

**Thacher Associates LLC
Work Authorization NO. 3
Integrity Oversight Monitoring Services for HNTB
Program and Project Management Support**

Firm Name: Contract No. 14-003-D
Engagement: New Jersey Transit P.O. No. B-51391-003
For Quarter Ending: 03/31/2019

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	N/A	
4.	Award Type	FTA - Public Transportation Emergency Relief Program	
5.	Award Amount	\$40,500,000.00	Represents total funds authorized under HNTB Contract 13-007B.
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief, Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	This project consists of providing program management support, project management support and related activities over Superstorm Sandy Recovery and NJ TRANSIT Resiliency Program.	
8.	Contract/Program Location	Management of Programs and Projects in various locations	
9.	Amount Expended to Date	\$37,656,433.69	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	Completed	
12.	Expected Contract End Date/Time Period	March 31, 2018	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Thacher Associates prepared a preliminary draft of its Fraud Risk Assessment and Work plan relative to HNTB's work on the subject task order assignments. Thacher Associates also filed its quarterly report to the State of New Jersey Department of the Treasury covering the quarter ended December 31, 2018. Thacher Associates met with NJT officials from CP&P on February 25, 2019 at Penn Plaza Headquarters for interviews and conducted a teleconference interview of NJT Project Management officials on March 7, 2019. Thacher Associates completed a substantive review of HNTB invoice #60 and preliminary reviews of requisitions #3 and #35 for purposes of fraud risk assessment. Thacher Associates also reviewed the version of NJT's Project Management Plan dated October 1, 2014 for fraud risk assessment purposes.	

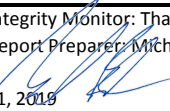
State of New Jersey
Department of the Treasury
Integrity Oversight Monitoring Reporting Model

Thacher Associates LLC
Work Authorization NO. 3
Integrity Oversight Monitoring Services for HNTB
Program and Project Management Support

Firm Name: Contract No. 14-003-D
Engagement: New Jersey Transit P.O. No. B-51391-003
For Quarter Ending: 03/31/2019

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Thacher Associates received and performed preliminary reviews for risk assessment purposes of HNTB invoices #3 and #35 and NJT's Project Management Plan dated October 1, 2014. Thacher Associates obtained further information through interviews of NJT CP&P and Project Management officials as noted above.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Performed preliminary reviews of HNTB invoices #3 and #35; performed substantive review of HNTB invoice #60.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Performed preliminary reviews of HNTB invoices #3 and #35; performed substantive review of HNTB invoice #60.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Performed fraud risk assessment and prepared draft Fraud Risk Assessment and Work Plan.	
19.	Provide details of any integrity issues/findings	No findings.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	No findings.	
21.	Provide details on any other items of note that have occurred in the past quarter	Not applicable.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Performed fraud risk assessment and prepared draft Fraud Risk Assessment and Work Plan.	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Please see attachment.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor: Thacher Associates LLC
Name of Report Preparer: Michael Bernstein, Director
Signature: 
Date: July 1, 2019

Thacher Associates LLC
Work Authorization NO. 3
Integrity Oversight Monitoring Services for HNTB
Program and Project Management Support

Firm Name: Contract No. 14-003-D
Engagement: New Jersey Transit P.O. No. B-51391-003
For Quarter Ending: 03/31/2019

Attachment for Question #23

Timekeeper	Hours
Chris Ward	5.75
Edison Montalvo	12.50
Karmen Naidoo	0.50
Kevin Mullins	13.00
Linda Negron	2.00
Michael Bernstein	97.00
Michael Carroll	10.25
Robert Thompson	10.00
	<u>151.00</u>