

CHAPTER 41

APPLICATIONS

Authority

N.J.S.A. 5:12-63c, 69a, 70a through c,
70e, 89, 90, 91, 92, 93, 139, and 141.

Source and Effective Date

R.2010 d.197, effective August 18, 2010.
See: 42 N.J.R. 1017(a), 42 N.J.R. 2275(a).

Chapter Expiration Date

In accordance with N.J.S.A. 52:14B-5.1b, Chapter 41, Applications,
expires on August 18, 2017. See: 43 N.J.R. 1203(a).

Chapter Historical Note

Chapter 41, Applications, was adopted as R.1977 d.475, effective
December 15, 1977. See: 9 N.J.R. 545(b), 10 N.J.R. 4(d).

Subchapter 12, Labor Organization Registration, was adopted as
R.1978 d.176, effective May 25, 1978. See: 10 N.J.R. 211(b), 10 N.J.R.
306(b).

Subchapter 11, Applications by Casino Licensees for Approval of
Agreements, was adopted as R.1978 d.177, effective May 25, 1978.
See: 10 N.J.R. 212(b), 10 N.J.R. 306(c).

Subchapter 13, Casino License Conservatorship, was adopted as
R.1979 d.207, effective May 24, 1979. See: 11 N.J.R. 213(b), 11 N.J.R.
360(b).

Pursuant to Executive Order No. 66(1978), Chapter 41, Applications,
was readopted as R.1983 d.181, effective May 17, 1983. Subchapter 5,
Equal Employment Opportunity; Affirmative Action Programs, was
repealed by R.1983 d.181, effective June 6, 1983. See: 15 N.J.R.
532(b), 15 N.J.R. 931(b).

Pursuant to Executive Order No. 66(1978), Chapter 41, Applications,
was readopted as R.1988 d.255, effective May 12, 1988. See: 20 N.J.R.
763(a), 20 N.J.R. 1209(a).

Subchapter 10, Professional Practice, was repealed by R.1989 d.495,
effective September 18, 1989. See: 21 N.J.R. 1975(b), 21 N.J.R.
3022(b).

Subchapter 2, Casino Hotel Facilities, and Subchapter 13, Casino Li-
cense Conservatorship, were recodified as N.J.A.C. 19:43-6 and 19:43-
13, by R.1992 d.500, effective December 21, 1992. See: 24 N.J.R.
3225(a), 24 N.J.R. 4563(a).

Subchapter 14, Applications for the Renewal of Employee Licenses,
was adopted as R.1993 d.34, effective January 19, 1993, operative July
1, 1993. See: 24 N.J.R. 2133(a), 25 N.J.R. 345(b).

Pursuant to Executive Order No. 66(1978), Chapter 41, Applications,
was readopted as R.1993 d.205, effective April 15, 1993. See: 25
N.J.R. 916(b), 25 N.J.R. 1999(a).

Subchapter 5, Forms, was adopted as new rules by R.1993 d.429,
effective September 7, 1993. See: 25 N.J.R. 2655(a), 25 N.J.R. 4120(a).

Subchapter 11, Agreements To Do Business with Casino Licenses,
was in part repealed and in part recodified to N.J.A.C. 19:43-10 by
R.1994 d.220, effective May 2, 1994. See: 26 N.J.R. 339(b), 26 N.J.R.
1847(a).

Pursuant to Executive Order No. 66(1978), Chapter 41, Applications,
was readopted as R.1995 d.242, effective April 13, 1995. See: 27
N.J.R. 647(a), 27 N.J.R. 2011(a).

Subchapter 6, Statements of Compliance, was repealed by R.1996
d.352, effective August 5, 1996. See: 28 N.J.R. 2350(a), 28 N.J.R.
3817(b).

Pursuant to Executive Order No. 66(1978), Chapter 41, Applications,
was readopted as R.2000 d.196, effective April 13, 2000, and Sub-
chapter 3, Persons Required To Be Qualified, was repealed by R.2000
d.196, effective May 15, 2000. See: 32 N.J.R. 645(a), 32 N.J.R.
1784(b).

Chapter 41, Applications, was readopted as R.2005 d.148, effective
April 15, 2005. See: 37 N.J.R. 427(b), 37 N.J.R. 1754(a).

Pursuant to Executive Order No. 1(2010), the chapter expiration date
was extended from April 15, 2010 until the completion of the review of
administrative regulations and rules by the Red Tape Review Group, and
until such time as the extended regulation or rule was readopted pursuant
to the Administrative Procedure Act, N.J.S.A. 52:14B-1 et seq. See: 42
N.J.R. 1017(a).

Chapter 41, Applications, was readopted as R.2010 d.197, effective
August 18, 2010. See: Source and Effective Date.

Subchapter 7, Information, and Subchapter 8, Application, were
repealed by R.2011 d.304, effective December 19, 2011. See: 43 N.J.R.
2436(a), 43 N.J.R. 3386(a).

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SUBCHAPTER 1. LICENSE AND REGISTRATION REQUIREMENTS

19:41-1.1 Persons required to obtain a casino key employee license

(a) Any natural person who will be employed by a casino licensee in a position that includes any responsibility or authority listed below, regardless of job title, shall be required to hold, prior to such employment, a current and valid casino key employee license issued in accordance with N.J.S.A. 5:12-89:

1. The supervision of specific areas of casino or simulcasting operations. Such positions shall include, without limitation, persons who:

- i. Function as a table games shift manager in accordance with N.J.A.C. 19:45-1.12(b)8;
- ii. Function as a pit boss in accordance with N.J.A.C. 19:45-1.12(b)6;
- iii. Function as a poker shift supervisor in accordance with N.J.A.C. 19:45-1.12(b)7;
- iv. Function as a slot shift manager in accordance with N.J.A.C. 19:45-1.12(h)4;
- v. Supervise the repair and maintenance of slot machines and bill changers;
- vi. Supervise surveillance investigations or the operation of the surveillance department during a shift;
- vii. Supervise security investigations or the operation of the security department during a shift;
- viii. Function as a cage manager in accordance with N.J.A.C. 19:45-1.11(b)7;
- ix. Supervise the operation of the cashiers' cage, table games cage or slot machine cage during a shift.

Persons who supervise the operation of a cashiers' cage, table games cage or slot machine cage, in a position directly subordinate to the cage shift manager, shall be required to obtain a key license where the organization of the cage operations, the size of the casino operation, the nature and volume of the transactions performed by the cage and the number and locations of cage operations indicate that such person exercises a comparable level of responsibility and authority;

x. Supervise the hard count or soft count room or function as a count room supervisor in accordance with N.J.A.C. 19:45-1.33 or 1.43;

xi. Supervise the patron check collection unit;

xii. Function as a simulcast counter shift supervisor in accordance with N.J.A.C. 19:45-1.12(i)2; or

xiii. Function as a keno manager;

2. The authority to develop or administer policy or long-range plans to make discretionary decisions regulating casino or simulcast facility operations. Such positions shall include, without limitation, persons who:

i. Function as an officer or comparable non-corporate employee of the casino licensee;

ii. Function as a casino manager in accordance with N.J.A.C. 19:45-1.11(b)4 and 1.12(a);

iii. Function as a slot department manager in accordance with N.J.A.C. 19:45-1.11(b)4 and 1.12(h)5;

iv. Function as a director of surveillance in accordance with N.J.A.C. 19:45-1.11(b)1;

v. Function as a director of security in accordance with N.J.A.C. 19:45-1.11(b)6;

vi. Function as a controller in accordance with N.J.A.C. 19:45-1.11(b)7;

vii. Function as a credit manager in accordance with N.J.A.C. 19:45-1.11(b)5;

viii. Function as an audit department executive in accordance with N.J.A.C. 19:45-1.11(b)2;

ix. Function as an MIS department manager or as an MIS security officer in accordance with N.J.A.C. 19:45-1.11(b)3;

x. Manage a marketing department;

xi. Function as an assistant manager of a mandatory casino department;

xii. Function as an equal opportunity officer in accordance with N.J.S.A. 5:12-134 and 135 and N.J.A.C. 19:53-1.4; or

xiii. Manage casino administrative operations; or

3. The authority to develop or administer policy or long-range plans or to make discretionary decisions regulating the management of an approved hotel. Such positions shall include, without limitation, persons who:

- i. Manage the operation of the hotel;
- ii. Manage the entertainment activities of the casino licensee;
- iii. Manage the food and beverage operations of the casino licensee; and
- iv. Manage the human resource activities of the casino licensee.

(b) In addition to the persons required to hold a casino key employee license pursuant to (a) above, any natural person who will be employed in a position designated by the Commission, for reasons consistent with the policies of the Act, as a casino key employee in the jobs compendium of a casino licensee shall be required to hold, prior to such employment, a current and valid casino key employee license issued in accordance with N.J.S.A. 5:12-89. Such positions shall include, without limitation, any employee of a casino licensee who:

1. Is required to be qualified pursuant to N.J.S.A. 5:12-85c;
2. Will provide legal representation for the casino licensee in matters before the Commission or provide legal counsel regarding compliance with the Act or the rules of the Commission;
3. Will purchase or contract for goods and services involving an annual expenditure of \$10,000 or more;
4. May authorize the issuance of patron credit;
5. May authorize the issuance of cash complimentaries in the amount of \$10,000 or more in accordance with N.J.A.C. 19:45-1.9B; or
6. Will supervise an employee who is required to be licensed as a casino key employee.

New Rule, R.1995 d.467, effective August 21, 1995.

See: 27 N.J.R. 2116(a), 27 N.J.R. 3222(a).

Administrative Correction.

See: 28 N.J.R. 1403(a).

Amended by R.1997 d.447, effective October 20 1997.

See: 29 N.J.R. 2811(a), 29 N.J.R. 4560(a).

In (a)1x, added "or function as a count room supervisor in accordance with N.J.A.C. 19:45-1.33 or 1.43".

Amended by R.1998 d.18, effective January 5, 1998.

See: 29 N.J.R. 3432(b), 30 N.J.R. 112(b).

Deleted (a)2x; recodified existing (a)2xi through (a)2xiv as (a)2x through (a)2xiii; deleted (b)6; and recodified existing (b)7 as (b)6.

Amended by R.2003 d.117, effective March 17, 2003.

See: 34 N.J.R. 3941(a), 35 N.J.R. 1428(a).

In (a)1xiii, deleted "or keno shift supervisor".

Amended by R.2005 d.216, effective July 5, 2005.

See: 36 N.J.R. 3242(a), 37 N.J.R. 2561(a).

In (a)2ix inserted "or as an MIS security officer" following "Function as an MIS department manager".

Administrative correction.

See: 42 N.J.R. 521(b).

Historical Note

A former N.J.A.C. 19:41-1.1, "Casino licenses" was repealed by R.1992 d.500, effective December 21, 1992. See: 24 N.J.R. 3225(a), 24 N.J.R. 4563(a).

Case Notes

Casino key employee license; rehabilitation. Application of Tufi for a Casino Key Employee License, 95 N.J.A.R.2d (CCC) 18.

