

CHAPTER 41

APPLICATIONS

Authority

N.J.S.A. 5:12-63c, 69a, 70a-c, 70e, 89, 90, 91, 92, 93, 139 and 141.

Source and Effective Date

R.2005 d.148, effective April 15, 2005.
See: 37 N.J.R. 427(b), 37 N.J.R. 1754(a).

Chapter Expiration Date

Pursuant to Executive Order No. 1(2010), the chapter expiration date is extended from April 15, 2010 until the completion of the review of administrative regulations and rules by the Red Tape Review Group, and until such time as the extended regulation or rule is readopted pursuant to the Administrative Procedure Act, N.J.S.A. 52:14B-1 et seq. See: 42 N.J.R. 1017(a).

Chapter Historical Note

Chapter 41, Applications, was adopted as R.1977 d.475, effective December 15, 1977. See: 9 N.J.R. 545(b), 10 N.J.R. 4(d).

Subchapter 12, Labor Organization Registration, was adopted as R.1978 d.176, effective May 25, 1978. See: 10 N.J.R. 211(b), 10 N.J.R. 306(b).

Subchapter 11, Applications by Casino Licensees for Approval of Agreements, was adopted as R.1978 d.177, effective May 25, 1978. See: 10 N.J.R. 212(b), 10 N.J.R. 306(c).

Subchapter 13, Casino License Conservatorship, was adopted as R.1979 d.207, effective May 24, 1979. See: 11 N.J.R. 213(b), 11 N.J.R. 360(b).

Pursuant to Executive Order No. 66(1978), Chapter 41, Applications, was readopted as R.1983 d.181, effective May 17, 1983. Subchapter 5, Equal Employment Opportunity; Affirmative Action Programs, was repealed by R.1983 d.181, effective June 6, 1983. See: 15 N.J.R. 532(b), 15 N.J.R. 931(b).

Pursuant to Executive Order No. 66(1978), Chapter 41, Applications, was readopted as R.1988 d.255, effective May 12, 1988. See: 20 N.J.R. 763(a), 20 N.J.R. 1209(a).

Subchapter 10, Professional Practice, was repealed by R.1989 d.495, effective September 18, 1989. See: 21 N.J.R. 1975(b), 21 N.J.R. 3022(b).

Subchapter 2, Casino Hotel Facilities, and Subchapter 13, Casino License Conservatorship, were recodified as N.J.A.C. 19:43-6 and 19:43-13, by R.1992 d.500, effective December 21, 1992. See: 24 N.J.R. 3225(a), 24 N.J.R. 4563(a).

Subchapter 14, Applications for the Renewal of Employee Licenses, was adopted as R.1993 d.34, effective January 19, 1993, operative July 1, 1993. See: 24 N.J.R. 2133(a), 25 N.J.R. 345(b).

Pursuant to Executive Order No. 66(1978), Chapter 41, Applications, was readopted as R.1993 d.205, effective April 15, 1993. See: 25 N.J.R. 916(b), 25 N.J.R. 1999(a).

Subchapter 5, Forms, was adopted as new rules by R.1993 d.429, effective September 7, 1993. See: 25 N.J.R. 2655(a), 25 N.J.R. 4120(a).

Subchapter 11, Agreements To Do Business with Casino Licenses, was in part repealed and in part recodified to N.J.A.C. 19:43-10 by R.1994 d.220, effective May 2, 1994. See: 26 N.J.R. 339(b), 26 N.J.R. 1847(a).

Pursuant to Executive Order No. 66(1978), Chapter 41, Applications, was readopted as R.1995 d.242, effective April 13, 1995. See: 27 N.J.R. 647(a), 27 N.J.R. 2011(a).

Subchapter 6, Statements of Compliance, was repealed by R.1996 d.352, effective August 5, 1996. See: 28 N.J.R. 2350(a), 28 N.J.R. 3817(b).

Pursuant to Executive Order No. 66(1978), Chapter 41, Applications, was readopted as R.2000 d.196, effective April 13, 2000, and Subchapter 3, Persons Required To Be Qualified, was repealed by R.2000 d.196, effective May 15, 2000. See: 32 N.J.R. 645(a), 32 N.J.R. 1784(b).

