State of New Jersey
Department of the Treasury
Integrity Oversight Monitoring Reporting Model

Firm Name: **CohnReznick, LLP**
Engagement: **New Jersey Transit Emergency Operations Center (EOC)**
For Quarter Ending: **09/30/2016**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A.	**General Info**		
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	Federal Transit Administration- Public Transportation Emergency Relief Program	
5.	Award Amount	$8,682,000	
6.	Contract/Program Person/Title	Amy B. Herbold, Deputy Executive Director (Interim Procurement Officer)	
7.	Brief Description, Purpose and Rationale of Project/Program	The EOC will be a pre-identified location for designated NJ TRANSIT personnel and, if required, emergency responders from outside agencies to meet and develop strategies for coping with emergencies and major planned events. A major objective of the EOC will be to support Incident Command (IC). The EOC shall be staffed with the personnel necessary to make emergency management policy decisions. NJ TRANSIT has determined that the EOC will be located at its existing General Office Building (GOB).	
8.	Contract/Program Location	NJ Transit General Office Building Maplewood, New Jersey	
9.	Amount Expended to Date	$2,933,724.68	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In Progress	
12.	Expected Contract End Date/Time Period	January 31, 2017	
B.	**Monitoring Activities**		
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	7/14/16; 7/28/16; 8/11/16; 8/25/16; 9/22/16 - attended Construction Progress Meetings with members of various NJ Transit departments and Alna Construction at the NJ Transit Maplewood, NJ General Office Building.. 7/6/16 conducted an unannounced Construction site visit with NJ Transit Internal Audit department to the NJ Transit Maplewood, NJ General Office Building. 9/15/16 attended Construction Change order meeting with members of various NJ Transit departments and Alna Construction at the NJ Transit Maplewood, NJ General Office Building. Preparation and submission of monthly Form E and provide status updates.	

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15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	CR periodically requests information required as part of our monitoring procedures. Information requested is applicable to the fraud risk areas under our review. Documentation received during the quarter includes: Progress meeting minutes Daily site reports Monthly workforce reports Revised CPM schedule 2-week look ahead schedules Site Photos Notices of Proposed Changes Contractor Limited Notice to Proceed Contractor Form Es Subcontractors' Form E2s Contractor's Payment Application Nos. 5, 6 & 7 Certified Payrolls for May, June and July 2016 During the quarter, we continued the review of the pay applications and conducted an unannounced field visit. We also reviewed the Forms E and E-2 provided during the quarter on the project by the Contractor and DBE Subcontractor and submitted to NJ Transit. We continued a review of the NJT independent construction cost estimate and have requested a meeting with the design firm. We began a review of certified payrolls (CPRs), and Notices of Proposed Changes (NPCs). Additional documentation related to contractors' policies and procedures, subcontracts, and insurance has been requested and is pending receipt. NJ Transit is working with Alna Construction to obtain necessary documentation and to assure that appropriate access is provided.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	CR has reviewed bid documents and continued the process of assessing the independent cost estimate for electrical and telecommunication scopes of work. Requested meeting with Jacobs Engineering to discuss their computation of the independent cost estimate.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	CR is in the process of reviewing the contractor's requisitions received to date. A request for the complete requisition packages has been made.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	CR has attended several construction progress meetings, a change order meeting, and conducted a site visit during the quarter. CR has also communicated with NJT OBD with regards to DBE. Work progressing in the areas of Construction Contractor payment application review and Change Orders.	
19.	Provide details of any integrity issues/findings	None.	

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20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	During the 3rd quarter, Alna Construction continued to refuse to allow CR to conduct prevailing wage interviews of its workers claiming that such interviews are not authorized by its contract with NJT. Alna Construction has also continued to refuse to allow CR to conduct confidential interviews of workers employed by its DBE subcontractor, S&J Electrical Contractors, Inc. and would only permit such interviews to be conducted in the presence of its representatives claiming that confidential interviews are not required under the terms of its contract. NJ TRANSIT continues to assess the status of, and facilitate the completion of, any necessary prevailing wage interviews. as well as evaluate ALNA Construction's refusal to permit prevailing wage interviews for potential further action. Additionally Alna Construction had denied CR requests to visit its offices for a discussion of project policies and procedures and a review of project documentation during the reporting period. As of the date of this report, however, NJ TRANSIT representatives facilitated the requested Alna office visit. On December 22, 2016, CR visited the Alna Construction Office in Secaucus, NJ and obtained most of the previously requsested documentation and policies and procedures. Also, on December 7, 2016, CR visited S&J's office in Scotch Plains, NJ to obtain previously requested documentation, policies and procedures. On December 29, 2016, NJ TRANSIT sent a letter to Alna requesting it to allow the Integrity Monitor to inspect and audit the remaining requested records and to allow the Integrity Monitor to conduct prevailing wage interviews in accordance with the NJ Prevailing Wage Statute (N.J.S.A. 34:11-56.25, et seq.) and contract requirements. Alna has consented to allow prevailing wage interviews. Alna has yet to produce or allow inspection and audit of the remaining document requested.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	

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C.	**Miscellaneous**		
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	For the period July 1, 2016 through September 30, 2016, the following individuals have expended a total of 131.4 hours: **Name** Gerard Frech Carolyn Newcomb Anna Fomina Scott Millman Jose Ortiz Hosea Matic No billable expenses were incurred.	
24	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None	

Name of Integrity Monitor: CohnReznick, LLP
Name of Report Preparer:Frank Banda
Signature: Frank D Banda
Date: January 3, 2017