

**Integrity Monitor Report
Categories 1 and 2**

Integrity Monitor Firm Name:	The North Highland Company LLC
Integrity Monitor Category (1 or 2):	Category 2
Quarter Ending:	09/30/2021
Expected Engagement End Date:	12/31/2021

A. General Information

1. Recovery Program Participant:

**State of New Jersey's, Department of Human Services, ONA
Division**

2. Federal Funding Source (e.g. CARES, HUD, FEMA, ARPA):

CARES

3. State Funding Source (if applicable):

None

4. Deadline for Use of State or Federal Funding by Recovery Program Participant:

December 31, 2021

5. Accountability Officer:

[Brian Franscz]

6. Program(s) under Review/Subject to Engagement:

[The Excluded New Jerseyans Fund]

7. Brief Description, Purpose, and Rationale of Integrity Monitor Project/Program:

This Engagement Query is issued by the Department of the Treasury on behalf of the Department of Human Services ("DHS").

The purpose of this engagement query is to contract for grant management services as described in Section 3.1.1.2 of the RFQ, Category 2 Financial Auditing and Grant Management, to review and adjust DHS's program design

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for compliance with federal rules, develop policy and training documents, and assist DHS in the engagement of entities to administer the program. These contracted entities will allow DHS to provide cash assistance to residents impacted by the COVID-19 pandemic but not otherwise eligible for federal stimulus or Unemployment Insurance benefits.

8. Amount Allocated to Program(s) under Review/Subject to Engagement:

\$40,000,000

9. Amount Expended by Recovery Program Participant to Date on Program(s) under Review/Subject to Engagement:

[None. Anticipated timeline for disbursement of funds to begin at the end of October 2021 running through December 2021.]

10. Amount Provided to Other State or Local Entities:

[The program has designated \$900,000 for administrative support to support those community based organizations (CBOs) that have chosen to respond to a Request for Proposals to provide outreach and processing support of individual applications.]

11. Completion Status of Program (e.g. planning phase, application review, post-payment):

[Planning phase]

12. Completion Status of Integrity Monitor Engagement:

[Integrity monitoring will begin following program implementation at the local level.]

B. Monitoring Activities

13. Description of the services provided to the Recovery Program Participant during the quarter (i.e. activities conducted, such as meetings, document review, staff training, etc.):

a) IM Response

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[North Highland has engaged with the DHS to provide technical assistance with the compliance of federal rules, develop policy and training documents, and assist DHS in the engagement of entities to administer the program.]

b) Recovery Program Participant Comments

[Type Here]

14. Description of activities to prevent, detect, and remediate waste, fraud, and/or abuse during the quarter:

a) IM Response

At this time, North Highland has responded to requests on policy and process matters that are meant to avoid future instances of fraud, waste, or abuse. North Highland has not provided legal advice; instead, North Highland has provided opinions within our role as policy and compliance experts.]

b) Recovery Program Participant Comments

[Type Here]

15. General description of any findings of waste, fraud, or abuse:

a) IM Response

[No findings to report]

b) Recovery Program Participant Comments

[Type Here]

C. Miscellaneous

16. List of hours (by employee) and expenses incurred to perform quarterly integrity monitoring review:

a) IM Response

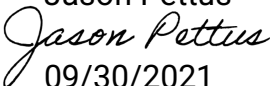
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Greg Martin: Hours to date: 32
Jason Pettus: Hours to date: 104
Joanna Redding: Hours to date: 92
Peter Cotterrell: Hours to date: 77
Taylor Brown: Hours to date: 114.5
Marquita Horne: Hours to date: 174

No further expenses have been incurred.

b) Recovery Program Participant Comments

[Type Here]

Name of Integrity Monitor:	The North Highland Company LLC
Name of Report Preparer:	Jason Pettus
Signature:	
Date:	09/30/2021