

State of New Jersey
Department of the Treasury
Integrity Oversight Monitoring Reporting Model

Firm Name: Thacher Associates
Engagement: DEP Rebuild by Design Program
For Quarter Ending: 09/30/2017

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	NJ Department of Environmental Protection ("DEP") as a subrecipient per a Memorandum of Understanding between the NJ Department of Community Affairs ("DCA") and DEP.	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	HUD	
3.	State Funding (if applicable)	N/A	
4.	Award Type	CDBG-DR	
5.	Award Amount	\$380 million	
6.	Contract/Program Person/Title	Dennis Reinknecht, RBD Program Manager, Bureau of Flood Resilience	
7.	Brief Description, Purpose and Rationale of Project/Program	This program consists of two separate New Jersey projects that have been approved by the federal government as part of its Rebuild by Design competition to improve physical, ecological and economic resilience in regions affected by Superstorm Sandy. HUD is providing CDBG-DR funding for the feasibility study, design and construction of these two projects. The Meadowlands project has been allocated \$150 million and the Hudson River project has been allocated \$230 million.	
8.	Contract/Program Location	Hudson River Region and Meadowlands Region	
9.	Amount Expended to Date	Hudson River and Meadowlands Combined: \$23.2M	
10.	Amount Provided to other State or Local Entities	N/A	
11.	Completion Status of Contract or Program	Ongoing	
12.	Expected Contract End Date/Time Period	The AECOM contract for the Feasibility Study/EIS for the Meadowlands project has been completed and AECOM has been awarded the design contract for the Project. The Dewberry contract for the Feasibility Study/EIS for the Hudson River project is currently scheduled to be completed by the end of FY2017. AECOM has been awarded the design contract for the Hudson River Project. The site development and construction phases of both projects are anticipated to be performed from 2019 to 2022.	
B. Monitoring Activities			

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13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	TA participated in monthly RBD Partner Calls and bi-weekly conference calls with NJ DEP personnel and other Project participants.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	In addition to TA's initial request for documents required for analysis of NJDEP's financial, administrative, and procurement processes, the NJDEP has since been placing in our project folder additional documents it believes relevant to our scope of services. TA has supplemented those documents with additional requests as needed, all of which have been complied with. Thacher Associates has reviewed those documents to compliance with CDBG-DR laws, rules and regulations.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Thacher Associates' activities included a thorough review of NJ DEP administrative costs versus project administration costs, followed by discussion of findings with NJ DEP. Adjustments made by NJ DEP were reviewed and Thacher Associates drafted a memo to DCA re same. Thacher Associates also continued reviews of CMF invoices and initiated an audit plan to spot test compliance with CDBG-DR laws, rules and regulations including SIROMS Section 3 reports and URA Section 3.17 for both projects.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	During the period covered by this report, Thacher Associates reviewed monthly invoices submitted by the CMF. Specifically, we reviewed invoices 11, 12 for the Meadowlands Project and 14 for the Hudson River Project. No issues were noted.	

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18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	During the third quarter of 2017, Thacher Associates continued to review CMF invoices and utilized its audit plan to test for fraud, waste and abuse.	
19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	N/A	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	N/A	

C. Miscellaneous

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23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Kevin Mullins - 8.5 Edison Montalvo - 18.25 Chris Ward - 1 William Rogers - 83.25 Bruce Archer - 33.25 Danielle Smalls - 120.5	
24	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor: Name of Report Preparer: Signature: Date:	Thacher Associates Erin Longbothum  January 2, 2018
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