19:41-1.2 (Reserved)

A former N.J.A.C. 19:41-1.2, "Casino service industry licenses" was repealed by R.1992 d.412, effective October 19, 1992. See: 24 N.J.R. 2695(b), 24 N.J.R. 3738(a).

New Rule, R.1995 d.467, effective August 21, 1995.

See: 27 N.J.R. 2116(a), 27 N.J.R. 3222(a).

Amended by R.1998 d.18, effective January 5, 1998.

See: 29 N.J.R. 3432(b), 30 N.J.R. 112(b).

Amended by R.2002 d.207, effective July 1, 2002.

See: 34 N.J.R. 1249(a), 34 N.J.R. 2336(b).

In (a), rewrote 17.

Amended by R.2003 d.117, effective March 17, 2003.

See: 34 N.J.R. 3941(a), 35 N.J.R. 1428(a).

In (a), rewrote 10.

Amended by R.2005 d.216, effective July 5, 2005.

See: 36 N.J.R. 3242(a), 37 N.J.R. 2561(a).

In (a)14 substituted "casino" for "gaming-related" throughout, and substituted "computer systems or related software" for "computer software systems".

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Persons required to obtain a casino employee license".

19:41-1.3 Employee license and registrant requirements; authorization to work in the United States

(a) No natural person shall be employed by a casino licensee in any capacity unless he or she is a citizen of the United States or can demonstrate that he or she holds a current and valid work authorization issued by the United States Department of Justice, Immigration and Naturalization Service and is not restricted from working in the capacity for which employment is sought. "Authorization to work in the United States" may be demonstrated by the presentation of a permanent resident alien card, a temporary employment authorization card or any other such documentation submitted by an applicant which the Commission determines to provide sufficient evidence of such authorization.

(b) No natural person shall be employed as a casino key employee pursuant to N.J.S.A. 5:12-9 and N.J.A.C. 19:41-1.1 or as a casino employee pursuant to N.J.S.A. 5:12-7 and N.J.A.C. 19:41-1.2 unless he or she is 18 years of age or older.

(c) No natural person shall perform the functions of a casino service employee registrant pursuant to N.J.S.A. 5:12-11.1 unless he or she has attained the age required for such employment by the laws of the state in which he or she will be employed.

(d) No natural person under 18 years of age shall perform the functions of a casino service employee in any casino alcoholic beverage location, as set forth in N.J.A.C. 19:50-

1.4, unless such employment is otherwise authorized by N.J.A.C. 13:2-14.

Amended by R.1979 d.379, effective October 19, 1979.

See: 11 N.J.R. 418(b), 11 N.J.R. 599(e).

Amended by R.1990 d.209, effective April 16, 1990 (operative June 18, 1990).

See: 22 N.J.R. 22(b), 22 N.J.R. 1271(c).

In (a): revised to include language "or the management of an approved hotel . . ." and "holds a current and valid . . .". Clarified text to specify positions that require a casino key employee license.

In (a)3: changed "supervisors" to "credit executives."

In (a)4: changed "cashiers" to "casino cashier supervisors."

In (b): revised language to specify positions that require a casino employee license. Added "restricted" to define casino. Renumbered (b)5-9 as (b).

Deleted (b)5, "Bartenders" and (b)7, "waitresses."

In new (b)5, added "and waitresses . . . areas."

Renumbered (b)6-9 as (b)5-7.

In (c): revised text to specify requirements for those positions requiring a casino hotel employee registration. In (h): added new text to specify procedures regarding renewal of license credentials.

Notice of Receipt of Petition for Rulemaking.

See: 22 N.J.R. 1635(b).

Amended by R.1990 d.448, effective September 4, 1990.

See: 22 N.J.R. 1911(a), 22 N.J.R. 2752(b).

In (f): deleted phrase, "... shall be numerically controlled ..." regarding the license holder.

Administrative Correction in (a) to correct spelling of employee.

Amended by R.1993 d.37, effective January 19, 1993.

See: 24 N.J.R. 3695(a), 25 N.J.R. 348(b).

Casino simulcasting added.

Amended by R.1993 d.84, effective February 16, 1993.

See: 24 N.J.R. 4335(b), 25 N.J.R. 712(a).

Repealed (d) through (h), regarding display of license credentials and lost or destroyed credentials.

Amended by R.1994 d.171, effective April 4, 1994.

See: 26 N.J.R. 339(a), 26 N.J.R. 1538(b).

Amended by R.1995 d.285, effective June 5, 1995.

See: 26 N.J.R. 2218(a), 27 N.J.R. 2254(a).

Amended by R.1995 d.467, effective August 21, 1995.

See: 27 N.J.R. 2116(a), 27 N.J.R. 3222(a).

Substantially amended the section.

Amended by R.2000 d.62, effective February 22, 2000.

See: 31 N.J.R. 3940(a), 32 N.J.R. 717(b).

Substituted "perform the functions of" for "be employed as" following "shall" and changed N.J.S.A. reference in the second sentence, and added a third sentence.

Amended by R.2003 d.18, effective January 6, 2003 (operative January 20, 2003).

See: 34 N.J.R. 3174(a), 35 N.J.R. 273(a).

Inserted a new (a); added paragraph identifiers (b) through (d); in (b) through (d), deleted references to citizen of United States.

Case Notes

Casino's decision to terminate supervisors who had experience in dealing only one game did not constitute age discrimination. *Maidenbaum v. Bally's Park Place, Inc.*, D.N.J.1994, 870 F.Supp. 1254, affirmed, 67 F.3d 291.

19:41-1.3A (Reserved)

New Rule R.2003 d.18, effective January 6, 2003 (operative January 20, 2003).

See: 34 N.J.R. 3174(a), 35 N.J.R. 273(a).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Credentials".

19:41-1.4 Employee license credentials and access badges; display; temporary credentials; obligation to obtain renewed credentials

(a) While engaged in the performance of his or her duties on the premises of the casino hotel, each casino key employee, casino employee and casino service employee shall have the license credential issued to him or her by the Commission on his or her person at all times, and each casino key employee and casino employee shall wear in a conspicuous manner any access badge required pursuant to (b) below or, if no access badge is required, his or her license credential while present in a restricted area.

(b) Except as otherwise provided in the approved internal controls of a casino licensee submitted pursuant to N.J.A.C. 19:45-1.12A, each casino key employee and casino employee of a casino licensee shall wear in a conspicuous manner a badge or similar form of identification (an "access badge") for purposes of identifying the restricted areas in the employer's establishment to which that employee may obtain access in the course of the performance of his or her normal duties.

(c) No casino licensee shall permit a casino key employee or casino employee to perform his or her duties on the premises of the casino hotel unless the employee is wearing an access badge required by N.J.A.C. 19:45-1.12A and (b) above or, if no access badge is required, his or her license credential in accordance with (a) above.

(d) Notwithstanding (a) through (c) above, the Chairman may, upon written request by a casino licensee and upon a showing of good cause, exempt certain positions, titles or persons from the requirements of this section. The Chairman may delegate the authority to make such determinations to the Director of the Division of Licensing.

(e) Each casino licensee shall provide each casino employee and casino key employee with the access badge required pursuant to (b) above.

(f) A temporary license credential may be issued by the casino security department of a casino licensee to a casino key employee or casino employee who does not have the license credential or access badge on his or her person, or whose license credential or access badge has been stolen, lost or destroyed, to enable the employee to enter a public or restricted area to perform his or her duties, if the casino security department:

1. Verifies that the employee is listed in the casino licensee's current employee status report;
2. Verifies that the employee holds a valid license;

3. Verifies that the employee is authorized to obtain access to the public and restricted areas in which he or she will be working while in possession of the temporary license credential;

4. Confirms the above employment, access and licensure information with the supervisor of the employee;

5. Verifies that fewer than 12 temporary license credentials have been issued to the particular employee in the past 12 months; and

6. Immediately notifies the Commission inspection booth in writing that a temporary license credential has been issued, which notice shall include:

i. The name, license number and position title of the employee to whom the temporary credential was issued;

ii. A list of the public and restricted areas to which the employee may obtain access;

iii. The date and time that the temporary credential was issued; and

iv. The name and license number of the casino security department employee issuing the temporary credential.

(g) A temporary license credential issued pursuant to (f) above shall:

1. Contain the following information:

i. The name and license number of the employee to whom it was issued, and the public and restricted areas, identified in a manner approved by the Commission, to which the employee is permitted access;

ii. A conspicuous statement printed on the face thereof which provides that the credential is void 24 hours after the time of its issuance;

iii. The date and time it was issued; and

iv. The name and license number of the casino security department employee who issued it;

2. Be void 24 hours after the time of its issuance;

3. Be sequentially numbered; and

4. Be stored in the offices of the casino security department adjacent to the casino floor (the "security podium") and distributed by that department in accordance with the casino licensee's internal controls submitted to and approved by the Commission in accordance with N.J.A.C. 19:43-9.1(a)8.

(h) No more than 12 temporary license credentials shall be issued to an employee in a 12-month period.

(i) Any holder of a Commission license credential or an access badge shall promptly report the loss or destruction of:

1. A Commission license credential to the Commission through its Division of Licensing; and

2. An access badge to the casino security department of the casino licensee by which such holder is employed.

(j) As soon as possible following the loss or destruction of a license credential or an access badge, the person to whom the license credential or access badge was originally issued shall apply to:

1. The Commission for a replacement license credential; and

2. The department of the casino licensee responsible for the issuance of replacement access badges in accordance with the casino licensee's internal controls for a replacement access badge.

Repealed by R.1978 d.176, effective May 25, 1978.

See: 10 N.J.R. 211(b), 10 N.J.R. 306(b).

Section was "Labor organization registrations".

New Rule, R.1993 d.84, effective February 16, 1993.

See: 24 N.J.R. 4335(b), 25 N.J.R. 712(a).

Amended by R.1993 d.163, effective April 19, 1993 (operative July 1, 1993).

See: 25 N.J.R. 276(a), 25 N.J.R. 1778(a).

Deleted subsection (i) from rule text.

Amended by R.1994 d.265, effective June 6, 1994.

See: 25 N.J.R. 5893(a), 26 N.J.R. 2463(a).

Amended by R.1995 d.591, effective November 20, 1995.

See: 27 N.J.R. 3146(a), 27 N.J.R. 4729(a).

Amended by R.1996 d.69, effective February 5, 1996.

See: 27 N.J.R. 3916(a), 28 N.J.R. 897(a).

Amended by R.1996 d.560, effective December 2, 1996.

See: 28 N.J.R. 3899(a), 28 N.J.R. 5080(b).

Amended by R.1999 d.72, effective March 1, 1999.

See: 30 N.J.R. 1396(a), 31 N.J.R. 672(a).

Rewrote (a) through (c).

Case Notes

Good character, honesty and integrity for licensure as casino employee was established notwithstanding previous egregious defiance of regulatory system. Application of Tran, 95 N.J.A.R.2d (CCC) 5.

Casino key employee license of attorney in good standing was granted notwithstanding prior impropriety of casino hotel employee registration. Application of Lavigna, 95 N.J.A.R.2d (CCC) 1.

