

CHAPTER 3**DEPARTMENT ORGANIZATION****Authority**

N.J.S.A. 17:1-8.1 and 47:1A-2.

Source and Effective Date

R.2000 d.129, effective February 28, 2000.
See: 32 N.J.R. 140(a), 32 N.J.R. 999(a).

Chapter Expiration Date

In accordance with N.J.S.A. 52:14B-5.1c, Chapter 3, Department Organization, expires on July 27, 2005. See: 37 N.J.R. 932(a).

Chapter Historical Note

Chapter 3, Department Organization, was adopted as R.1990 d.103, effective January 11, 1990. See: 22 N.J.R. 335(a).

Subchapter 2, Nonpublic Records, was adopted as R.1991 d.287, effective June 17, 1991. See: 23 N.J.R. 253(a), 23 N.J.R. 1921(a).

Subchapter 3, Disability Discrimination Grievance Procedure, was adopted as R.1993 d.321, effective July 6, 1993. See: 25 N.J.R. 1314(b), 25 N.J.R. 2860(b).

Pursuant to Executive Order No. 66(1978), Chapter 3, Department Organization, expired on January 11, 1995.

Subchapter 2, Nonpublic Records, and Subchapter 3, Disability Discrimination Grievance Procedure, were adopted as new rules by R.1995 d.125, effective March 6, 1995. See: 27 N.J.R. 20(a), 27 N.J.R. 877(a).

Subchapter 1, Mission and Organization, was adopted as new rules by R.1995 d.272, effective May 3, 1995. See: 27 N.J.R. 2179(b).

Pursuant to Executive Order No. 66(1978), Chapter 3, Department Organization, was readopted as R.2000 d.129, effective February 28, 2000. See: Source and Effective Date. See, also, section annotations.

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SUBCHAPTER 1. MISSION AND ORGANIZATION**3:3-1.1 Mission statement of the Department**

The mission of the Department of Banking and Insurance is to regulate the banking, insurance and real estate industries in a professional and timely manner that protects and educates consumers and promotes the growth, financial stability and efficiency of those industries.

Amended by R.1997 d.394, effective August 25, 1997.
See: 29 N.J.R. 4097(a).

Substantially amended section.

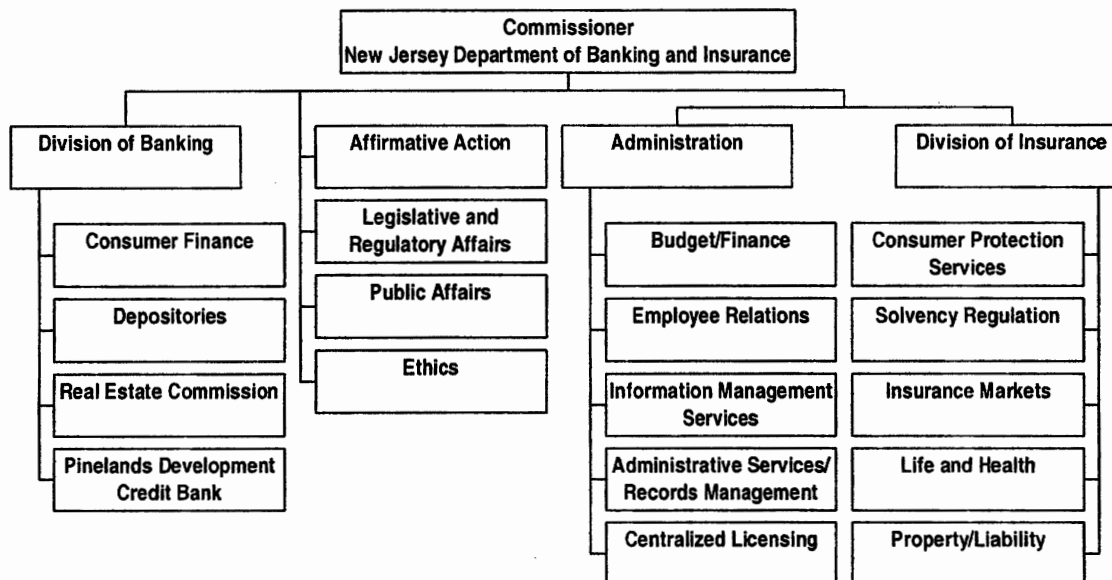
Amended by R.2003 d.138, effective April 7, 2002.
See: 34 N.J.R. 4022(a), 35 N.J.R. 1539(c).

Rewrote the section.

3:3-1.2 Organization of the Department

(a) The organization of the Department of Banking and Insurance appears below.

DEPARTMENT OF BANKING AND INSURANCE
December 2004



Amended by R.1997 d.394, effective August 25, 1997.
See: 29 N.J.R. 4097(a).

Rewrote section incorporating organizational chart which previously appeared as an appendix to this section.

Amended by R.2000 d.129, effective March 20, 2000.

See: 32 N.J.R. 140(a), 32 N.J.R. 999(a).

Amended by R.2005 d.30, effective December 14, 2004.

See: 37 N.J.R. 255(a).

Amended organizational chart.

SUBCHAPTER 2. NONPUBLIC RECORDS

3:3-2.1 Nonpublic records

(a) Throughout the Department of Banking and Insurance, the following shall not be deemed to be public records pursuant to N.J.S.A. 47:1A-1 et seq.:

1. Documents obtained or prepared incident to an examination or audit of a financial institution, its holding institution or its subsidiary and any examination or audit report;

2. Business plans and incorporators' financial statements filed in connection with a charter or license application, and all materials the Department receives or prepares incident to an application by a financial institution or other person to make a leeway or other investment;

3. Complaint files maintained by the Department;

4. Memoranda or other correspondence between the Department and the Office of the Attorney General, and all memoranda within the Department concerning advice given by the Office of the Attorney General;

5. Documents obtained pursuant to an ongoing investigation by the Department of a financial institution or other person, when disclosure would be inimical to the public interest;

6. Memoranda of understanding between the Department and a financial institution;

7. Information regarding individual institutions obtained pursuant to surveys conducted by the Department;

8. Personnel or pension records of an individual employed by the Department, except that the following shall be deemed public records:

i. An individual's name, title, position, salary, payroll record, length of service in the Department and in the government, date of separation from government service and the reason therefor, and the amount and type of pension he or she is receiving; and

ii. Data contained in information which discloses conformity with specific experimental, educational or medical qualifications required for government employment or for receipt of a public pension, but in no event shall detailed medical or psychological information be released; and

9. Personnel or pension records of an employee, officer, director or other person affiliated with a financial institution, such as the residence address, residence telephone number, salary or social security number, when such information is contained in any report, filing or record held by the Department.