Tavani, 264 N.J.Super. 154, 624 A.2d 75 (A.D.1993).

Appeals to Department of Personnel (DOP) and Merit System Board by police officer were timely. Matter of Allen, 262 N.J.Super. 438, 621 A.2d 87 (A.D.1993).

Removal of provisional juvenile detention officer from eligible list was improper without hearing by Merit System Board to resolve good faith factual disputes. Matter of Wiggins, 242 N.J.Super. 342, 576 A.2d 932 (A.D.1990).

Civil Service Comm'n acted within its discretionary powers to deny hearing and only allow petitioner to submit additional facts for review (citing former N.J.A.C. 4:1-5.1). Honachefsky v. New Jersey Civil Service Comm'n, 174 N.J.Super. 539, 417 A.2d 67 (App.Div.1980).

Employee's failure to appear at scheduled hearings on removal action supports employer's motion to dismiss appeal. Maycheck v. Atlantic City Housing Authority, 97 N.J.A.R.2d (CSV) 182.

No timely appeal to the Merit Systems Board. N.J.S.A. 11A:1-1 et seq. Pryor v. Township of Morristown, 92 N.J.A.R.2d (CSV) 18.

Time limits for appeal construed to have been met when petitioner was advised a letter sent prior to final notice of disciplinary action would act to reinstate her appeal (citing former N.J.A.C. 4:1-5.3). Clark v. New Jersey Dep't of Agriculture, 1 N.J.A.R. 315 (1980).

4A:2-1.2 Stay and interim relief requests

- (a) Upon the filing of an appeal, a party to the appeal may petition the Commissioner for a stay or other relief pending final decision of the matter.
- (b) A request for a stay or interim relief shall be in writing, signed by the petitioner or his or her representative and must include supporting information for the request.
- (c) The following factors will be considered in reviewing such requests:
 - 1. Clear likelihood of success on the merits by the petitioner;
 - 2. Danger of immediate or irreparable harm if the request is not granted;
 - 3. Absence of substantial injury to other parties if the request is granted; and
 - 4. The public interest.
- (d) The filing of a petition for interim relief will not stay administrative proceedings or processes.
- (e) Each party must serve copies of all materials submitted on all other parties.

- (f) Following a final administrative decision by the Commissioner or the Board, and upon the filing of an appeal from that decision to the Appellate Division of Superior Court, a party to the appeal may petition the Commissioner for a stay or other relief pending a decision by the Court in accordance with the procedures and standards in (b) and (c) above. See N.J. Court Rules 2:9-7.
- (g) See N.J.A.C. 1:1-12.6 for interim relief rules on matters pending before the Office of Administrative Law.

Amended by R.1989 d.569, effective November 6, 1989.

See: 21 N.J.R. 1766(a), 21 N.J.R. 3448(b).

Changed title from "Interim relief."

Added new (f) and relettered old (f) as (g) with stylistic revisions.

4A:2-1.3 Adjournments

- (a) Any party requesting an adjournment of a hearing or other review must establish good and sufficient reason for such request. Such reason may include, but is not limited to:
 - 1. Unavoidable appearance by an attorney for a party in any state or federal court; or
 - 2. Illness of a party evidenced by an affidavit and a doctor's certificate.
- (b) Where an adjournment is found not to be for good and sufficient reason, the Commissioner or Board may impose a fine or penalty.
- (c) See N.J.A.C. 1:1-9.6 for Office of Administrative Law adjournment rules.

Case Notes

Appeal of suspension of deceased medical technician was dismissed without prejudice. McCormick v. City of Glouchester, 96 N.J.A.R.2d (CSV) 475.

Appeal dismissed due to retirement and resignation of employees (citing former N.J.A.C. 4:1-5.9). Tyler et al. v. City of Paterson, 2 N.J.A.R. 272 (1979).

4A:2-1.4 Burden of proof

- (a) In appeals concerning major disciplinary actions, N.J.A.C. 4A:2-2.1 et seq., the burden of proof shall be on the appointing authority.
- (b) In appeals concerning minor disciplinary actions, see N.J.A.C. 4A:2-3.7(f) for burden of proof standards.
- (c) In all other Commissioner and Board appeals, the burden of proof shall be on the appellant.

Amended by R.1989 d.569, effective November 6, 1989. See: 21 N.J.R. 1766(a), 21 N.J.R. 3448(b).

Added new (b) and relettered old (b) as (c).

2. Oak

Case Notes

Police officer was reinstated when removed on hearsay evidence that was less than competent. Rhodes v. Union City Police Department, 95 N.J.A.R.2d (CSV) 643.