19:41-1.5 (Reserved)

Repeal and New Rule, R.1994 d.215, effective May 2, 1994.

See: 26 N.J.R. 779(a), 26 N.J.R. 1845(a).

Section was "Casino hotel alcoholic beverage licenses".

Amended by R.1995 d.306, effective June 19, 1995.

See: 27 N.J.R. 1162(a), 27 N.J.R. 2455(a).

Amended by R.1997 d.36, effective January 21, 1997.

See: 28 N.J.R. 4411(a), 29 N.J.R. 378(a).

In (b), amended N.J.A.C. reference.

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Scope and applicability of employee licensing and registration requirements".

19:41-1.5A (Reserved)

New Rule, R.1994 d.447, effective September 6, 1994.

See: 26 N.J.R. 2207(a), 26 N.J.R. 3746(b).

Repealed by R.1995 d.306, effective June 19, 1995.

See: 27 N.J.R. 1162(a), 27 N.J.R. 2455(a).

Section was "Position endorsement".

19:41-1.6 (Reserved)

Repealed by R.1994 d.215, effective May 2, 1994.
See: 26 N.J.R. 779(a), 26 N.J.R. 1845(a).
Section was "Slot machine licenses".

19:41-1.7 (Reserved)

Repealed by R.1994 d.66, effective February 7, 1994.
See: 25 N.J.R. 5114(b), 26 N.J.R. 827(a).
Section was "Work permits".

19:41-1.8 (Reserved)

Repealed by R.1995 d.306, effective June 19, 1995.
See: 27 N.J.R. 1162(a), 27 N.J.R. 2455(a).
Section was "Experience and training requirements".

19:41-1.9 (Reserved)

Repealed by R.1995 d.306, effective June 19, 1995.
See: 27 N.J.R. 1162(a), 27 N.J.R. 2455(a).
Section was "Qualification standards: table games positions."

SUBCHAPTERS 2 THROUGH 3. (RESERVED)**SUBCHAPTER 4. STANDARDS FOR QUALIFICATION****19:41-4.1 Scope**

No license shall be issued unless each person required to qualify shall have first qualified in accordance with the following standards applicable to the said person as set forth in the Act and the regulations of the Commission.

19:41-4.2 (Reserved)

Repealed by R.1992 d.500, effective December 21, 1992.
See: 24 N.J.R. 3225(a), 24 N.J.R. 4563(a).
Section was "Casino license standards".

19:41-4.3 (Reserved)

Amended by R.1978 d.363, effective October 16, 1978.
See: 10 N.J.R. 407(e), 10 N.J.R. 522(c).
Amended by R.1982 d.63, effective March 15, 1982.
See: 14 N.J.R. 37(a), 14 N.J.R. 285(d).
Deleted (a)5 and 6.
Amended by R.1995 d.306, effective June 19, 1995.
See: 27 N.J.R. 1162(a), 27 N.J.R. 2455(a).
Repealed by R.2011 d.304, effective December 19, 2011.
See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
Section was "Employee Standards".

19:41-4.4 (Reserved)

Repealed by R.2011 d.304, effective December 19, 2011.
See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
Section was "Section 86 standards".

19:41-4.5 (Reserved)

Repealed by R.2000 d.196, effective May 15, 2000.
See: 32 N.J.R. 645(a), 32 N.J.R. 1784(b).
Section was "Casino hotel alcoholic beverage license standards".

SUBCHAPTER 5. FORMS**19:41-5.1 (Reserved)**

Amended by R.1993 d.571, effective November 15, 1993 (operative December 20, 1993).
See: 25 N.J.R. 3951(a), 25 N.J.R. 5359(b).
Repealed by R.2011 d.304, effective December 19, 2011.
See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
Section was "Definitions".

19:41-5.2 (Reserved)

Amended by R.1995 d.306, effective June 19, 1995.
See: 27 N.J.R. 1162(a), 27 N.J.R. 2455(a).
Amended by R.1998 d.112, effective March 2, 1998.
See: 29 N.J.R. 4079(a), 30 N.J.R. 866(a).
In (a), inserted the language "or Gaming Enterprise Qualifier Disclosure Form (Gaming QDF)"; and in (b), added a reference to Gaming QDF.
Amended by R.2001 d.187, effective June 4, 2001.
See: 33 N.J.R. 996(a), 33 N.J.R. 1925(a).
Rewrote (a); in (b), substituted "MJ" for "1A" in the introductory paragraph.
Amended by R.2003 d.482, effective December 15, 2003.
See: 35 N.J.R. 3747(a), 35 N.J.R. 5552(a).
In (a), deleted "or Gaming Enterprise Qualifier Disclosure Form (Gaming QDF)" in the introductory paragraph; in (b), deleted "or Gaming QDF" in the introductory paragraph and deleted 3.
Repealed by R.2011 d.304, effective December 19, 2011.
See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
Section was "Multi-Jurisdictional Personal History Disclosure Form".

19:41-5.2A (Reserved)

New Rule, R.2001 d.187, effective June 4, 2001.
See: 33 N.J.R. 996(a), 33 N.J.R. 1925(a).
Amended by R.2003 d.18, effective January 6, 2003 (operative January 20, 2003).
See: 34 N.J.R. 3174(a), 35 N.J.R. 273(a).
Rewrote (a)7.
Amended by R.2003 d.482, effective December 15, 2003.
See: 35 N.J.R. 3747(a), 35 N.J.R. 5552(a).
In (a), inserted "or Gaming Enterprise New Jersey Supplemental Form" preceding "shall be in a format" in the introductory paragraph and inserted "gaming enterprise licensee or applicant," preceding "or holding company" in 6.
Repealed by R.2011 d.304, effective December 19, 2011.
See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
Section was "New Jersey Supplemental Form and Gaming Enterprise New Jersey Supplemental Form".

19:41-5.3 Personal History Disclosure Form 1B (Basic Key Form) and Junket Enterprise Qualifier Disclosure Form

(a) A Personal History Disclosure Form (Basic Key Form or PHD-1B) or Junket Enterprise Qualifier Disclosure Form (JE/QDF) shall be in a format prescribed by the Commission and may require the applicant to provide the following information:

1. Name, including any aliases or nicknames;
2. Date of birth;
3. Physical description;
4. Current address and residence history;

5. Social security number, which information is voluntarily provided in accordance with section 7 of the Privacy Act, 5 U.S.C. 552a;

6. Citizenship and, if applicable, resident alien status, including any employment authorization;

7. Marital history and other family data;

8. Telephone number at current place of employment;

9. Employment history, including any gaming-related employment;

10. Education and training;

11. Record of military service;

12. Licenses and other approvals held by or applied for by the applicant or, where specified, the applicant's spouse, in this State or any other jurisdiction, including:

i. Any license, permit, approval or registration required to participate in any lawful gambling operation in this State or any jurisdiction;

ii. Any denial, suspension or revocation by a government agency in this State or any other jurisdiction of a license, permit or certification held by or applied for by the applicant or the applicant's spouse;

iii. Motor vehicle registrations and operator licenses held by or applied for by the applicant or the applicant's spouse, and any revocation or suspension thereof;

13. Civil, criminal and investigatory proceedings in any jurisdiction, as follows:

i. Arrests, charges or offenses committed by the applicant or any member of the applicant's immediate family;

ii. Any appearance before, investigation by or request to take a polygraph examination by any governmental agency, court, committee, grand jury or investigatory body; and

iii. Lawsuits to which the applicant was or is a party; and

14. Financial data, as follows:

i. All assets and liabilities of the applicant, and the applicant's spouse and dependent children as indicated on the net worth statement and supporting schedules in a format prescribed by the Commission, including cash, bank accounts, notes payable and receivable, real estate and income taxes payable, loans, accounts payable and any other indebtedness, contingent liabilities, securities, real estate interests, real estate mortgages and liens, life insurance, pension funds, vehicles and other assets;

ii. Bank accounts, including any right of ownership in, control over or interest in any foreign bank account, and safe deposit boxes;

iii. Real estate interests held by the applicant or the applicant's spouse or dependent children;

iv. Businesses owned;

v. Copies of Federal tax returns and related information;

vi. Judgments or petitions for bankruptcy or insolvency concerning the applicant or any business entity in which the applicant held a five percent or greater interest, other than a publicly traded corporation, or in which the applicant served as an officer or director;

vii. Any garnishment or attachment of wages, charging order or voluntary wage execution, including the amount, court, nature of the obligation and the holder of the obligation;

viii. Executors and beneficiaries of the applicant's Last Will and Testament;

ix. Positions held or interest received in any estate or trust;

x. Insurance claims in excess of \$100,000 by the applicant or the applicant's spouse or dependent children;

xi. Loans in excess of \$10,000 made or received by the applicant, the applicant's spouse or dependent children;

xii. Gifts in excess of \$10,000 given or received by the applicant or the applicant's immediate family; and

xiii. Referral or finder's fees in excess of \$10,000.

(b) In addition to the information in (a) above, a completed PHD-1B or JE/QDF may include the following:

1. The name, address, occupation and phone number of persons who can attest to the applicant's good character and reputation;

2. A signed, dated and notarized certification of truth; and

3. A signed, dated and notarized Release Authorization which shall direct all courts, probation departments, selective service boards, employers, educational institutions, financial and other institutions and all governmental agencies to release any and all information pertaining to the applicant as requested by the Commission or the Division.

Amended by R.1995 d.306, effective June 19, 1995.

See: 27 N.J.R. 1162(a), 27 N.J.R. 2455(a).

Amended by R.1998 d.112, effective March 2, 1998.

See: 29 N.J.R. 4079(a), 30 N.J.R. 866(a).

In (a), inserted the language "or Junket Enterprise Qualifier Disclosure Form (JE/QDF)"; and in (b), added a reference to JE/QDF.

Amended by R.2003 d.18, effective January 6, 2003 (operative January 20, 2003).

See: 34 N.J.R. 3174(a), 35 N.J.R. 273(a).

Rewrote (a)6.

19:41-5.4 Personal History Disclosure Form 2A (Casino Employee License Application)

(a) A Personal History Disclosure Form 2A (Casino Employee License Application or PHD-2A) shall be in format prescribed by the Commission and may require the applicant to provide the following information:

1. Name, including any aliases or nicknames;
2. Date of birth;
3. Physical description;
4. Current address and residence history;
5. Social security number, which information is voluntarily provided in accordance with section 7 of the Privacy Act, 5 U.S.C. 552a;
6. Citizenship, and, if applicable, resident alien status, including any employment authorization;
7. Marital history;
8. Telephone number at current place of employment;
9. Employment history, including any gaming-related employment;
10. Education and training;
11. Record of military services;
12. Licenses of other approvals held by or applied for by the applicant in this State or any other jurisdiction, including:
 - i. Any license, permit, approval or registration required to participate in any lawful gambling operation;
 - ii. Any denial, suspension or revocation by a government agency of a license, permit or certification; and
 - iii. Motor vehicle registrations and operator licenses and any revocation or suspension thereof;
13. Civil, criminal and investigatory proceedings in any jurisdiction, as follows:
 - i. Arrests, charges or offenses committed by the applicant or any member of the applicant's immediate family;
 - ii. Any appearance before, investigation by or request to take a polygraph examination by any governmental agency, court, committee, grand jury or investigatory body; and
 - iii. Lawsuits to which the applicant was or is a party; and
14. Financial data, as follows:
 - i. Businesses owned;
 - ii. Bank accounts and safe deposit boxes;

iii. Judgments or petitions for bankruptcy or insolvency concerning the applicant, including a copy of the bankruptcy petition and discharge, if granted, and any such judgment or petition concerning any business entity in which the applicant held a five percent or greater interest, other than a publicly traded corporation, or in which the applicant served as an officer or director; and

iv. Any garnishment or attachment of wages, charging order or voluntary wage execution, including the amount, court, nature of the obligation and the holder of the obligation.

