

**CHAPTER 69A
APPLICATIONS**

Authority

N.J.S.A. 5:12-68, 69, 70, 76, 84, 85, 86, 87, 87.1, 91, 91.1, 92, 93, and 94.

Source and Effective Date

R.2011 d.304, effective December 19, 2011.
See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Chapter Expiration Date

Chapter 69A, Applications, expires on December 19, 2018.

Chapter Historical Note

Chapter 69A, Applications, was adopted as new rules by R.2011 d.304, effective December 19, 2011. See: Source and Effective Date.

CHAPTER TABLE OF CONTENTS

SUBCHAPTER 1. LICENSE AND REGISTRATION REQUIREMENTS

- 13:69A-1.1 (Reserved)
- 13:69A-1.2 Persons required to obtain a casino employee registration
- 13:69A-1.3 Registrant requirements; authorization to work in the United States
- 13:69A-1.3A Credentials
- 13:69A-1.4 Credentials and access badges; display; temporary credentials
- 13:69A-1.5 Scope and applicability of registration requirements

SUBCHAPTERS 2 THROUGH 3. (RESERVED)

SUBCHAPTER 4. STANDARDS FOR QUALIFICATION

- 13:69A-4.1 through 13:69A-4.3 (Reserved)
- 13:69A-4.4 Section 86 standards

SUBCHAPTER 5. FORMS

- 13:69A-5.1 Definitions
- 13:69A-5.2 Multi-Jurisdictional Personal History Disclosure Form
- 13:69A-5.2A New Jersey Supplemental Form—Casino Qualifier
- 13:69A-5.2B Ancillary Casino Service Industry Enterprise License—Personal History Disclosure Form
- 13:69A-5.3 Personal History Disclosure Form 1
- 13:69A-5.4 Personal History Disclosure Resubmission Form
- 13:69A-5.5 Personal History Disclosure Form 3 – Casino Employee Registration
- 13:69A-5.5A Qualifier Resubmission Form—Casino Qualifiers
- 13:69A-5.5B Request to Determine Employment or Reapplication Eligibility
- 13:69A-5.5C Casino Employee License Conversion Application
- 13:69A-5.6 Business Entity Disclosure Form—Casino Company; Casino Holding and Intermediary Company
- 13:69A-5.6A Business Entity Disclosure Form—Resubmission
- 13:69A-5.6B Institutional Investor Certification
- 13:69A-5.7 Business Entity Disclosure Form—Casino Hotel Alcoholic Beverage Enterprise
- 13:69A-5.8 Business Entity Disclosure Form—Holding Company Casino Hotel Alcoholic Beverage Enterprise
- 13:69A-5.9 Qualifier Disclosure Form—Casino Hotel Alcoholic Beverage Enterprise

- 13:69A-5.10 New Jersey Supplemental Form—Casino Service Industry Enterprise Qualifiers
- 13:69A-5.11 Vendor Registration Form; Vendor Registration Supplemental Disclosure Form; and Vendor Registration Publicly Traded Corporation Form
- 13:69A-5.11A Casino Service Industry Enterprise and Ancillary Casino Service Industry Enterprise—Qualifier Resubmission Disclosure Form
- 13:69A-5.12 Registration Form—Non-Supervisory Employees of a Junket Enterprise
- 13:69A-5.13 Labor Organization Registration Statement
- 13:69A-5.14 Labor Organization Individual Disclosure Form
- 13:69A-5.15 Business Entity Disclosure Form—Casino Service Industry Enterprise
- 13:69A-5.15A Business Entity Disclosure Form—Ancillary Casino Service Industry Enterprise License
- 13:69A-5.16 Casino Hotel Alcoholic Beverage License Application
- 13:69A-5.17 Casino Hotel Alcoholic Beverage License Resubmission
- 13:69A-5.18 Casino Hotel Alcoholic Beverage Permit Application
- 13:69A-5.19 Internet Gaming Permit Application

SUBCHAPTER 6. (RESERVED)

SUBCHAPTER 7. INFORMATION

- 13:69A-7.1 Affirmative responsibility to establish qualifications
- 13:69A-7.1A Application for the issuance of registrations, licenses and natural person qualification
- 13:69A-7.1B Application for casino employee registration; filing requirements
- 13:69A-7.1C Duration of registrations
- 13:69A-7.2 Duty to disclose and cooperate
- 13:69A-7.2A Identification
- 13:69A-7.3 Duty to promptly furnish information
- 13:69A-7.4 Consent to inspections, searches and seizures
- 13:69A-7.5 Waiver of liability for disclosure of information
- 13:69A-7.6 Consent to examination of accounts and records
- 13:69A-7.7 Fingerprinting
- 13:69A-7.8 Photographing
- 13:69A-7.9 Handwriting exemplars
- 13:69A-7.10 Oath or affirmation and attorney certification
- 13:69A-7.11 Untrue information
- 13:69A-7.12 Signatures
- 13:69A-7.13 Form of signature
- 13:69A-7.14 Duty to submit English translation of documents in foreign language
- 13:69A-7.15 Format of papers
- 13:69A-7.16 Number of copies
- 13:69A-7.17 Disclosure requirements for legal, consulting, and lobbying services related to Internet gaming