Chapter 41, Applications, was readopted as R.2005 d.148, effective April 15, 2005. See: Source and Effective Date.

CHAPTER TABLE OF CONTENTS

SUBCHAPTER 1. LICENSE AND REGISTRATION REQUIREMENTS

- 19:41-1.1 Persons required to obtain a casino key employee license
- 19:41-1.2 Persons required to obtain a casino employee license
- 19:41-1.3 Employee license and registrant requirements; authorization to work in the United States
- 19:41-1.3A Credentials
- 19:41-1.4 Employee license credentials and access badges; display; temporary credentials; obligation to obtain renewed credentials
- 19:41-1.5 Scope and applicability of employee licensing and registration requirements
- 19:41-1.5A through 19:41-1.9 (Reserved)

SUBCHAPTERS 2 THROUGH 3. (RESERVED)

SUBCHAPTER 4. STANDARDS FOR QUALIFICATION

- 19:41-4.1 Scope
- 19:41-4.2 (Reserved)
- 19:41-4.3 Employee standards
- 19:41-4.4 Section 86 standards
- 19:41-4.5 (Reserved)

SUBCHAPTER 5. FORMS

- 19:41-5.1 Definitions
- 19:41-5.2 Multi-Jurisdictional Personal History Disclosure Form
- 19:41-5.2A New Jersey Supplemental Form and Gaming Enterprise New Jersey Supplemental Form
- 19:41-5.3 Personal History Disclosure Form 1B (Basic Key Form) and Junket Enterprise Qualifier Disclosure Form
- 19:41-5.4 Personal History Disclosure Form 2A (Casino Employee License Application)
- 19:41-5.5 Personal History Disclosure Form 4A (Casino Service Employee Registration Application)
- 19:41-5.5A Key Standard Qualifier Renewal Form
- 19:41-5.5B Request to Determine Employment or Reapplication Eligibility Form
- 19:41-5.5C Application to Downgrade Employee License
- 19:41-5.6 Business Entity Disclosure Form—Corporate
- 19:41-5.6A Business Entity Disclosure Form—Partnership
- 19:41-5.7 Business Entity Disclosure Form 3
- 19:41-5.8 Business Entity Disclosure Form—Holding Company
- 19:41-5.9 Qualifier Disclosure Form
- 19:41-5.10 Qualifier Renewal Disclosure Form
- 19:41-5.11 Vendor Registration Form
- 19:41-5.11A (Reserved)
- 19:41-5.12 Junket Enterprise Registration Form
- 19:41-5.13 Labor Organization Registration Statement
- 19:41-5.14 Labor Organization Individual Disclosure Form
- 19:41-5.15 Business Entity Disclosure Form—Gaming
- 19:41-5.16 Casino Hotel Alcoholic Beverage license application form
- 19:41-5.17 Casino Hotel Alcoholic Beverage (CHAB) license renewal application form

19:41-5.18 Casino Hotel Alcoholic Beverage Permit Application Form

SUBCHAPTER 6. (RESERVED)

SUBCHAPTER 7. INFORMATION

- 19:41-7.1 Affirmative responsibility to establish qualifications
- 19:41-7.1A Application for the issuance of employee licenses or registrations and natural person qualification
- 19:41-7.1B Application for casino service employee registration; filing requirements
- 19:41-7.1C Duration of employee licenses and registrations
- 19:41-7.2 Duty to disclose and cooperate
- 19:41-7.2A Identification
- 19:41-7.3 Duty to promptly furnish information
- 19:41-7.4 Consent to inspections, searches and seizures
- 19:41-7.5 Waiver of liability for disclosure of information
- 19:41-7.6 Consent to examination of accounts and records
- 19:41-7.7 Fingerprinting
- 19:41-7.8 Photographing
- 19:41-7.9 Handwriting exemplars
- 19:41-7.10 Oath or affirmation and attorney certification
- 19:41-7.11 Untrue information
- 19:41-7.12 Signatures
- 19:41-7.13 Form of signature
- 19:41-7.14 Duty to submit English translation of documents in foreign language
- 19:41-7.15 Format of papers
- 19:41-7.16 Number of copies