(b) In addition to the information in (a) above, a completed PHD-2A may include the following:

1. The name, address, occupation and phone number of persons who can attest to the applicant's good character and reputation;
2. A signed, dated and notarized certification of truth; and
3. A signed, dated and notarized Release Authorization which shall direct all courts, probation departments, selective service boards, employers, educational institutions, financial and other institutions and all governmental agencies to release any and all information pertaining to the applicant as requested by the Commission or the Division.

Amended by R.1995 d.306, effective June 19, 1995.

See: 27 N.J.R. 1162(a), 27 N.J.R. 2455(a).

Amended by R.2003 d.18, effective January 6, 2003 (operative January 20, 2003).

See: 34 N.J.R. 3174(a), 35 N.J.R. 273(a).

Rewrote (a)6.

19:41-5.5 (Reserved)

Amended by R.1995 d.306, effective June 19, 1995.

See: 27 N.J.R. 1162(a), 27 N.J.R. 2455(a).

Amended by R.2003 d.18, effective January 6, 2003 (operative January 20, 2003).

See: 34 N.J.R. 3174(a), 35 N.J.R. 273(a).

Rewrote (a)6.

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Personal History Disclosure Form 4A (Casino Service Employee Registration Application)".

19:41-5.5A Key Standard Qualifier Renewal Form

(a) A Key Standard Qualifier Renewal Form shall be in a format prescribed by the Commission and may require the applicant to provide the following information:

1. Name, including any aliases or nicknames;
2. Date of birth;
3. Physical description;
4. Current address and residence history;

5. Social security number, which information is voluntarily provided in accordance with section 7 of the Privacy Act, 5 U.S.C. 552a;

6. The casino licensee or applicant, or holding company, as applicable, with which the applicant is associated, and the nature of the applicant's position with or interest in such entity;

7. Telephone number at current place of employment;

8. Financial data, as follows:

i. All assets and liabilities of the applicant and the applicant's spouse and dependent children, as indicated on the net worth statement and supporting schedules in a format prescribed by the Commission, including cash, bank accounts, notes payable and receivable, real estate and income taxes payable, loans, accounts payable and any other indebtedness, contingent liabilities, securities, real estate interests, real estate mortgages and liens, life insurance, pension funds, vehicles and other assets;

ii. Bank accounts and safe deposit boxes; and

iii. Copies of Federal tax returns and related information.

(b) A Key Standard Qualifier Renewal Form may also require an applicant to provide the following information for the time period since the submission of his or her most recent disclosure form:

1. Judgments or petitions for bankruptcy or insolvency concerning the applicant or any business entity in which the applicant held a five percent or greater interest, other than a publicly traded corporation, or in which the applicant served as an officer or director;

2. Referral or finder's fees in excess of \$10,000;

3. Gifts in excess of \$10,000 given or received by the applicant or the applicant's immediate family;

4. Business owned;

5. Government positions and offices presently or previously held, and offices, trusteeships, directorships or fiduciary positions presently or previously held with any business entity;

6. Trusteeships or other fiduciary positions held by the applicant and the applicant's spouse, and any denial or suspension of, or removal from, such positions;

7. Licenses and other approvals held by or applied for by the applicant or, where specified, the applicant's spouse, in this State or any other jurisdiction, as follows:

i. Any professional or occupational license held by or applied for by the applicant or the applicant's spouse;

ii. Any license, permit, approval or registration required to participate in any lawful gambling operation in

this State or any jurisdiction held by or applied for by the applicant; and

iii. Any denial, suspension or revocation by a governmental agency of a license, permit or certification held by or applied for by the applicant or the applicant's spouse, or any entity in which the applicant or the applicant's spouse was a director, officer, partner or an owner of a five percent or greater interest;

8. Civil, criminal and investigatory proceedings in any jurisdiction, as follows:

i. Arrests, charges or convictions for any criminal or disorderly persons offenses committed by the applicant or any member of the applicant's immediate family;

ii. Any instance where the applicant has been named as an unindicted party or co-conspirator in a criminal proceeding or held as a material witness;

iii. Any appearance before, investigation by or request to take a polygraph examination by any governmental agency, court, committee, or grand jury or investigatory body;

iv. Lawsuits to which the applicant was or is a party; and

v. Any citation or charge for a violation of a statute, regulation or code of any jurisdiction, other than a criminal, disorderly persons, petty disorderly persons or motor vehicle violation; and

9. Whether any entity in which the applicant has been a director, officer, principal employee or a holder of more than five percent interest has:

i. Donated or used funds or property for the use or benefit of or in opposing any government, political party, candidate or committee;

ii. Compensated its directors, officers or employees for time and expenses incurred in performing services for the benefit of or in opposing any government or political party;

iii. Made any loans, donations or other disbursements to its directors, officers or employees for the purpose of making political contributions or reimbursing such individuals for political contributions;

iv. Made bribes or kickbacks to any government official; and

v. Maintained a bank account or other account which is not reflected on the books or records of the business or which is in a name other than the name of the business.

(c) In addition to the information in (a) and (b) above, a completed Key Standard Qualifier Renewal Form may include the following:

1. A signed, dated and notarized certification of truth; and

2. A signed, dated and notarized Release Authorization which shall direct all courts, probation departments, selective service boards, employers, educational institutions, financial and other institutions and all governmental agencies to release any and all information pertaining to the applicant as requested by the Commission or the Division.

New Rule, R.1994 d.592, effective December 5, 1994.
See: 26 N.J.R. 3824(a), 26 N.J.R. 4789(a).

19:41-5.5B Request to Determine Employment or Reapplication Eligibility Form

(a) A Request to Determine Employment or Reapplication Eligibility Form shall be in a format prescribed by the Commission and may require the applicant to provide the following information:

1. Name, including any aliases or nicknames;
2. Date of birth;
3. Current address;
4. A physical description;
5. Social Security Number, which information is voluntarily provided in accordance with section 7 of the Privacy Act, 5 U.S.C. § 552a;
6. Arrests, convictions, charges or offenses committed;
7. Reasons for the denial or revocation of any casino employee license or registration; and
8. A written statement of the facts and circumstances which warrant the relief sought.

(b) In addition to the information in (a) above, a completed Request to Determine Employment or Reapplication Eligibility Form may include:

1. Letters of reference and supporting documentation;
2. A signed, dated and notarized certification of truth; and
3. A signed, dated and notarized Release Authorization which shall direct all courts, probation departments, selective service boards, employers, educational institutions, financial and other institutions and all governmental agencies to release any and all information pertaining to the applicant as requested by the Commission or Division; and
4. If the request is filed pursuant to N.J.A.C. 19:41-8.11:
 - i. The documents required for identification by N.J.A.C. 19:41-7.2A; and

ii. An offer of employment from a CHAB licensee.

New Rule, R.1996 d.69, effective February 5, 1996.
See: 27 N.J.R. 3916(a), 28 N.J.R. 897(a).

19:41-5.5C Application to Downgrade Employee License

(a) An Application to Downgrade Employee License shall be in a format prescribed by the Commission and may require the applicant to provide the following information:

1. Name;
2. Date of birth;
3. Physical description;
4. Current address; and
5. Current license number.

New Rule, R.1999 d.360, effective October 18, 1999.
See: 31 N.J.R. 2151(a), 31 N.J.R. 3105(a).

19:41-5.6 (Reserved)

New Rule, R.1994 d.296, effective June 20, 1994.
See: 26 N.J.R. 1437(a), 26 N.J.R. 2591(b).
Amended by R.1996 d.437, effective September 16, 1996.
See: 28 N.J.R. 3242(c), 28 N.J.R. 4234(b).

Administrative change.

See: 28 N.J.R. 4600(a).

Amended by R.1999 d.222, effective July 19, 1999.

See: 31 N.J.R. 1169(a), 31 N.J.R. 1945(a).

In (a)22, inserted a reference to administrative proceedings in the introductory paragraph, inserted a reference to orders in iv, and added v.
Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Business Entity Disclosure Form—Corporate".

19:41-5.6A (Reserved)

New Rule, R.1994 d.296, effective June 20, 1994.

See: 26 N.J.R. 1437(a), 26 N.J.R. 2591(b).

Amended by R.1996 d.437, effective September 16, 1996.

See: 28 N.J.R. 3242(c), 28 N.J.R. 4234(b).

Amended by R.1999 d.222, effective July 19, 1999.

See: 31 N.J.R. 1169(a), 31 N.J.R. 1945(a).

In (a)16, inserted a reference to administrative proceedings in the introductory paragraph, inserted a reference to orders in iv, and added v.
Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Business Entity Disclosure Form—Partnership".

19:41-5.7 (Reserved)

Amended by R.1999 d.222, effective July 19, 1999.

See: 31 N.J.R. 1169(a), 31 N.J.R. 1945(a).

In (a)12, inserted a reference to administrative proceedings in the introductory paragraph, inserted a reference to orders in iii, and added iv.
Amended by R.2002 d.299, effective September 16, 2002.

See: 34 N.J.R. 1771(a), 34 N.J.R. 3286(a).

In (a), inserted "inside" preceding "director" in 13i, rewrote 13ii and added 14.

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Business Entity Disclosure Form 3".

19:41-5.8 (Reserved)

Amended by R.1999 d.222, effective July 19, 1999.

See: 31 N.J.R. 1169(a), 31 N.J.R. 1945(a).

In (a)9, inserted a reference to administrative proceedings in the introductory paragraph, inserted a reference to orders in iii, and added iv. Amended by R.2002 d.299, effective September 16, 2002.

See: 34 N.J.R. 1771(a), 34 N.J.R. 3286(a).

In (a)10, inserted "inside" preceding "director" in i, rewrote ii and added iii.

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Business Entity Disclosure Form--Holding Company".

19:41-5.9 (Reserved)

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Qualifier Disclosure Form".

19:41-5.10 Qualifier Renewal Disclosure Form

(a) A Qualifier Renewal Disclosure Form (QRDF) shall be in a format prescribed by the Commission and may require the applicant to provide the following information:

1. Name, including any aliases or nicknames;
2. Telephone number, address and residence history;
3. Position held with the casino service industry license applicant;
4. Date and place of birth;
5. Physical characteristics;
6. Arrests, charges or offenses committed by the applicant since the date on which the applicant last filed a Qualifier Disclosure Form (QDF) or QRDF; and
7. Citizenship and, if applicable, resident alien status, including any employment authorization.

