

Firm Name: **EisnerAmper LLP**

Work Authorization No. 3
IOM Services for the Substations Program
Contract No. 14-033B

Engagement: **NJ Transit P.O. #B-51389-003**

For Quarter Ending: **09/30/2022**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	Gannett Fleming: \$9,694,730 (Design / Engineering) Northeast Remsco: \$9,825,162 (ROC Substation) John O'Hara Company: \$18,060,638 (Henderson St. Substation) DMR Construction: \$19,899,184 (Hoboken Depot Substation) Naik Consulting Group: \$5,807,005 (Construction Management for Hoboken and Henderson Substations) PKF Mark III, Inc.: \$20,657,858.61 (Bay Head Yard Substation)	The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132).
6.	Contract/Program Person/Title	Ronald Hovey, Chief Procurement Officer (Acting)	
7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring Services for the Substations Program, including: - NJT00766, Henderson St. Substation Repair: This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - NJT00768, Bay Head Yard Substation Repair: This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls.	
		- NJT00762, Hoboken Terminal House Power Repair: This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - NJT01113, ROC Unit Substation: This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC). - Construction Management (CM) Services: NAIK Consulting Group (NAIK) was retained CM services for two (2) substations projects, Hoboken Depot –Terminal and Henderson Street Substations.	
8.	Contract/Program Location	Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.	

Firm Name: **EisnerAmper LLP**

Work Authorization No. 3
IOM Services for the Substations Program
Contract No. 14-033B

Engagement: **NJ Transit P.O. #B-51389-003**

For Quarter Ending: **09/30/2022**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
9.	Amount Expended to Date	Hoboken Terminal House Power Repair (Depot) (NJT00762) - \$28,327,220.54 Henderson Substation (NJT00766) - \$26,796,296.89 Bayhead Substation (NJT00768) - \$20,616,046.47 ROC Unit Substation (NJT01113) - \$14,325,843.19	Note: Expenditure figures in the report are presented for the entire Substations Program, whereas previously they were limited to the contracts within IOM's scope.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	March 2023	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Held a conference call on July 7, 2022 with NJ Transit IAD and CP regarding grant management reconciliations. Participated, via conference call, progress meeting #69 on July 7, 2022 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #59 on July 12, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #70 on July 21, 2022 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #60 on July 26, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #71 on August 4, 2022 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #61 on August 9, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #72 on August 18, 2022 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #62 on August 23, 2022 related to contract 17-026X (Bay Head). Conducted a site visit for contract 17-026X (Bay Head) August 25, 2022 to observe project status. Held a call on August 29, 2022 with NJ Transit CP regarding reconciliation of grant funding and spending. Conducted a site visit for contract 16-035X (ROC) August 31, 2022 to observe project status. Participated, via conference call, progress meeting #73 on September 1, 2022 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #63 on September 6, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, to project status update call on September 22, 2022 for contracts No. 16-049X (Hoboken) and 17-053X (Henderson).	

Firm Name: **EisnerAmper LLP**

Work Authorization No. 3
IOM Services for the Substations Program
Contract No. 14-033B