SUBCHAPTER 8. APPLICATION

- 19:41-8.1 Receipt
- 19:41-8.2 Filing
- 19:41-8.3 Processing
- 19:41-8.4 Public inspection of information
- 19:41-8.5 Amendment
- 19:41-8.6 Withdrawal
- 19:41-8.7 Mootness
- 19:41-8.8 Restriction on application and employment after denial or revocation
- 19:41-8.9 Petition for early reapplication
- 19:41-8.10 Petition for permission to obtain employment not requiring a license or registration
- 19:41-8.11 Petition for permission to obtain employment as a CHAB employee

SUBCHAPTER 9. FEES

- 19:41-9.1 General description of fees and policy
- 19:41-9.2 Fiscal year
- 19:41-9.3 Payment of fees and civil penalties
- 19:41-9.4 Casino license fees
- 19:41-9.5 Fees for testing of gaming and simulcast wagering equipment and related devices and software
- 19:41-9.6 Slot machine fees
- 19:41-9.7 Casino hotel alcoholic beverage licenses
- 19:41-9.8 Gaming related casino service industry enterprise license fee
- 19:41-9.9 Non-gaming related casino service industry license fee
- 19:41-9.9A Junket enterprise license fees
- 19:41-9.10 Labor organization registration fee
- 19:41-9.11 Casino key employee license fees
- 19:41-9.11A Junket representative license term and fees
- 19:41-9.12 through 19:41-9.13 (Reserved)
- 19:41-9.14 Casino employee license fees
- 19:41-9.15 Casino service employee registration fee
- 19:41-9.16 (Reserved)
- 19:41-9.17 Miscellaneous administrative fees
- 19:41-9.18 (Reserved)
- 19:41-9.19 Obligation to pay fees; nonrefundable nature of fees; credits
- 19:41-9.20 Fees for services provided to other governmental bodies
- 19:41-9.21 Powers and duties of Commission and Division

SUBCHAPTERS 10 THROUGH 11. (RESERVED)

SUBCHAPTER 12. LABOR ORGANIZATIONS REGISTRATION

- 19:41-12.1 Definitions
- 19:41-12.2 Registration required
- 19:41-12.3 Registration exemption; standards and findings
- 19:41-12.4 Initial filing of registration statement
- 19:41-12.4A Application for initial and renewal of labor organization registration
- 19:41-12.5 Duration of registration; renewal
- 19:41-12.6 Continuing duty to disclose
- 19:41-12.7 Federal reports exception
- 19:41-12.8 (Reserved)
- 19:41-12.9 Officers, agents and principal employees; initial and renewal filing requirements
- 19:41-12.10 (Reserved)
- 19:41-12.11 Interest in casino hotel or casino licensee prohibited
- 19:41-12.12 Failure to comply; consequences

SUBCHAPTER 13. (RESERVED)

SUBCHAPTER 14. APPLICATIONS FOR THE RENEWAL OF EMPLOYEE LICENSES

- 19:41-14.1 Scope of subchapter; effect of expiration of license
- 19:41-14.2 Time for filing
- 19:41-14.3 Contents of renewal application
- 19:41-14.4 Downgrade of license at renewal
- 19:41-14.5 Processing
- 19:41-14.6 through 19:41-14.7 (Reserved)
- 19:41-14.8 Renewal of license after expiration of license term; relation to previous license term

SUBCHAPTER 1. LICENSE AND REGISTRATION REQUIREMENTS

19:41-1.1 Persons required to obtain a casino key employee license

(a) Any natural person who will be employed by a casino licensee in a position that includes any responsibility or authority listed below, regardless of job title, shall be required to hold, prior to such employment, a current and valid casino key employee license issued in accordance with N.J.S.A. 5:12-89:

1. The supervision of specific areas of casino or simulcasting operations. Such positions shall include, without limitation, persons who:

- i. Function as a table games shift manager in accordance with N.J.A.C. 19:45-1.12(b)8;
- ii. Function as a pit boss in accordance with N.J.A.C. 19:45-1.12(b)6;
- iii. Function as a poker shift supervisor in accordance with N.J.A.C. 19:45-1.12(b)7;
- iv. Function as a slot shift manager in accordance with N.J.A.C. 19:45-1.12(h)4;
- v. Supervise the repair and maintenance of slot machines and bill changers;