

State of New Jersey
Department of the Treasury
Integrity Oversight Monitoring Reporting Model

Firm Name: Thacher Associates
Engagement: DEP Rebuild by Design Program
For Quarter Ending: 06/30/2017

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	NJ Department of Environmental Protection ("DEP") as a subrecipient per a Memorandum of Understanding between the NJ Department of Community Affairs ("DCA") and DEP.	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	HUD	
3.	State Funding (if applicable)	N/A	
4.	Award Type	CDBG-DR	
5.	Award Amount	\$380 million	
6.	Contract/Program Person/Title	Dennis Reinknecht, RBD Program Manager, Bureau of Flood Resilience	
7.	Brief Description, Purpose and Rationale of Project/Program	This program consists of two separate New Jersey projects that have been approved by the federal government as part of its Rebuild by Design competition to improve physical, ecological and economic resilience in regions affected by Superstorm Sandy. HUD is providing CDBG-DR funding for the feasibility study, design and construction of these two projects. The Meadowlands project has been allocated \$150 million and the Hudson River project has been allocated \$230 million.	
8.	Contract/Program Location	Hudson River Region and Meadowlands Region	
9.	Amount Expended to Date	Hudson River and Meadowlands Combined: \$15,249,922.	
10.	Amount Provided to other State or Local Entities	N/A	
11.	Completion Status of Contract or Program	Ongoing	
12.	Expected Contract End Date/Time Period	The AECOM contract for the Feasibility Study/EIS for the Meadowlands project has been completed and AECOM has been awarded the design contract for the Project. The Dewberry contract for the Feasibility Study/EIS for the Hudson River project is currently scheduled to be completed by the end of FY2017. Design bids have been solicited in connection with the Hudson River Project. The site development and construction phases of both projects are anticipated to be performed from 2019 to 2022.	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	

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14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	In connection with its review of NJDEP's financial, procurement, and administrative processes for the contracts, Thacher Associates conducted Skype interviews of Louis Berger/Hill JV staff members Christopher Corliss and Margaret McBrien and later visited their offices to review day-to-day oversight of the feasibility/EIS and design projects. TA also participated in monthly RBD Partner Calls and bi-weekly conference calls with NJ DEP personnel and other Project participants.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	In addition to TA's initial request for documents required for analysis of NJDEP's financial, administrative, and procurement processes, the NJDEP has since been placing in our project folder additional documents it believes relevant to our scope of services. TA has supplemented those documents with additional requests as needed, all of which have been complied with. Thacher Associates has reviewed those documents regarding compliance with CDBG-DR laws, rules and regulations.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Thacher Associates' activities included the completion of our Task B and C reviews as well as review of the contract awards to Dewberry Engineers, Inc., Louis Berger/Hill JV, and AECOM Technical Services. Thacher Associates also continued reviews of CMF invoices and initiated an audit plan to spot test compliance with CDBG-DR laws, rules and regulations.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	During the period covered by this report, Thacher Associates reviewing monthly invoices submitted by the CMF. Specifically, we reviewed invoices 9 and 10 for the Meadowlands Project and 12 and 13 for the Hudson River Project. No issues were noted.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	During the second quarter of 2017, Thacher Associates completed its assessment of the risks facing the project in connection with Tasks B and C, continued to review CMF invoice and developed and implemented an audit plan to test for fraud, waste and abuse.	

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19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	N/A	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	N/A	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Edison Montalvo - 10.75 Chris Ward - 1.5 William Rogers - 92 Yashwee Shah - 13.75 Bruce Archer - 109.5 Danielle Smalls - 43	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

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Name of Integrity Monitor:	Thacher Associates
Name of Report Preparer:	William Rogers
Signature:	
Date:	October 2, 2017