(b) In addition to the information in (a) above, a completed QRDF may include the following:

1. A signed, dated and notarized certification of truth; and
2. A signed, dated and notarized Release Authorization which shall direct all courts, probation departments, selective service boards, employers, educational institutions, financial and other institutions and all governmental agencies to release any and all information pertaining to the applicant as requested by the Commission or the Division.

Amended by R.2003 d.18, effective January 6, 2003 (operative January 20, 2003).

See: 34 N.J.R. 3174(a), 35 N.J.R. 273(a).

Added (a)7.

19:41-5.11 (Reserved)

New Rule, R.1993 d.571, effective November 15, 1993 (operative December 20, 1993).

See: 25 N.J.R. 3951(a), 25 N.J.R. 5359(b).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Vendor Registration Form".

19:41-5.11A (Reserved)

New Rule, R.1995 d.496, effective September 5, 1995.

See: 27 N.J.R. 2572(a), 27 N.J.R. 3396(a).

Repealed by R.2009 d.268, effective September 8, 2009.

See: 41 N.J.R. 2227(a), 41 N.J.R. 3314(a).

Section was "Notice of Intent to Conduct Enterprise Business".

19:41-5.12 (Reserved)

New Rule, R.1993 d.571, effective November 15, 1993 (operative December 20, 1993).

See: 25 N.J.R. 3951(a), 25 N.J.R. 5359(b).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Junket Enterprise Registration Form".

19:41-5.13 (Reserved)

New Rule, R.1995 d.283, effective June 5, 1995.

See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Labor Organization Registration Statement".

19:41-5.14 (Reserved)

New Rule, R.1995 d.283, effective June 5, 1995.

See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Labor Organization Individual Disclosure Form".

19:41-5.15 (Reserved)

New Rule, R.1995 d.370, effective July 17, 1995.

See: 27 N.J.R. 1533(b), 27 N.J.R. 2704(a).

Amended by R.1999 d.222, effective July 19, 1999.

See: 31 N.J.R. 1169(a), 31 N.J.R. 1945(a).

In (a)23, inserted a reference to administrative proceedings in the introductory paragraph, inserted a reference to orders in iv, and added v.

Amended by R.2002 d.299, effective September 16, 2002.

See: 34 N.J.R. 1771(a), 34 N.J.R. 3286(a).

In (a)10, rewrote ii and iii and added vii; in (a)14, substituted "\$100,000" for "\$50,000"; in (a)20, substituted "\$100,000" for "\$25,000" throughout; in (a)23iii, substituted "\$100,000" for "\$50,000"; added (a)33.

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Business Entity Disclosure Form--Gaming".

19:41-5.16 (Reserved)

New Rule, R.1998 d.51, effective January 20, 1998.

See: 29 N.J.R. 4413(b), 30 N.J.R. 381(a).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Casino Hotel Alcoholic Beverage license application form".

19:41-5.17 (Reserved)

New Rule, R.1998 d.51, effective January 20, 1998.

See: 29 N.J.R. 4413(b), 30 N.J.R. 381(a).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Casino Hotel Alcoholic Beverage (CHAB) license renewal application form".

19:41-5.18 (Reserved)

New Rule, R.1999 d.14, effective January 19, 1999.

See: 30 N.J.R. 3768(a), 31 N.J.R. 142(a).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Casino Hotel Alcoholic Beverage Permit Application Form".

SUBCHAPTERS 6 THROUGH 8. (RESERVED)**SUBCHAPTER 9. FEES****19:41-9.1 (Reserved)**

Amended by R.1981 d.367, effective October 8, 1981.

See: 13 N.J.R. 531(b), 13 N.J.R. 709(a).

Added subsection (d).

Amended by R.1985 d.583, effective November 18, 1985.

See: 17 N.J.R. 2242(a), 17 N.J.R. 2788(a).

(e) added.

Amended by R.1993 d.253, effective June 7, 1993 (operative July 1, 1993).

See: 25 N.J.R. 1080(a), 25 N.J.R. 2506(c).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "General description of fees and policy".

19:41-9.2 (Reserved)

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Fiscal year".

19:41-9.3 (Reserved)

Amended by R.1992 d.35, effective January 21, 1992.

See: 23 N.J.R. 3249(a), 24 N.J.R. 298(a).

Revised text.

Amended by R.1996 d.248, effective June 3, 1996.

See: 28 N.J.R. 1497(a), 28 N.J.R. 3007(a).

Amended by R.1999 d.151, effective May 17, 1999.

See: 31 N.J.R. 521(a), 31 N.J.R. 1359(b).

Rewrote (f); and in (g), inserted "or the Vendor or Junket Registration Form was filed" following "submitted" and made a corresponding language change.

Amended by R.2004 d.356, effective September 20, 2004.

See: 36 N.J.R. 2623(a), 36 N.J.R. 4325(a).

Rewrote (c).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Payment of fees and civil penalties".

19:41-9.4 (Reserved)

Amended by R.1981 d.367, effective October 8, 1981.

See: 13 N.J.R. 531(b), 13 N.J.R. 709(a).

Added (f).

Amended by R.1984 d.272, effective July 2, 1984.

See: 16 N.J.R. 1066(a), 16 N.J.R. 1809(a).

(e) Substantially amended.

Amended by R.1985 d.583, effective November 18, 1985.

See: 17 N.J.R. 2242(a), 17 N.J.R. 2788(a).

Added text to (f) "Any share calculated . . . such estimated share."

Amended by R.1988 d.591, effective December 19, 1988 (operative January 1, 1989).

See: 20 N.J.R. 2539(a), 20 N.J.R. 3146(a).

Deleted old (e)1 and substituted new.

Amended by R.1992 d.256, effective June 15, 1992.

See: 24 N.J.R. 1247(a), 24 N.J.R. 2295(a).

In (d): added text setting forth \$200,000 renewal fee for each two-year license renewal; added "one-year license" renewal in sentence setting forth \$100,000 renewal fee.

In (e): deleted specific hourly rates and added "... hourly rates to be set by the Commission in accordance with this subsection ..." Added new (e)1-3, recodifying existing (e)1-2 as part of subsection (e).

Public Notice: hourly fee rates.

See: 25 N.J.R. 1012(a).

Amended by R.1993 d.253, effective June 7, 1993 (operative July 1, 1993).

See: 25 N.J.R. 1080(a), 25 N.J.R. 2506(c).

Public Notice: hourly fee.

See: 25 N.J.R. 2775(c).

Public Notice: hourly fee.

See: 26 N.J.R. 2476(a).

Public Notice: hourly fee rates.

See: 26 N.J.R. 3216(c).

Amended by R.2000 d.196, effective May 15, 2000.

See: 32 N.J.R. 645(a), 32 N.J.R. 1784(b).

In (d), substituted a reference to four-year license renewals for a reference to two-year license renewals.

Public Notice: Hourly fee rates.

See: 32 N.J.R. 4481(a).

Public Notice: Hourly fee rates.

See: 34 N.J.R. 316(a).

Public Notice: Hourly Fee Rates.

See: 39 N.J.R. 1535(b).

Amended by R.2009 d.268, effective September 8, 2009.

See: 41 N.J.R. 2227(a), 41 N.J.R. 3314(a).

In (d), deleted "\$100,000 for each one-year license renewal, and not less than" preceding and "for each four-year license renewal" following "\$200,000".

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Casino license fees".

19:41-9.5 (Reserved)

New Rule, R.1999 d.173, effective June 7, 1999.

See: 30 N.J.R. 2164(a), 31 N.J.R. 1502(a).

Public Notice: Hourly fee rates.

See: 32 N.J.R. 4481(a).

Public Notice: Hourly fee rates.

See: 34 N.J.R. 316(a).

Public Notice: Hourly Fee Rates.

See: 39 N.J.R. 1535(b).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Fees for testing of gaming and simulcast wagering equipment and related devices and software".

19:41-9.6 (Reserved)

Amended by R.1984 d.272, effective July 2, 1984.

See: 16 N.J.R. 1066(a), 16 N.J.R. 1809(a).

Subsection (b) amended.

Amended by R.1988 d.591, effective December 19, 1988 (operative January 1, 1989).

See: 20 N.J.R. 2539(a), 20 N.J.R. 3146(a).

Added text to (b) "at the rate . . ."; deleted text in (b) "at the rate of \$40.00 per hour".

Amended by R.1992 d.118, effective March 16, 1992.

See: 23 N.J.R. 3729(a), 24 N.J.R. 970(c).

Deleted existing subsection (c) on permits for out-of-state slot machine manufacturers and distributors. Deleted \$500.00 permit fee.

Amended by R.1992 d.256, effective June 15, 1992.

See: 24 N.J.R. 1247(a), 24 N.J.R. 2295(a).

In (b): Deleted specific hourly rates and added text regarding hourly rates "to be set by the Commission in accordance with N.J.A.C. 19:41-9.4(e)".

Public Notice: Hourly fee rate \$68, effective March 1, 1993.
 See: 25 N.J.R. 1012(a).
 Amended by R.1999 d.173, effective June 7, 1999.
 See: 30 N.J.R. 2164(a), 31 N.J.R. 1502(a).
 Deleted former (a) designation; and deleted a former (b).
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Slot machine fees".

19:41-9.7 (Reserved)

Amended by R.1983 d.80, effective March 21, 1983.
 See: 14 N.J.R. 1364(a), 15 N.J.R. 449(c).
 Added \$5,000 fee to be assessed for each location within the casino hotel. All storage areas to be deemed a licensable location. Fee to be pro rated for after acquired licenses.
 New Rule, R.1987 d.109, effective February 17, 1987.
 See: 18 N.J.R. 2379(a), 19 N.J.R. 381(a).
 Deleted old text.
 Amended by R.1988 d.591, effective December 19, 1988 (operative January 1, 1989).
 See: 20 N.J.R. 2539(a), 20 N.J.R. 3146(c).
 Added new (b)1 and deleted old (b)1; deleted (e).
 Amended by R.1992 d.256, effective June 15, 1992.
 See: 24 N.J.R. 1247(a), 24 N.J.R. 2295(a).
 In (b)1: Deleted specific hourly rates and added text regarding hourly rates "to be set by the Commission in accordance with N.J.A.C. 19:41-9.4(e)."
 Public Notice: Hourly fee rates.
 See: 25 N.J.R. 1012(a).
 Public Notice: Hourly fee rates.
 See: 25 N.J.R. 2775(c).
 Public Notice: Hourly fee rates.
 See: 26 N.J.R. 3216(c).
 Amended by R.1997 d.460, effective November 3, 1997.
 See: 29 N.J.R. 3706(a), 29 N.J.R. 4715(b).
 Inserted new (d), and recodified existing (d) as (e).
 Public Notice: Hourly fee rates.
 See: 32 N.J.R. 4481(a).
 Public Notice: Hourly fee rates.
 See: 34 N.J.R. 316(a).
 Public Notice: Hourly Fee Rates.
 See: 39 N.J.R. 1535(b).
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Casino hotel alcoholic beverage licenses".

