

**Integrity Monitor Report  
Category 3**

Integrity Monitor Firm Name: Rumph & Associates, P.C.  
Quarter Ending: 06/30/2022  
Expected Engagement End Date: 08/15/2022

**A. General Info**

1. Recovery Program Participant:

[New Jersey Department of Transportation]

2. Federal Funding Source (e.g. CARES, HUD, FEMA, ARPA):

[Coronavirus Response and Relief Supplemental Appropriations Act  
2021(CRRSAA)]

3. State Funding Source (if applicable):

Not Applicable

4. Deadline for Use of State or Federal Funding by Recovery Program  
Participant:

HIP-CRRSAA funds available for obligation through September 30, 2024,  
for expenditure or adjustment against obligations through September 30,  
2029.

5. Accountability Officer:

Lewis Daidone, CFO

6. Program(s) under Review/Subject to Engagement:

[Highway Infrastructure Program (HIP) - CRRSSA ]

7. Brief Description, Purpose, and Rationale of Integrity Monitor  
Project/Program:

A Category 3 Integrity Monitor's primary roles are to monitor for fraud or  
misuse of funding and ensure that Recovery Program Participants are  
performing according to the sub-award agreement and applicable Federal  
and State regulations and guidelines.

**Integrity Monitor Report  
Category 3**

8. Amount Allocated to Program(s) under Review:

Not applicable – The Integrity Monitor is not reviewing disbursements.

9. Amount Expended by Recovery Program Participant to Date on Program(s) under Review:

Not applicable – The Integrity Monitor is not reviewing disbursements.

10. Amount Provided to Other State or Local Entities:

Not applicable – The Integrity Monitor is not reviewing disbursements.

11. Completion Status of Program (e.g. planning phase, application review, post-payment):

Not applicable – The Integrity Monitor is not reviewing disbursements.

12. Completion Status of Integrity Monitor Engagement:

[In Progress]

**B. Monitoring Activities**

13. If FEMA funded, brief description of the status of the project worksheet and its support:

a) IM Response

Not Applicable

b) Recovery Program Participant Comments

[Type Here]

14. Description of the services provided to the Recovery Program Participant during the quarter (i.e. activities conducted, such as meetings, document review, staff training, etc.):

a) IM Response

**Integrity Monitor Report  
Category 3**

Rumph & Associates, P.C is in the process of reviewing the following policies and procedures: (1) Construction Procedures Handbook, (2) Change Control Board Procedures, and (3) Disadvantaged and Small Business Programs Unit, Civil Rights/Affirmative Action, Doing Business for potential fraud, corruption and cost abuse issues by contractors directly related to the policies.

b) Recovery Program Participant Comments

[Type Here]

15. Description to confirm appropriate data/information has been provided by the Recovery Program Participant and description of activities taken to review the project/program:

a) IM Response

Rumph & Associates, P.C is in the process of reviewing the following policies and procedures: (1) Construction Procedures Handbook, (2) Change Control Board Procedures, and (3) Disadvantaged and Small Business Programs Unit, Civil Rights/Affirmative Action, Doing Business for potential fraud, corruption and cost abuse issues by contractors directly related to the policies.

b) Recovery Program Participant Comments

[Type Here]

16. Description of quarterly auditing activities conducted to ensure procurement compliance with terms and conditions of contracts and agreements:

a) IM Response

Rumph & Associates, P.C is in the process of reviewing the following policies and procedures: (1) Construction Procedures Handbook, (2) Change Control Board Procedures, and (3) Disadvantaged and Small Business Programs Unit, Civil Rights/Affirmative Action, Doing Business for potential fraud, corruption and cost abuse issues by contractors directly related to the policies.

**Integrity Monitor Report  
Category 3**

b) Recovery Program Participant Comments

[Type Here]

17. If payment documentation in connection with the contract/program has been reviewed, provide description.

a) IM Response

Not applicable

b) Recovery Program Participant Comments

[Type Here]

18. Description of quarterly activity to prevent and detect waste, fraud, and/or abuse:

a) IM Response

Our meetings with key stakeholders, Project Manager and the Deputy Chief of Capital Projects, request, and review of source documents, all contribute to detecting fraud, waste and abuse.

b) Recovery Program Participant Comments

[Type Here]

19. Details of any integrity issues/findings, including findings of waste, fraud, and/or abuse:

a) IM Response

None

b) Recovery Program Participant Comments

[Type Here]

20. Details of any other items of note that have occurred in the past quarter:

**Integrity Monitor Report  
Category 3**

a) IM Response

None

b) Recovery Program Participant Comments

[Type Here]

21. Details of any actions taken to remediate waste, fraud, and/or abuse noted in past quarters:

a) IM Response

Not applicable

b) Recovery Program Participant Comments

[Type Here]

**C. Miscellaneous**

22. List of hours (by employee) and expenses incurred to perform quarterly integrity monitoring review:

a) IM Response

For the period ending June 30<sup>th</sup>, the following individuals have expended a total of 82 hours:

Jamaal Bushara – 70 hours

Matthew Blondell – 10 hours

Marisa Doras – 2 hours

b) Recovery Program Participant Comments

[Type Here]

23. Add any item, issue, or comment not covered in previous sections but deemed pertinent to monitoring program:

a) IM Response

[None]

**Integrity Monitor Report  
Category 3**

b) Recovery Program Participant Comments

[Type Here]

Name of Integrity Monitor: [Rumph & Associates, P.C.]  
Name of Report Preparer: [Marisa Doras]  
Signature: *Marisa Doras*  
Date: 07/15/2022