SUBCHAPTER 8. APPLICATION AND RESUBMISSION

- 13:69A-8.1 Receipt
- 13:69A-8.2 Filing
- 13:69A-8.3 Processing
- 13:69A-8.4 Public inspection of information
- 13:69A-8.5 Amendment
- 13:69A-8.6 Withdrawal
- 13:69A-8.7 Mootness
- 13:69A-8.8 Restriction on application and employment after denial or revocation
- 13:69A-8.9 Petition for early reapplication
- 13:69A-8.10 Petition for permission to obtain employment not requiring a license or registration
- 13:69A-8.11 Petition for permission to obtain employment as a casino hotel alcoholic beverage employee

SUBCHAPTER 9. FEES

13:69A-9.1	General description of fees and policy
13:69A-9.2	Fiscal year
13:69A-9.3	Payment of fees and civil penalties
13:69A-9.4	Casino license fees
13:69A-9.5	Fees for testing of gaming and simulcast wagering equipment and related devices and software
13:69A-9.6	Slot machine fees
13:69A-9.7	Casino hotel alcoholic beverage licenses
13:69A-9.8	Casino service industry enterprise license fee
13:69A-9.8A	Ancillary casino service industry enterprise license fee
13:69A-9.9	Casino hotel alcoholic beverage casino service industry enterprise license fee
13:69A-9.9A	(Reserved)
13:69A-9.10	Labor organization registration fee
13:69A-9.11 through 13:69A-9.14	(Reserved)
13:69A-9.15	Casino employee registration fee
13:69A-9.16	Fee for conversion of a license to a registration
13:69A-9.17	Miscellaneous administrative fees
13:69A-9.18	Fee for non-credentialed employment
13:69A-9.19	Obligation to pay fees; nonrefundable nature of fees; credits
13:69A-9.20	Fees for services provided to other governmental bodies
13:69A-9.21	Powers and duties of Division

SUBCHAPTERS 10 THROUGH 11. (RESERVED)

SUBCHAPTER 12. LABOR ORGANIZATIONS REGISTRATION

13:69A-12.1	Definitions
13:69A-12.2	Registration required
13:69A-12.3	Registration exemption; standards and findings
13:69A-12.4	Initial filing of registration statements
13:69A-12.5	Initial and renewal labor registration filing requirements
13:69A-12.6	Continuing duty to disclose
13:69A-12.7	Federal reports exception
13:69A-12.8	Interest in casino hotel or casino licensee prohibited
13:69A-12.9	Failure to comply; consequences

SUBCHAPTER 13. (RESERVED)

SUBCHAPTER 14. RETENTION OF PHD-1 QUALIFICATION

13:69A-14.1	(Reserved)
13:69A-14.2	Time for filing
13:69A-14.3	Contents of submission

SUBCHAPTER 15. CONVERSION OF CASINO EMPLOYEE LICENSE

13:69A-15.1	Scope of subchapter; converting a casino employee license to a casino employee registration
13:69A-15.2	Time for filing
13:69A-15.3	Contents of an application to convert a casino employee license to a casino employee registration
13:69A-15.4	Duration of a casino employee registration

SUBCHAPTER 16. MULTI-CASINO EMPLOYEES

13:69A-16.1	Procedures
-------------	------------

SUBCHAPTER 1. LICENSE AND REGISTRATION REQUIREMENTS

13:69A-1.1 (Reserved)

13:69A-1.2 Persons required to obtain a casino employee registration

(a) Any natural person who will be employed by a casino licensee or holding or intermediary company of a casino licensee, and involved in the operation of a licensed casino or a simulcasting facility, or whose employment duties predominantly involve the maintenance or operation of gaming activity or equipment and assets associated therewith, or regularly requires work in a restricted casino area shall be required to hold, prior to such employment, a current and valid casino employee registration issued in accordance with N.J.S.A. 5:12-91(g). Such positions shall include, without limitation, persons who:

1. Function as a dealer;
2. Function as a boxperson;
3. Function as a floorperson in accordance with N.J.A.C. 13:69D-1.12(b)2;
4. Perform, under the supervision of an audit department executive, the duties and responsibilities of the internal audit department in accordance with N.J.A.C. 13:69D-1.11(b)2, including, without limitation, the supervision of personnel in the internal audit department; the monitoring of compliance with regulations and internal controls; and the evaluation of the adequacy of accounting and administrative controls;
5. Perform, under the supervision of a controller, the duties and responsibilities of the casino accounting department in accordance with N.J.A.C. 13:69D-1.11(b)6 including, without limitation, the supervision of personnel in the casino accounting department; overseeing the review, verification and recordation of casino revenue journal entries; and the processing or control of active accounting documents related to casino gaming activity;
6. Have access to active accounting documents related to casino gaming activity;
7. Conduct surveillance investigations and operations in accordance with N.J.A.C. 13:69D-1.11(b)1;
8. Repair and maintain slot machines and bill changers in accordance with N.J.A.C. 13:69D-1.11(b)4;
9. Assist in the operation of slot machines and bill changers, including, without limitation, persons who participate in manual jackpot payouts and fill payout reserve containers, or supervise such persons in accordance with N.J.A.C. 13:69D-1.12(b)3;

affiliated casino, it shall maintain internal control procedures setting forth the methodology of assigning employees and of maintaining inter-casino financial obligations for salary and benefits of such employees. Such procedures shall ensure that each person is counted as only one employee for any statistical purpose.

(b) Casinos shall maintain accurate records regarding each employee designated for assignment to an affiliated casino.

(c) The IT Director of each casino shall ensure that employees designated for assignment from an affiliated casino are properly granted and removed from computer access as appropriate.