19:41-9.8 (Reserved)

Amended by R.1984 d.272, effective July 2, 1984.
 See: 16 N.J.R. 1066(a), 16 N.J.R. 1809(a).
 Section substantially amended.
 Amended by R.1993 d.85, effective February 16, 1993 (operative March 1, 1993).
 See: 24 N.J.R. 4337(a), 25 N.J.R. 713(a).
 In (a), added biennial renewal provision. In (b), deleted \$3,000 fee and added \$5,000-\$10,000 fee structure. Revised (c) to reflect changes in (b); added (d).
 Amended by R.1994 d.216, effective May 2, 1994.
 See: 26 N.J.R. 780(a), 26 N.J.R. 1846(a).
 Amended by R.1995 d.282, effective June 5, 1995.
 See: 27 N.J.R. 1171(a), 27 N.J.R. 2251(a).
 Amended by R.2006 d.252, effective July 3, 2006.
 See: 38 N.J.R. 1418(a), 38 N.J.R. 2888(a).
 In (a), removed "schools teaching gaming and dealing techniques," and substituted "three" for "two" and "five" for "four"; in (b)1, added "shall be due at the time of application"; rewrote (b)2; inserted present (b)3 and (b)4; recodified former (b)3 as (b)5; and rewrote (d).
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Gaming related casino service industry enterprise license fee".

19:41-9.9 (Reserved)

Amended by R.1984 d.272, effective July 2, 1984.
 See: 16 N.J.R. 1066(a), 16 N.J.R. 1809(a).
 Section substantially amended.
 Amended by R.1993 d.85, effective February 16, 1993 (operative March 1, 1993).
 See: 24 N.J.R. 4337(a), 25 N.J.R. 713(a).
 Fees raised from \$1,500 to \$3,000; added (d).
 Amended by R.1994 d.216, effective May 2, 1994.
 See: 26 N.J.R. 780(a), 26 N.J.R. 1846(a).
 Amended by R.2009 d.268, effective September 8, 2009.
 See: 41 N.J.R. 2227(a), 41 N.J.R. 3314(a).
 In (a), substituted "four-year" for "three year" and "five-year" for "four year"; in (b), deleted "three year" preceding "non-gaming" and substituted "\$3,000" for "\$2,000"; and in (c), deleted "four year" preceding "non-gaming" and substituted "\$3,500" for "\$2,500".
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Non-gaming related casino service industry license fee".

19:41-9.9A (Reserved)

New Rule, R.1983 d.112, effective March 29, 1983.
 See: 15 N.J.R. 257(a), 15 N.J.R. 627(b).
 Originally filed as an emergency adoption (R.1983 d.37) on January 27, 1983. Readopted as R.1983 d.112.
 Amended by R.1984 d.272, effective July 2, 1984.
 See: 16 N.J.R. 1066(a), 16 N.J.R. 1809(a).
 Section substantially amended.
 Amended by R.1988 d.200, effective May 2, 1988.
 See: 20 N.J.R. 381(a), 20 N.J.R. 998(c).
 Fees raised from \$1,500 to \$3,000.
 Amended by R.1992 d.412, effective October 19, 1992.
 See: 24 N.J.R. 2695(b), 24 N.J.R. 3738(a).
 Stylistic changes.
 Amended by R.1993 d.85, effective February 16, 1993 (operative March 1, 1993).
 See: 24 N.J.R. 4337(a), 25 N.J.R. 713(a).
 Added (d).
 Amended by R.1994 d.216, effective May 2, 1994.
 See: 26 N.J.R. 780(a), 26 N.J.R. 1846(a).
 Amended by R.2009 d.268, effective September 8, 2009.
 See: 41 N.J.R. 2227(a), 41 N.J.R. 3314(a).
 In (a), substituted "four-year" for "three year" and "five-year" for "four year"; in (b), deleted "three year" preceding "junket"; and in (c), deleted "four year" preceding "junket".
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Junket enterprise license fees".

19:41-9.10 (Reserved)

Amended by R.1993 d.85, effective February 16, 1993 (operative March 1, 1993).
 See: 24 N.J.R. 4337(a), 25 N.J.R. 713(a).
 Fee increased from \$100 to \$250.
 Amended by R.2009 d.268, effective September 8, 2009.
 See: 41 N.J.R. 2227(a), 41 N.J.R. 3314(a).
 Substituted "biennially" for "annually" and "biennial" for "annual".
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Labor organization registration fee".

19:41-9.11 Casino key employee license fees

(a) Under section 89 of the Act, no person may be employed as a casino key employee unless such person is the holder of a valid casino key employee license.

(b) The fee for the issuance of a casino key employee license shall be as follows:

1. A minimum application charge of \$750.00, which shall be credited to the total fee; and
2. Payment for the efforts of the Commission and the Division on matters directly related to the applicant at hourly rates to be set by the Commission in accordance with N.J.A.C. 19:41-9.4(e); and
3. Payment for all unusual or out of pocket expenses incurred by the Commission and the Division on matters directly related to the applicant or licensee; provided, however, that the amount of the issuance fee shall not exceed \$4,000.

(c) The fee for the renewal of a casino key employee license shall be \$750.00.

Amended by R.1984 d.272, effective July 2, 1984.
See: 16 N.J.R. 1066(a), 16 N.J.R. 1809(a).

(b) and (c) substantially amended.

Amended by R.1988 d.255, effective June 6, 1988.
See: 20 N.J.R. 763(a), 20 N.J.R. 1209(a).

The establishment of the renewal fee for a casino key employee license is a flat fee of \$500.00.

Amended by R.1988 d.591, effective December 19, 1988 (operative January 1, 1989).

See: 20 N.J.R. 2539(a), 20 N.J.R. 3146(c).

Deleted old (b)2 and substituted new.

Amended by R.1992 d.256, effective June 15, 1992.

See: 24 N.J.R. 1247(a), 24 N.J.R. 2295(a).

In (b)2: Deleted specific hourly rates and added text regarding hourly rates "to be set by the Commission in accordance with N.J.A.C. 19:41-9.4(e) . . ."

Amended by R.1993 d.85, effective February 16, 1993 (operative March 1, 1993).

See: 24 N.J.R. 4337(a), 25 N.J.R. 713(a).

In (b)1 and (c), fees raised from \$500 to \$750. In (b)3, \$4,000 was \$3,000; "renewal" deleted from "issuance fee".

Public Notice: Hourly fee rate \$68, effective March 1, 1993.

See: 25 N.J.R. 1012(a).

19:41-9.11A Junket representative license term and fees

(a) In accordance with N.J.S.A. 5:12-102b, all junket representatives employed by a casino licensee, an applicant for a casino license or an affiliate of a casino licensee shall meet the standards established for casino employees, except for residency, in order to be licensed. Pursuant to N.J.S.A. 5:12-94d, a junket representative license shall be issued for an initial term of four years and shall be renewable for additional five-year periods.

(b) The initial application and issuance fee for a junket representative license shall be \$350.00. The application and issuance fee for the renewal of a junket representative license shall be \$250.00.

New Rule, R.1988 d.200, effective May 2, 1988.

See: 20 N.J.R. 381(a), 20 N.J.R. 998(c).

Amended by R.1988 d.591, effective December 19, 1988 (operative January 1, 1989).

See: 20 N.J.R. 2539(a), 20 N.J.R. 3146(c).

Deleted old (b)2 and inserted new.

Amended by R.1992 d.256, effective June 15, 1992.

See: 24 N.J.R. 1247(a), 24 N.J.R. 2295(a).

In (b)2: Deleted specific hourly rates and added text regarding hourly rates "to be set by the Commission in accordance with N.J.A.C. 19:41-9.4(e) . . ."

Amended by R.1992 d.412, effective October 19, 1992.

See: 24 N.J.R. 2695(b), 24 N.J.R. 3738(a).

Reference to employment by casino licensee added.

Public Notice: Hourly fee rate \$68, effective March 1, 1993.

See: 25 N.J.R. 1012(a).

Amended by R.1994 d.216, effective May 2, 1994.

See: 26 N.J.R. 780(a), 26 N.J.R. 1846(a).

Amended by R.1995 d.307, effective June 19, 1995.

See: 27 N.J.R. 1168(a), 27 N.J.R. 2458(a).

Corrected citations; and rewrote (b).

Amended by R.2009 d.268, effective September 8, 2009.

See: 41 N.J.R. 2227(a), 41 N.J.R. 3314(a).

In (a), deleted "such" following "5:12-94d," inserted "junket representative" and "shall be renewable" and substituted "four" for "three" and "additional five-year periods" for "a term of four years for all subsequent renewals"; and in (b), inserted "initial application and" and "application and issuance fee for the", and substituted "a junket representative license" for "an initial three year licensee" and "of a junket representative" for "fee for a four year".

19:41-9.12 (Reserved)

Amended by R.1982 d.57, effective March 1, 1982.

See: 14 N.J.R. 38(a), 14 N.J.R. 237(e).

(a): "three" was "two". (b): "three" was "two"; (b)1: "\$1,500" was "\$1,000".

Amended by R.1984 d.272, effective July 2, 1984.

See: 16 N.J.R. 1066(a), 16 N.J.R. 1809(a).

(b)2 and 3 amended.

Amended by R.1988 d.255, effective June 6, 1988.

See: 20 N.J.R. 763(a), 20 N.J.R. 1209(a).

Application charge changed from \$1,500 to \$500.00.

Amended by R.1988 d.591, effective December 19, 1988 (operative January 1, 1989).

See: 20 N.J.R. 2539(a), 20 N.J.R. 3146(c).

Deleted old (b)2 and substituted new.

Amended by R.1992 d.256, effective June 15, 1992.

See: 24 N.J.R. 1247(a), 24 N.J.R. 2295(a).

In (b)2: Deleted specific hourly rates and added text regarding hourly rates "to be set by the Commission in accordance with N.J.A.C. 19:41-9.4(e) . . ."

Public Notice: Hourly fee rate \$68, effective March 1, 1993.

See: 25 N.J.R. 1012(a).

Amended by R.1994 d.216, effective May 2, 1994.

See: 26 N.J.R. 780(a), 26 N.J.R. 1846(a).

Repealed by R.1997 d.1, effective January 6, 1997.

See: 28 N.J.R. 4570(a), 29 N.J.R. 157(a).

Section was "Gaming school resident director license fees".

19:41-9.13 (Reserved)

As amended, R.1982 d.57, effective March 1, 1982.

See: 14 N.J.R. 38(a), 14 N.J.R. 237(e).

(a): "three" was "two". (b): "three" was "two"; "\$220" was "\$160". (c): "three" was "two"; "\$180" was "\$120".

Amended by R.1994 d.216, effective May 2, 1994.

See: 26 N.J.R. 780(a), 26 N.J.R. 1846(a).

Repealed by R.1997 d.1, effective January 6, 1997.

