

State of New Jersey
Department of the Treasury
Integrity Oversight Monitoring Reporting Model

Firm Name: Thacher Associates
Engagement: DEP Rebuild by Design Program
For Quarter Ending: 06/30/2018

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	NJ Department of Environmental Protection ("DEP") as a subrecipient per a Memorandum of Understanding between the NJ Department of Community Affairs ("DCA") and DEP.	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	HUD	
3.	State Funding (if applicable)	N/A	
4.	Award Type	CDBG-DR	
5.	Award Amount	\$380 million	
6.	Contract/Program Person/Title	Dennis Reinknecht, RBD Program Manager, Bureau of Flood Resilience	
7.	Brief Description, Purpose and Rationale of Project/Program	This program consists of two separate New Jersey projects that have been approved by the federal government as part of its Rebuild by Design competition to improve physical, ecological and economic resilience in regions affected by Superstorm Sandy. HUD is providing CDBG-DR funding for the feasibility, design and construction of these two projects. The Meadowlands project has been allocated \$150 million and the Hudson River project has been allocated \$230 million.	
8.	Contract/Program Location	Hudson River Region and Meadowlands Region	
9.	Amount Expended to Date	Hudson River: \$12,491,935; Meadowlands: \$18,511,685; Administrative: \$569,721	
10.	Amount Provided to other State or Local Entities	N/A	
11.	Completion Status of Contract or Program	Ongoing	
12.	Expected Contract End Date/Time Period	AECOM has been awarded the design contract for both projects. The site development and construction phases of both projects are anticipated to be performed from 2019 to 2022.	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	

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14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Thacher Associates participated in bi-weekly conference calls with NJ DEP personnel and performed reviews of invoices.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	In addition to Thacher Associates' initial request for documents required of NJDEP's financial, administrative, and procurement processes, the NJDEP has since been placing in our project folder additional documents it believes are relevant to our scope of services. Thacher Associates has supplemented those documents with additional requests as needed, all of which have been complied with. Thacher Associates has reviewed those documents for compliance with CDBG-DR laws, rules, and regulations.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Thacher Associates' activities included continued reviews of CMF invoices and spot testing compliance with CDBG-DR laws, rules and regulations including SIROMS Section 3 reports and URA Section 3.17 for both projects.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	During the period covered by this report, Thacher Associates reviewed monthly invoices submitted by the CMF. Specifically, we reviewed invoices 19, 20, 21, and 22 for the Meadowlands Project and invoices 3 and 4 (Work Order #6) for the Hudson River Project. No issues were noted.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	During the second quarter of 2018, Thacher Associates continued to review CMF invoices, completed a procurement review, and utilized its audit plan to test for fraud, waste, and abuse.	

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19.	Provide details of any integrity issues/findings	None noted.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None noted.	
21.	Provide details on any other items of note that have occurred in the past quarter	N/A	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	N/A	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Chris Ward - 3.75 Edison Montalvo - 60.75 Erin Longbothum - 1.5 Karmen Naidoo - 11 Kevin Mullins - 21.75 Stephen Brenker - 22.25	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted.	

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Name of Integrity Monitor:	Thacher Associates
Name of Report Preparer:	Erin Longbothum
Signature:	
Date:	July 30, 2018