Engagement: **NJ Transit P.O. #B-51389-003**

For Quarter Ending: **09/30/2022**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received and reviewed meeting minutes for progress meetings #68 through #74 related to Contract No. 16-035X (ROC). Received and reviewed meeting minutes for progress meetings #58 through #64 related to Contract No. 17-026X (Bay Head). Received NPC approval packages related to Contract 16-035X (ROC) and 16-049X (Hoboken). Received various payment applications for Contracts No. 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik). Received various construction documents including non-conformance logs, NPC logs, work plans, project schedules and daily inspection reports for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC) and 17-026X (Bay Head).	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Performed invoice testing related to Contract No. 17-026X (Bay Head), 16-035X (ROC), 17-053X (Henderson) and 16-049X (Hoboken). Attended construction progress meetings and performed fieldwork construction monitoring procedures for Contracts No. 16-035X (ROC) and 17-026X (Bay Head). Performed grant management monitoring procedures related to Contracts No. 17-026X (Bay Head), 17-053X (Henderson), 16-035X (ROC) and 16-049X (Hoboken). Performed monitoring procedures related to NPCs for Contracts No. 17-026X (Bay Head). Conducted DBE monitoring procedures including drafting DBE monitoring report and CUF verifications.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions for NE Remsco (Contract No. 16-035X), PKF Marks (Contract No. 17-026X) and Naik (Contract No. 17-007) were provided; testing for a selection of these invoices is in progress and ongoing.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Ongoing monitoring procedures in connection with the construction phase for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik).	
19.	Provide details of any integrity issues/findings	None noted	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	Note noted	
21.	Provide details on any other items of note that have occurred in the past quarter	For contract no. 17-026X (Bay Head), on May 26, 2022, NJ TRANSIT issued a letter for the postponement of work due to Coastal Area Facility Review Act (CAFRA) permit restrictions related to the osprey. Activities such as caissons work, roof system and mechanical equipment were postponed until the end of September 2022. For contract no. 16-049X (Hoboken), EisnerAmper is waiting on responses to requests for documents from DMR for 9 items from 2020. See attached schedule.	

State of New Jersey
Department of the Treasury
Integrity Oversight Monitoring Reporting Model

Firm Name: **EisnerAmper LLP**

Work Authorization No. 3

IOM Services for the Substations Program

Contract No. 14-033B

Engagement: **NJ Transit P.O. #B-51389-003**

For Quarter Ending: **09/30/2022**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted	

Name of Integrity Monitor:

EisnerAmper LLP

Name of Report Preparer:

Tim Van Noy

Signature:



Date: December 30, 2022

State of New Jersey
Department of Treasury
Work Authorization No. 3
IOM Services for the Substations Program
Rev. 1 – Substation Program: Construction Management Services
Contract No. 14-033B
NJ Transit P.O. #B-51389-003

Attachment for Question #21

Request #	Contract #	Risk Category	Date Requested	To be Provided By	Days Outstanding
Request #115b	16-049X	Procurement	4/24/2020	DMR	889
Request #198d	16-049X	Waste Disposal	12/8/2020	DMR	661
Request #198f	16-049X	Waste Disposal	12/8/2020	DMR	661
Request #198 i (item 4)	16-049X	Invoicing	12/8/2020	DMR	661
Request #198 i (item 5)	16-049X	Invoicing	12/8/2020	DMR	661
Request #198 i (item 6)	16-049X	Invoicing	12/8/2020	DMR	661
Request #198 i (item 10)	16-049X	Invoicing	12/8/2020	DMR	661
Request #198 i (item 11)	16-049X	Invoicing	12/8/2020	DMR	661
Request #198 i (item 12)	16-049X	Invoicing	12/8/2020	DMR	661

State of New Jersey
Department of Treasury
Work Authorization No. 3
IOM Services for the Substations Program
Rev. 1 – Substation Program: Construction Management Services
Contract No. 14-033B
NJ Transit P.O. #B-51389-003

Attachment for Question #23

Professional	Hours
David A. Cace	0.25
Elliott Lee	5.60
Timothy Van Noy	18.65
Craig Mann	102.90
Nancy Pham	58.75
Brooke DiMarinis	0.10
Marisol Gonzalez	35.70
Ken Brzozowski*	3.00
Sasha Smith*	16.75
Tad Creamer*	16.65
Ethan Johnston*	34.50
Sara Harbinson*	4.00
Subtotal_IOM Services for the Substations Program	296.85
Craig Mann	4.70
Nancy Pham	0.50
Brooke DiMarinis	1.25
Subtotal_Rev. 1 – Substation Program: Construction Management Services	6.45
Total	303.30

* Member of EisnerAmper's DBE firm.