

State of New Jersey
Department of Treasury
Integrity Monitoring Reporting Model
Engagement: Task Order #: DOT 1-1A-E; Contract # 88364
For Quarter Ending: 6/30/2015

CliftonLarsonAllen LLP - DOT DEP Route 35 Sandy Contracts

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Department of Transportation and New Jersey Department of Environmental Protection	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	FHWA and FEMA	
3.	State Funding (if applicable)	Yes	
4.	Award Type	FHWA and FEMA	
5.	Award Amount	Approximately \$242 million excluding change orders	
6.	Contract/Program Person/Title	Ahmad Qureshi is the Project Manager for DOT projects and Erick Doyle is the Project Manager for the DEP project	
7.	Brief Description, Purpose and Rationale of Project/Program	NJDOT and NJDEP received funding from the Federal Highway Administration (FHWA) and Federal Emergency Management Agency (FEMA) for contracts in connection with damage caused by Superstorm Sandy. NJDOT procured the services to 3 contractors for 3 different projects for restoration repairs along Route 35. Those 3 contractors are Union Paving and Construction Company (DP 13130), George Harms Construction Company (DP 13120), and Agate Construction Company (DP 13114). NJDEP procured the services of EIC Associates to construct and install a four-mile long steel seawall to help protect sections of Mantoloking, Brick and Route 35 from future natural disasters.	
8.	Contract/Program Location	NJ Department of Transportation, Trenton NJ and NJ Department of Environmental Protection, Toms River, NJ	
9.	Amount Expended to Date	All DOT projects as of 2/26/15 and including change orders: DP 13130 = approximately \$75,012,200; DP 13120 = approximately \$119,470,252; DP 13114 = approximately \$43,253,192. As for NJDEP, we have requested this information and have not received it yet.	
10.	Amount Provided to other State or Local Entities	\$0	
11.	Completion Status of Contract or Program	DP 13130 approximately 85%; DP 13120 approximately 70%; DP 13114 approximately 85%; EIC approximately 90%	
12.	Expected Contract End Date/Time Period	1/4/2017; however, as noted above, most projects are substantially completed.	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	For NJDEP's project, the project manager noted that the project is almost complete and about 98% of the steel seawall is now covered in sand to help protect sections of Mantoloking, Brick and Route 35 from future natural disasters. There has been several walkthroughs and inspections and no issues or comments were noted. As for the NJ DOT projects, Per Ahmad Qureshi, Project Manager for the DOT projects, DP 13130 is substantially complete and was noted that September is the estimated final completion date. For DP 13120, they are hoping to have the project substantially completed by the Fall of 2015. Significant delays were incurred during the summer months due to a stop order because of the high volume of visitors. As for DP 13114, this project is substantially complete.	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Spoke with Ahmad Qureshi, Project Manager for the DOT projects, to discuss planning and coordination of the 3 projects we were contracted to monitor. Spoke with NJ DEP (Erick Doyle) and Hatch Mott MacDonald (Frank Burton) to discuss planning and coordination of the EIC contract and was provided an operational overview to date. CLA also spoke with NJ DEP (Erick Doyle and Stephen Matis) to get a general understanding of their accounting controls and procedures.	

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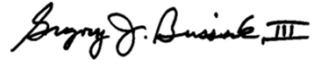
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15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Management has been cooperative in making themselves available to help start our monitoring of the contracts.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	No testing has occurred to date. Although our kick off meeting was January 23, 2015, there were several delays in regards to the contract and our official start date was pushed back to May 26, 2015. See item 14 in regards to the activities performed for this quarter.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	No, see item #16.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	N/A	
19.	Provide details of any integrity issues/findings	None noted.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None noted.	
21.	Provide details on any other items of note that have occurred in the past quarter	None noted.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	None noted.	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	SEE ATTACHMENT - NEXT TAB IN SPREADSHEET	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	N/A	

Name of Integrity Monitor:
Name of Report Preparer:

CliftonLarsonAllen LLP
Gregory J. Bussink, III, Principal

Signature:
Date:


10/1/2015