

Firm Name: **EisnerAmper LLP**
Limited Notice to Proceed
IOM Services for the Delco Lead Safe Haven Inspection and Storage Facility Project
Contract No. 14-033B
 Engagement: **NJ Transit P.O. 210011821**
 For Quarter Ending: **03/31/2021**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

| No. | Recipient Data Elements | Response | Comments |
|---------------------------------|---|---|--|
| A. General Info | | | |
| 1. | Recipient of funding | New Jersey Transit Corporation | |
| 2. | Federal Funding Agency? (e.g. HUD, FEMA) | Federal Transit Administration | |
| 3. | State Funding (if applicable) | \$61,497,969.00 | |
| 4. | Award Type | FTA-Public Transportation Emergency Relief Program | |
| 5. | Award Amount | Total FTA Grant Award: \$184,493,910.00 Jacobs Engineering: \$7,641,757.77 (Design / Engineering) | The initial authorized amount for Contract 13-041 was \$7,641,757.77. Subsequently, four change orders were issued to Contract 13-041 totaling \$26,620,375.22 (Change Order #1 - \$20,854,489, Change Order #2 - \$353,826.07, Change Order #3 - \$365,698.88 (Note there was a \$615,015.78 transfer to Jacobs from subcontractors) and Change Order #4 - \$4,431,345.49). |
| 6. | Contract/Program Person/Title | Jignasa Desai-McCleary, Chief, Procurement & Support Services | |
| 7. | Brief Description, Purpose and Rationale of Project/Program | Provide Integrity Oversight Monitoring Services for the Delco Lead Safe Haven Inspection and Storage Facility Project, including: - Design of the Delco Lead Project: NJT01045 - Jacobs Engineering has been retained to perform preliminary design, final design and construction support services for the Delco Lead Project. - Construction Management (CM) Services: Urban Engineers / Tishman Construction Corporation was retained for CM services for the General Construction Contract 1 and General Construction Contract 2. - Construction Contracts: This project involved the construction of the County Yard Improvements Program including site work, environmental remediation, railroad infrastructure construction and railroad facility construction. | |
| 8. | Contract/Program Location | County Yard located in New Brunswick, New Jersey | |
| 9. | Amount Expended to Date | Delco Lead - \$24,517,252.69 | |
| 10. | Amount Provided to other State or Local Entities | None | |
| 11. | Completion Status of Contract or Program | In progress | |
| 12. | Expected Contract End Date/Time Period | September 2021 | |
| B. Monitoring Activities | | | |
| 13. | If FEMA funded, brief description of the status of the project worksheet and its support. | Not applicable | |

State of New Jersey
 Department of the Treasury
 Integrity Oversight Monitoring Reporting Model

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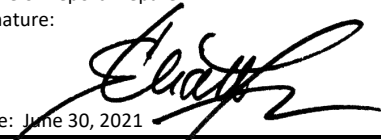
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| 14. | Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed) | Held a conference call with Jacobs on January 28, 2021 to commence the fraud risk assessment process and obtain an update regarding the project design status. Held a conference call on February 2, 2021 with NJT CP including the Delco NJT Project Manager to discuss projected design timeline and overall Delco Project. | |
| 15. | Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program. | The following documents were received in connection with Jacobs Engineering and the design phase of the Delco Project: executed contracts between Jacobs and its subcontractors, various procurement documents related to Jacobs Design Contract, change orders #1-4 related to the <u>Jacobs Design Contract</u> . <u>Received various Jacobs invoices</u> . | |
| 16. | Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the <u>contracts and agreements</u> . | Received and reviewed various procurement documents in connection to the Jacobs contract. | |
| 17. | Have payment requisitions in connection with the contract/program been reviewed? Please describe | Requested and received sample selection of invoices submitted by Jacobs Engineering. | |
| 18. | Description of quarterly activity to prevent and detect waste, fraud and abuse. | Held initial discussion with Jacobs to commence fraud risk assessment and monitoring procedures in connection with the design phase of the Delco Project. | |
| 19. | Provide details of any integrity issues/findings | None noted | |
| 20. | Provide details of any work quality or safety/environmental/historical preservation issue(s). | None noted | |
| 21. | Provide details on any other items of note that have occurred in the past quarter | Noted that the design phase is nearly 95% complete and awaiting approval from Amtrak. | |
| 22. | Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters | Not applicable | |
| C. Miscellaneous | | | |
| 23. | Attach a list of hours and expenses incurred to perform your quarterly <u>integrity monitoring review</u> | See attached hours summary. | |
| 24. | Add any item, issue or comment not covered in previous sections but <u>deemed pertinent to monitoring program</u> . | None noted | |

Name of Integrity Monitor: EisnerAmper LLP

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|-----|--|----------------|----------|
| | Name of Report Preparer: Signature:  Date: June 30, 2021 | Elliott C. Lee | |

State of New Jersey
Department of Treasury
Limited Notice to Proceed
M Services for the Delco Lead Safe Haven Inspection and Storage Facility Proje
Contract No. 14-033B / NJ Transit P.O. 210030500 / NWT0400

Attachment for Question #23

| Professional | Hours |
|---------------------|--------------------------|
| David A. Cace | 0.45 |
| Elliott Lee | 4.50 |
| Timothy Van Noy | 1.30 |
| Craig Mann | 6.30 |
| Nancy Pham | 24.90 |
| Marisol Gonzalez | 17.65 |
| Ken Brzozowski* | 1.00 |
| Quinn Allen* | 0.50 |
| Courtney Meizinger* | 5.25 |
| Stephanie Blue* | 0.97 |
| | <hr/> <hr/> 62.82 |

* Member of EisnerAmper's DBE firm.