See: 28 N.J.R. 4570(a), 29 N.J.R. 157(a).

Section was "Gaming school instructor, principal employee and sales representative license fees".

19:41-9.14 (Reserved)

Amended by R.1982 d.57, effective March 1, 1982.

See: 14 N.J.R. 38(a), 14 N.J.R. 237(e).

(b): "three" was "two"; "\$275.00" was "\$200.00"; "\$225.00" was "\$150.00".

Amended by R.1993 d.85, effective February 16, 1993 (operative March 1, 1993).

See: 24 N.J.R. 4337(a), 25 N.J.R. 713(a).

In (b), \$350 was \$275; in (c), \$250 was \$195, and \$200 was \$180; added (d) and (e).

Amended by R.1994 d.216, effective May 2, 1994.

See: 26 N.J.R. 780(a), 26 N.J.R. 1846(a).

Amended by R.1995 d.282, effective June 5, 1995.

See: 27 N.J.R. 1171(a), 27 N.J.R. 2251(a).

Amended by R.2009 d.268, effective September 8, 2009.

See: 41 N.J.R. 2227(a), 41 N.J.R. 3314(a).

Rewrote (b).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Casino employee license fees".

19:41-9.15 (Reserved)

Amended by R.1982 d.162, effective June 7, 1982.

See: 14 N.J.R. 232(a), 14 N.J.R. 581(d).

Changed registration requirements.

Amended by R.1993 d.85, effective February 16, 1993 (operative March 1, 1993).

See: 24 N.J.R. 4337(a), 25 N.J.R. 713(a).

In (a), \$60 was \$30; added (b).

Amended by R.1995 d.306, effective June 19, 1995.

See: 27 N.J.R. 1162(a), 27 N.J.R. 2455(a).

Administrative correction.

See: 41 N.J.R. 1102(b).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Casino service employee registration fee".

19:41-9.16 (Reserved)

Amended by R.1982 d.57, effective March 1, 1982.

See: 14 N.J.R. 38(a), 14 N.J.R. 237(e).

Added \$80.00 additional fee for change from non-gaming to gaming related employee.

Amended by R.1988 d.255, effective June 6, 1988.

See: 20 N.J.R. 763(a), 20 N.J.R. 1209(a).

(a) Substantially amended.

Amended by R.1989 d.47, effective January 17, 1989.

See: 20 N.J.R. 2647(a), 21 N.J.R. 175(a).

Added new (b); recodified old (b)-(d) as (c)-(e).

Amended by R.1993 d.85, effective February 16, 1993 (operative March 1, 1993).

See: 24 N.J.R. 4337(a), 25 N.J.R. 713(a).

In (a), \$75 was \$60, \$130 was \$55, and \$100 was \$80; other substantive revisions.

Amended by R.1994 d.217, effective May 2, 1994.

See: 26 N.J.R. 911(a), 26 N.J.R. 1847(a).

Repealed by R.1995 d.306, effective June 19, 1995.

See: 27 N.J.R. 1162(a), 27 N.J.R. 2455(a).

Section was "Employee license position additions and deletions".

19:41-9.17 (Reserved)

Amended by R.1988 d.255, effective June 6, 1988.

See: 20 N.J.R. 763(a), 20 N.J.R. 1209(a).

Deleted the word "application".

Amended by R.1993 d.85, effective February 16, 1993 (operative March 1, 1993).

See: 24 N.J.R. 4337(a), 25 N.J.R. 713(a).

In (a) and (b), \$6 was \$4. Deleted old (c) and (d) regarding fees for copy of Casino Control Act and rules; added new (c).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Miscellaneous administrative fees".

19:41-9.18 (Reserved)

Repealed by R.1988 d.591, effective December 19, 1988 (operative January 1, 1989).

See: 20 N.J.R. 2539(a), 20 N.J.R. 3146(c).

Section was "special assessment to operating casinos and casino license applicants".

19:41-9.19 (Reserved)

As amended, R.1981 d.367, effective October 8, 1981.

See: 13 N.J.R. 531(b), 13 N.J.R. 709(a).

Added (b).

Amended by R.1985 d.583, effective November 18, 1985.

See: 17 N.J.R. 2242(a), 17 N.J.R. 2788(a).

Amended by R.1996 d.353, effective August 5, 1996.

See: 28 N.J.R. 2351(a), 28 N.J.R. 3817(c).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Obligation to pay fees; nonrefundable nature of fees; credits".

19:41-9.20 (Reserved)

New Rule, R.1985 d.583, effective November 18, 1985.

See: 17 N.J.R. 2242(a), 17 N.J.R. 2788(a).

Old rule recodified to 19:41-9.21.

Amended by R.1988 d.591, effective December 19, 1988 (operative January 1, 1989).

See: 20 N.J.R. 2539(a), 20 N.J.R. 3146(a).

Deleted old (a)1 and substituted new.

Amended by R.1992 d.256, effective June 15, 1992.

See: 24 N.J.R. 1247(a), 24 N.J.R. 2295(a).

In (a)1: Deleted specific hourly rates and added text regarding hourly rates "to be set by the Commission in accordance with N.J.A.C. 19:41-9.4(e) . . ."

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Fees for services provided to other governmental bodies".

19:41-9.21 (Reserved)

Amended by R.1985 d.583, effective November 18, 1985.

See: 17 N.J.R. 2422(a), 17 N.J.R. 2788(a).

Recodified from 9.20.

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Powers and duties of Commission and Division".

SUBCHAPTERS 10 THROUGH 11. (RESERVED)

SUBCHAPTER 12. LABOR ORGANIZATIONS REGISTRATION

19:41-12.1 (Reserved)

Amended by R.1995 d.283, effective June 5, 1995.

See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).

Repealed by R.2011 d.304, effective December 19, 2011.

See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).

Section was "Definitions".

19:41-12.2 (Reserved)

Amended by R.1995 d.283, effective June 5, 1995.
 See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).
 Amended by R.2009 d.268, effective September 8, 2009.
 See: 41 N.J.R. 2227(a), 41 N.J.R. 3314(a).
 Substituted "biennially" for "annually".
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Registration required".

19:41-12.3 (Reserved)

Amended by R.1995 d.283, effective June 5, 1995.
 See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).
 Amended by R.2009 d.268, effective September 8, 2009.
 See: 41 N.J.R. 2227(a), 41 N.J.R. 3314(a).
 In (a), deleted a comma following "union" and deleted "annual" preceding "registration".
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Registration exemption; standards and findings".

19:41-12.4 (Reserved)

Amended by R.1995 d.283, effective June 5, 1995.
 See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Initial filing of registration statement".

19:41-12.4A (Reserved)

New Rule, R.1995 d.283, effective June 5, 1995.
 See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).
 Amended by R.1996 d.159, effective March 18, 1996 (operative July 1, 1996).
 See: 28 N.J.R. 74(b), 28 N.J.R. 1558(c).
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Application for initial and renewal of labor organization registration".

19:41-12.5 (Reserved)

As amended, R.1981 d.367, eff. October 8, 1981.
 See: 13 N.J.R. 531(b), 13 N.J.R. 709(a).
 "120" days was "90".
 Amended by R.1995 d.283, effective June 5, 1995.
 See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).
 Amended by R.2009 d.268, effective September 8, 2009.
 See: 41 N.J.R. 2227(a), 41 N.J.R. 3314(a).
 Substituted "biennially" for "annually".
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Duration of registration; renewal".

19:41-12.6 (Reserved)

Amended by R.1995 d.283, effective June 5, 1995.
 See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Continuing duty to disclose".

19:41-12.7 (Reserved)

Amended by R.1995 d.283, effective June 5, 1995.
 See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Federal reports exception".

19:41-12.8 (Reserved)

Repealed by R.1995 d.283, effective June 5, 1995.
 See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).
 Section was "Qualification of officers, agents and principal employees".

19:41-12.9 (Reserved)

Amended by R.1995 d.283, effective June 5, 1995.
 See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).
 Amended by R.1996 d.159, effective March 18, 1996 (operative July 1, 1996).
 See: 28 N.J.R. 74(b), 28 N.J.R. 1558(c).
 Amended by R.2009 d.268, effective September 8, 2009.
 See: 41 N.J.R. 2227(a), 41 N.J.R. 3314(a).
 In the introductory paragraph of (d), deleted "annually" preceding "file" and inserted "biennially".
 Repealed by R.2011 d.304, effective December 19, 2011.
 See: 43 N.J.R. 2436(a), 43 N.J.R. 3386(a).
 Section was "Officers, agents and principal employees; initial and renewal filing requirements".

19:41-12.10 (Reserved)

Repealed by R.1995 d.283, effective June 5, 1995.
 See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).
 Section was "Waiver of disqualification criteria".

19:41-12.11 Interest in casino hotel or casino licensee prohibited

In accordance with N.J.S.A. 5:12-93c, neither a labor organization, union or affiliate nor its officers and agents not otherwise individually licensed under the Act and employed by a casino licensee may hold any financial interest whatsoever in the casino hotel, casino, casino simulcasting facility or casino licensee whose employees they represent.

Amended by R.1995 d.283, effective June 5, 1995.
 See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).

19:41-12.12 Failure to comply; consequences

(a) The Commission may impose any sanction permitted by the Act including the imposition of fines or the revocation of any registration, if:

1. A labor organization, union or affiliate fails to properly register with the Commission pursuant to N.J.A.C. 19:41-12.4 and 12.5 or fails to provide all information requested by the Commission or Division in accordance with the provisions of the Act or the rules of the Commission;
2. Any officer, agent or principal employee of such labor organization, union or affiliate has been found disqualified by the Commission in accordance with the provisions of the Act or the rules of the Commission;
3. The labor organization, union, affiliate or any officer or agent thereof holds a prohibited interest in a casino hotel, casino, or casino simulcasting facility, or casino licensee; or

4. An officer, agent or principal employee of a labor organization, union or affiliate fails to properly register with the Commission pursuant to N.J.A.C. 19:41-12.9 or fails to provide all information requested by the Commission or Division in accordance with the provisions of the Act or the rules of the Commission.

Amended by R.1995 d.283, effective June 5, 1995.
See: 27 N.J.R. 1165(a), 27 N.J.R. 2252(a).

SUBCHAPTER 13. (RESERVED)

SUBCHAPTER 14. APPLICATIONS FOR THE RENEWAL OF EMPLOYEE LICENSES

19:41-14.1 Scope of subchapter; effect of expiration of license

(a) This subchapter shall govern applications for the renewal of casino key employee and casino employee licenses.

(b) Except as otherwise provided by N.J.A.C. 19:41-14.4 and 14.5(d), no applicant for the renewal of an employee license may, after the expiration date of that license, be employed on the basis of that license by a casino licensee in any position which requires the possession of a current and valid employee license.

Amended by R.1995 d.282, effective June 5, 1995.
See: 27 N.J.R. 1171(a), 27 N.J.R. 2251(a).
Amended by R.1997 d.36, effective January 21, 1997.
See: 28 N.J.R. 4411(a), 29 N.J.R. 378(a).
In (b), amended N.J.A.C. reference.

