

CHAPTER 69D

GAMING OPERATION ACCOUNTING CONTROLS AND STANDARDS

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SUBCHAPTER 1. GENERAL PROVISIONS

13:69D-1.1 Definitions

The following words and terms, when used in this chapter, shall have the following meanings unless the context clearly indicates otherwise:

“Alterable media” means any device that contains software that can be reprogrammed. It does not include erasable programmable read-only memory (EPROM) or one-time programmable devices.

“Annuity jackpot trust check” means a check issued by a slot system operator, as defined in N.J.S.A. 5:12-2.2, in payment of winnings from an annuity jackpot. An annuity jackpot trust check shall be made payable only to the person presenting the check and shall not contain any other endorsements.

“Asset number” means a unique number permanently assigned to a slot machine and a slot cash storage box for purposes of tracking that machine and storage box.

“Authorized instrument” means a cash equivalent, a casino check, a casino affiliate check, an annuity jackpot trust check, a replacement check, or any other instrument approved by the Division for a specified purpose.

“Auto pick” means an automated feature of a slot machine game that forces a game play selection without human interaction.

“Base game” means the initial slot machine game play that is activated by placing a wager.

“Cage supervisor” means any person who supervises personnel and functions within a cashiers’ cage and reports to the cage manager.

“Cash” means currency or coin.

“Cash equivalent” means a:

1. Certified check, cashiers check, treasurer’s check, recognized travelers check or recognized money order that:

i. Is made payable to the casino licensee where presented, a holding company of the casino licensee, “bearer,” or “cash”;

ii. Is dated, but not postdated; and

iii. Does not contain any endorsement;

2. Certified check, cashiers check, treasurer’s check, or recognized money order that:

i. Is made payable to the presenting patron;

ii. Is endorsed in blank by the presenting patron;

iii. Is dated but not postdated; and

iv. Does not contain any endorsement other than that of the presenting patron; or

3. Recognized credit card or debit card presented by a patron in accordance with the rules of the Division.

“Cash equivalent value of any merchandise or thing of value” is defined in N.J.A.C. 13:69D-1.40A.

“Cashable” means an item which can be converted to cash.

“Cashiering location” means any automated voucher redemption machine or a window in an enclosed structure within the casino hotel facility from which an employee of the casino licensee conducts casino or casino simulcasting cashiering transactions with patrons or employees including, without limitation, a cashiers’ cage, satellite cage, master coin bank, coin vault, simulcast counter, ancillary simulcast counter, slot booth, coin redemption booth, jackpot/hopper window, and keno booth.

“Cashiers’ cage” or “main cage” is defined in N.J.A.C. 13:69D-1.14.

1. Obtain a written request from the patron, which shall include:

- i. The date and time of the patron's request;
- ii. The amount of credit limit increase requested by the patron; and
- iii. The signature of the patron; and

2. Document the approval of a credit limit increase in the patron's credit file by the signature of the authorizing employee and the amount approved in accordance with its internal controls. If the employee authorizing the increase is not available to sign the file, the employee may authorize a credit limit either verbally or electronically. The name of the employee receiving the verbal or electronic approval shall record in the file the name of the person authorizing the credit limit and the date and time the approval was received. Upon the authorizer's return to the casino, he or she shall sign and date the file. Nothing in this subsection shall preclude the use of remote access to obtain the signature of the employee authorizing the credit limit as authorized by N.J.A.C. 13:69D-2.

(g) Any patron having a check returned to any casino unpaid by the patron's bank shall have his credit privileges suspended at all New Jersey casino licensees until such time as the returned check has been paid in full or the reason for the derogatory information has been satisfactorily explained. All derogatory information concerning a patron's credit account shall be reported by each casino licensee on a daily basis to a casino credit bureau used by New Jersey casino licensees. Each New Jersey casino licensee shall request written documentation of any derogatory information pertaining to its patrons to be reported to that casino licensee on a daily basis by a casino credit bureau used by New Jersey casino licensees. All documentation obtained from the casino credit bureau shall be maintained in the patron's patron account file. Any casino licensee desiring to continue the patron's credit privileges on the basis of a satisfactory explanation having been obtained for the returned check may do so if the licensee records the explanation for its decision in the patron account file before accepting any further checks from the patron along with the signature of the credit department representative accepting the explanation.

13:69D-1.27A Patron request for suspension of credit privileges

(a) Any patron may voluntarily suspend his or her credit privileges at all licensed casinos. Such request may be submitted in person or by mail on a form prescribed by the Division, the requirements of which are specified in (b) below.

1. If submitted in person, the patron shall present valid identification credentials containing the patron's signature and either a photograph or a general description to the Division at its offices located in the Arcade Building,

Tennessee Avenue and the Boardwalk, Atlantic City, New Jersey.

2. If submitted by mail, the patron shall address the request to the Division of Gaming Enforcement, Arcade Building, Tennessee Avenue and the Boardwalk, Atlantic City, New Jersey 08401. The request shall be signed before a notary public or other person empowered by law to take oaths and shall contain a certificate of acknowledgement by such notary public or other person attesting to the identity of the person making the request.

