

State of New Jersey
Department of the Treasury
Integrity Oversight Monitoring Reporting Model

Thacher Associates LLC
Work Authorization NO. 3
Integrity Oversight Monitoring Services for HNTB
Program and Project Management Support
Contract No. 14-003-D

Firm Name: _____
Engagement: **New Jersey Transit P.O. No. B-51391-003**
For Quarter Ending: **12/31/2018**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	N/A	
4.	Award Type	FTA - Public Transportation Emergency Relief Program	
5.	Award Amount	\$40,500,000.00	Represents total funds authorized under HNTB Contract 13-007B.
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief, Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	This project consists of providing program management support, project management support and related activities over Superstorm Sandy Recovery and NJ TRANSIT Resiliency Program.	
8.	Contract/Program Location	Management of Programs and Projects in various locations	
9.	Amount Expended to Date	\$37,656,433.39	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	Completed	
12.	Expected Contract End Date/Time Period	March 31, 2018	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	On October 30, 2018, Thacher Associates met with New Jersey Transit (NJT) officials at Penn Plaza Headquarters for an IOM kick-off meeting. NJT officials described the project and the objectives of the IOM services during this meeting. On November 27, 2018, Thacher Associates met with NJT officials from Procurement and CP&P at Penn Plaza Headquarters for fraud risk assessment kick-off meeting and interviews. Thacher Associates also reviewed program and project documents as further detailed below.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Thacher Associates received and performed preliminary reviews for the purposes of assessing risk of NJT Project Management Plans, Task Order Assignment No.s 1, 2, and 4 with Revisions, Contract No. 13007B, HNTB Payment Requisition #60, Change Orders No. 1 through 9, and related NJT Board authorizations.	

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No.	Recipient Data Elements	Response	Comments
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Performed preliminary reviews of program and project documents and conducted interviews of NJT personnel for purposes of assessing risk.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Thacher Associates received and performed a preliminary review for the purposes of assessing risk of HNTB Payment Requisition #60.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Performed preliminary reviews of program and project documents for purposes of assessing risk.	
19.	Provide details of any integrity issues/findings	No findings.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	No findings.	
21.	Provide details on any other items of note that have occurred in the past quarter	Not applicable.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Performed preliminary reviews of program and project documents for purposes of assessing risk.	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Please see attachment.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor: Thacher Associates LLC
Name of Report Preparer: Michael Bernstein, Director
Signature: 
Date: 4-1-2019

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Attachment for Question #23

Timekeeper	Hours
Chris Ward	4.75
Edison Montalvo	7.25
Kevin Mullins	9.50
Linda Negron	0.50
Michael Bernstein	31.50
Michael Carroll	7.25
Robert Thompson	8.00
	68.75