19:41-14.2 Time for filing

(a) An application for the renewal of an employee license shall be mailed to each employee licensee at the address on file with the Commission at least seven months prior to the expiration date of his or her license. The completed application for renewal shall be filed with the Commission no later than the last day of the month which is five months prior to the month in which the current license term expires. The filing deadlines for employee license renewal applications are as follows:

| If the Current License Term Expires on the Last Day of: | Then the Renewal Application Must be Filed by the Last Day of: |
|---|--|
| January | August of prior year |
| February | September of prior year |
| March | October of prior year |
| April | November of prior year |
| May | December of prior year |
| June | January of current year |
| July | February of current year |
| August | March of current year |

September
October
November
December

April of current year
May of current year
June of current year
July of current year

(b) No application shall be considered filed in accordance with (a) above unless:

1. The application contains all application materials required by N.J.A.C. 19:41-14.3; and

2. All application materials have been completed in accordance with the requirements of the Act, the Commission's regulations and any instructions included with the materials.

(c) Any applicant for the renewal of an employee license who files an incomplete renewal application within the filing deadline specified in (a) above shall be promptly notified by the Commission of any deficiency in the renewal application. To qualify as an "incomplete renewal application" for purposes of this section, an application must include, at a minimum, the license renewal fee and an Employee License Renewal Application form (see N.J.A.C. 19:41-14.3). Any licensee filing an incomplete renewal application shall have until the filing deadline established in (a) above or 21 days from the date of service of the deficiency notice, whichever is later, to file a complete renewal application.

(d) Failure of a licensee to file a complete renewal application with the Commission in accordance with (a) through (c) above shall be deemed a failure to apply for renewal of the license and shall result in the termination of the license on its stated expiration date.

1. Any licensee whose current license will be terminated pursuant to this section may, prior to the expiration date of the current license, apply for a new license of the same type by filing the appropriate renewal application materials identified in N.J.A.C. 19:41-14.3 and paying the initial license application fee required by N.J.A.C. 19:41-9, or may apply for a downgraded license in accordance with N.J.A.C. 19:41-14.4. After the expiration date of the current license, the former licensee shall be required to file a complete application for the issuance of a new license. Under either circumstance, the filing of the application for a new license shall not affect the termination of the former license on its stated expiration date.

2. Any person whose license expires due to his or her failure to file a complete and timely renewal application in accordance with this section shall not be eligible to receive a temporary license of the same rank until one year after the expiration date of the former license, except as follows:

i. Upon the filing of a signed and dated certification by such person that, at the time of the filing deadline for renewal, he or she was not working in a position which required such license; or

ii. Upon the written petition of such person and for good cause shown, the Commission may permit application for such temporary license in accordance with N.J.S.A. 5:12-89e or 90g, as applicable, at an earlier date.

(e) Any licensee whose license has been suspended by the Commission must continue to file renewal applications during the suspension period in order to remain eligible to return to work immediately should the license be reinstated. The suspended licensee shall be required to file complete renewal applications in accordance with the requirements of this section as if the suspended license were renewed pursuant to the terms of N.J.S.A. 5:12-94 and (a) above; provided, however, the suspended licensee shall only be required to pay one license renewal fee during the suspension period. Failure of a suspended licensee to file a renewal application in accordance with the requirements of this subsection shall be deemed a failure to apply for renewal of the license but shall not affect the validity of any ongoing proceeding concerning the former licensee's qualification for licensure.

Amended by R.1995 d.622, effective December 4, 1995.

See: 27 N.J.R. 3595(a), 27 N.J.R. 4911(a).

Amended by R.1999 d.360, effective October 18, 1999.

See: 31 N.J.R. 2151(a), 31 N.J.R. 3105(a).

In (d), inserted "or may apply for a downgraded license in accordance with N.J.A.C. 19:41-14.4" at the end of the first sentence in 1, and rewrote 2.

19:41-14.3 Contents of renewal application

(a) An application for the renewal of an employee license shall include:

1. A completed Employee License Renewal Application form signed by the applicant and notarized by a person authorized to administer oaths. This form shall contain all relevant information since the applicant's initial application for licensure or most recent application concerning the following:

i. Any changes in the name, address or the telephone number of the applicant;

ii. The name and address of the applicant's present employer and the name of his or her supervisor;

iii. The applicant's employment history with casino licensees;

iv. A history of any disciplinary action taken by employers against the applicant;

v. A history of all action taken by any jurisdiction against any license, work permit, or certificate held by the applicant to work in casino gaming;

vi. All criminal arrests, charges, custodial confinements, indictments and convictions of the applicant; and

vii. All civil litigation in which the applicant is named as a defendant or respondent.

2. A Release Authorization signed by the applicant and notarized by a person authorized to administer oaths in the State of New Jersey, which Release Authorization shall direct all courts, probation departments, selective service boards, employers, educational institutions, banks, financial and other institutions, and all governmental agencies, to release any and all information pertaining to the applicant as requested by the Division or Commission;

3. Either a certification by the Division that the applicant has been fingerprinted or fingerprint impression cards in accordance with N.J.A.C. 19:41-7.7;

4. Payment of the appropriate license renewal fee due in accordance with N.J.A.C. 19:41-9; and

5. The documents required for identification by N.J.A.C. 19:41-7.2A.

(b) In addition to the materials identified in (a) above, an application for the renewal of a casino key employee license shall include:

1. A Personal Financial Statement certified and signed by the applicant containing a detailed, itemized list of the applicant's assets and liabilities in a form required by the Commission; and

2. Copies of any Federal income tax returns filed by the applicant with the Internal Revenue Service since the applicant's initial license was granted or most recent application for renewal was filed, whichever occurred later.

(c) In addition to the materials identified in (a) above, an application for the renewal of a junket representative license shall include, in a form required by the Commission, designation of an agent to receive service of process in this State and an affirmation that the applicant submits to the jurisdiction of this State.

Amended by R.1993 d.163, effective April 19, 1993 (operative July 1, 1993).

See: 25 N.J.R. 276(a), 25 N.J.R. 1778(a).

In (a)1: added requirement that form be notarized.

In (a)2: added requirement that Release Authorization be notarized.

Amended by R.1995 d.307, effective June 19, 1995.

See: 27 N.J.R. 1168(a), 27 N.J.R. 2458(a).

In (b), following "director license", deleted "or a junket representative license".

Amended by R.1995 d.621, effective December 4, 1995.

See: 27 N.J.R. 319(a), 27 N.J.R. 4910(a).

Amended by R.1996 d.159, effective March 18, 1996 (operative July 1, 1996).

See: 28 N.J.R. 74(b), 28 N.J.R. 1558(c).

Amended by R.2003 d.18, effective January 6, 2003 (operative January 20, 2003).

See: 34 N.J.R. 3174(a), 35 N.J.R. 273(a).

Rewrote (a).

19:41-14.4 Downgrade of license at renewal

(a) An applicant for the renewal of a casino key employee license may, in lieu of renewing his or her current license, apply to downgrade his or her current license at renewal to a casino employee license by filing an Application to Down-

grade Employee License as set forth in N.J.A.C. 19:41-5.5C and a complete renewal application in accordance with N.J.A.C. 19:41-14.2 and 14.3.

(b) An applicant for renewal of a casino key employee license or casino employee license may, in lieu of renewing his or her current license, apply to downgrade his or her current license at renewal to a casino service employee registration by complying with the requirements of N.J.A.C. 19:41-7.1B and filing an Application to Downgrade Employee License as set forth in N.J.A.C. 19:41-5.5C, including the fee required by N.J.A.C. 19:41-9.15.

(c) Any applicant who has filed a complete and timely renewal application may, no later than the last day of the month which is two months prior to the month in which the current license term expires, apply to downgrade his or her license pursuant to (a) or (b) above. Such applicant shall not be entitled to a refund of any license renewal fee previously paid for his or her current license (see N.J.A.C. 19:41-9.19).

Amended by R.1995 d.282, effective June 5, 1995.

See: 27 N.J.R. 1171(a), 27 N.J.R. 2251(a).

Amended by R.1999 d.360, effective October 18, 1999.

See: 31 N.J.R. 2151(a), 31 N.J.R. 3105(a).

Rewrote the section.

19:41-14.5 Processing

(a) Upon the receipt of a complete renewal application within the time period required by N.J.A.C. 19:41-14.2, the renewal application shall be transmitted to the Division which shall conduct such investigation as it deems necessary to determine the continued qualification of the applicant.

(b) The Commission shall consider each complete and timely application for the renewal of an employee license no sooner than one month prior to, but no later than, the expiration date of the current license. If the Division does not file an investigative report on a complete and timely application for renewal of an employee license, the Commission may grant the renewal of the license unless the Division requests, in writing, no later than 40 days prior to the expiration date of the current license, that such application for renewal not be considered until an investigative report has been submitted by the Division.

(c) Nothing in this section shall be deemed to be inconsistent with the authority of the Division pursuant to N.J.S.A. 5:12-94 to request the Commission to reconsider the status of any license at any time.

(d) If an applicant for renewal requests a hearing in accordance with the provisions of N.J.A.C. 19:42-3, or the Com-

mission finds that the Division has requested that consideration of an application be delayed until an investigative report is submitted, the Commission shall provide the applicant with a document permitting the applicant to remain employed under his or her existing license credential until such application has been finally determined by the Commission; provided, however, nothing herein shall be deemed to relieve an applicant for renewal of the obligation to file any subsequent application for renewal which is due pursuant to N.J.A.C. 19:41-14.2 during the course of the hearing process.

(e) The Commission shall notify an applicant in writing when a renewal application is granted, and the applicant shall appear in person at the Commission's Casino Employee License Information Unit in Atlantic City within 30 days of the notice to obtain his or her new license credential. Should the applicant fail to appear as required by this subsection, the Commission may notify casino licensees that the applicant can no longer be employed in the licensed position after the expiration of the applicant's current license credential until the applicant appears as required and receives his or her new license credential.

19:41-14.6 (Reserved)

Repealed by R.2003 d.18, effective January 6, 2003 (Operative January 20, 2003).

See: 34 N.J.R. 3174(a), 34 N.J.R. 273(a).

Section was "Duration of renewed licenses".

19:41-14.7 (Reserved)

Repealed by R.2009 d.268, effective September 8, 2009.

See: 41 N.J.R. 2227(a), 41 N.J.R. 3314(a).

Section was "Transitional rules governing certain renewals".

19:41-14.8 Renewal of license after expiration of license term; relation to previous license term

(a) The term of any employee license which is renewed by the Commission after the date on which the previous license term would normally have expired in accordance with the requirements of N.J.S.A. 5:12-94 shall relate back to and begin on the day following the expiration date of the previous license term.

(b) Notwithstanding (a) above, the term of any employee license which has been suspended by the Commission and which is reinstated and renewed by the Commission after the date on which the previous license term would normally have expired in accordance with the requirements of N.J.S.A. 5:12-94 shall begin on the day following the date of the Commission vote reinstating and renewing the license.