(b) A request for suspension of credit privileges shall be on a form prescribed by the Division, which shall include the following:

1. The name of the person requesting suspension of credit privileges;
2. The address of the person's residence;
3. The person's date of birth;
4. The name of each licensed casino where the person currently has an approved line of credit;
5. The signature of the person requesting suspension of credit privileges, indicating acknowledgement of the following statement: "I certify that the information which I have provided above is true and accurate. I am aware that my signature below authorizes the Division of Gaming Enforcement to direct all New Jersey casino licensees to suspend my credit privileges.";
6. If the request for suspension of credit privileges is made in person:
 - i. The type of identification credentials examined containing the person's signature, and whether said credentials included a photograph or general description of the person; and
 - ii. The signature of a Division employee authorized to accept such request, indicating that the signature of the person requesting suspension of credit privileges appears to agree with that contained on his or her identification credentials and that any physical description or photograph of the person appears to agree with his or her actual appearance; and

7. If the request for suspension of credit privileges is made by mail, a certificate of acknowledgement executed by a notary public or other person empowered by law to take oaths attesting to the identity of the person who is making the request for suspension of credit privileges.

(c) The Division shall maintain an updated master list of all persons who have requested suspension of credit privileges pursuant to this section, and shall notify each casino licensee electronically and in writing of any additions to or deletions from the list. The casino licensee shall date and time stamp any such written notice immediately upon receipt.

(d) Each casino licensee shall suspend the credit privileges of any listed person, effective immediately upon receipt of notice that such person's name has been added to the list and shall maintain an updated master list of persons who have requested suspension of credit privileges.

(e) Each casino licensee shall note any suspension or reinstatement of credit privileges pursuant to this section in any existing credit file for the affected patron, including the following:

1. A copy of any applicable Division notice of the suspension or reinstatement of credit privileges; and
2. The date, time and signature of the casino representative making the suspension or reinstatement entry in the credit file.

(f) Any person whose credit privileges have been suspended pursuant to this section may, no sooner than 30 days after the request for suspension of credit privileges, request reinstatement of his or her credit privileges by submitting a written request to the Division in accordance with the procedures specified in (a)1 and 2 above.

1. Such request shall be in a form prescribed by the Division, which shall include the following:
 - i. The information specified in (b)1, 2, 3, 4, 6, and 7 above; and
 - ii. The signature of the person requesting reinstatement of credit privileges, indicating acknowledgement of the following statement: "I certify that the information which I have provided above is true and accurate. I am aware that my signature below constitutes a revocation of my previous request for suspension of credit privileges, and authorizes the Division of Gaming Enforcement to permit any New Jersey casino licensee to reinstate my credit privileges."

2. The Division shall delete such person's name from the list established pursuant to (c) above, and so notify each casino licensee, no later than three days from submission of the written request for reinstatement of credit privileges. The casino licensee shall date and time stamp any such notice immediately upon receipt.

3. Upon receipt of notice that such person's name has been deleted from the list, a casino licensee may reinstate such person's credit or may extend credit to such person in accordance with the procedures set forth in N.J.A.C. 13:69D-1.27.

(g) Information furnished to or obtained by the Division pursuant to this section shall be deemed confidential, and shall not be disclosed except in accordance with this section and N.J.A.C. 13:69. No casino licensee shall divulge that any person's name is designated on the master list maintained pursuant to subsection 101j of the Act (N.J.S.A. 5:12-101j) and this section, other than to authorized credit department employees or other casino personnel whose duties and func-

tions require access to such information. If, in the ordinary course of business, a casino licensee is requested to provide information regarding the status of the patron's credit account, the casino licensee shall not disclose any information other than to identify the credit account as voluntarily suspended.

13:69D-1.28 Procedure for depositing checks received from gaming patrons

(a) Unless redeemed or consolidated prior to the time requirements herein, all checks received from gaming patrons shall be deposited in the casino licensee's bank account, or presented directly to the patron's bank by a casino key employee with no incompatible function or an attorney. Such deposit or presentment shall occur no later than:

1. The banking day after the date of the check for a non-gaming check;
2. Seven calendar days after the date of the check for a check in an amount of \$1,000 or less;
3. Fourteen calendar days after the date of the check for a check in an amount greater than \$1,000 but less than or equal to \$5,000; or
4. Forty-five calendar days after the date of the check for a check in an amount greater than \$5,000.

(b) In computing a time period prescribed by this section, the last day of the period shall be included unless it is a Saturday, Sunday, or a State or Federal holiday, in which event the time period shall be extended to the next business day.

(c) In the event of a series of consolidation or redemption transactions with a patron, the initial check shall be the earliest dated check returned to the patron in the first of the series of consolidation or redemption transactions.

(d) In accordance with N.J.S.A. 5:12-101, if a check is presently directly to a patron's bank for payment by a casino key employee or an attorney, the casino licensee shall:

1. Document the release of the patron check from the cashiers' cage;
2. Require the prompt deposit by the casino key employee or attorney, of the proceeds of the check to the casino's bank account via a wire transfer or a check drawn by the patron's bank and made payable only to the casino licensee, if the patron's check is honored and paid; and
3. Require the person presenting the check for payment to provide written notice to the casino licensee that the check has been paid in full by the patron's bank.

(e) If a casino licensee determines, prior to the deposit or presentment of a Counter Check or Slot Counter Check that the bank name, the bank routing number, the patron's bank account number or the micro-encoding number contained on